

# History of the PCT Regulations

(June 19, 1970 - July 1, 2016)

*History of the PCT Regulations* is a chronological record of amendments to the text of the Regulations under the PCT since its adoption on June 19, 1970, including the text of each Regulation as currently in force.

Information specific to each set of amendments is available on the WIPO website under the following link : <http://www.wipo.int/treaties/en/registration/pct/>

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# History of the PCT Regulations

## June 19, 1970 – July 1, 2016

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### PART A INTRODUCTORY RULES

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Since June 19,  
1970

Rule 1  
Abbreviated Expressions

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Since June 19,  
1970

1.1 *Meaning of Abbreviated Expressions*

(a) In these Regulations, the word “Treaty” means the Patent Cooperation Treaty.

(b) In these Regulations, the words “Chapter” and “Article” refer to the specified Chapter or Article of the Treaty.

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Since June 19,  
1970

Rule 2  
Interpretation of Certain Words

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Since June 19,  
1970

2.1 *“Applicant”*

Whenever the word “applicant” is used, it shall be construed as meaning also the agent or other representative of the applicant, except where the contrary clearly follows from the wording or the nature of the provision, or the context in which the word is used, such as, in particular, where the provision refers to the residence or nationality of the applicant.

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Since June 19, 1970, 2.2 “Agent”

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From June 19, 1970 to June 30, 1992

Whenever the word “agent” is used, it shall be construed as meaning any person who has the right to practice before international authorities as defined in Article 49 and, unless the contrary clearly follows from the wording or the nature of the provision, or the context in which the word is used, also the common representative referred to in Rule 4.8.

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Since July 1, 1992

Whenever the word “agent” is used, it shall be construed as meaning an agent appointed under Rule 90.1, unless the contrary clearly follows from the wording or the nature of the provision, or the context in which the word is used.

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Since July 1, 1992, 2.2bis “Common Representative”

Whenever the expression “common representative” is used, it shall be construed as meaning an applicant appointed as, or considered to be, the common representative under Rule 90.2.

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Since June 19, 1970, 2.3 “Signature”

Whenever the word “signature” is used, it shall be understood that, if the national law applied by the receiving Office or the competent International Searching or Preliminary Examining Authority requires the use of a seal instead of a signature, the word, for the purposes of that Office or Authority, shall mean seal.

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Since April 1, 2007, 2.4 “Priority Period”

(a) Whenever the term “priority period” is used in relation to a priority claim, it shall be construed as meaning the period of 12 months from the filing date of the earlier application whose priority is so claimed. The day of filing of the earlier application shall not be included in that period.

(b) Rule 80.5 shall apply *mutatis mutandis* to the priority period.

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PART B  
RULES CONCERNING CHAPTER I OF THE TREATY

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Since June 19,  
1970

Rule 3  
The Request (Form)

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From June 19,  
1970 to  
June 30, 1992

3.1 *Printed Form*

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Since July 1,  
1992

3.1 *Form of Request*

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From June 19,  
1970 to  
June 30, 1992

The request shall be made on a printed form.

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Since July 1,  
1992

The request shall be made on a printed form or be presented as a computer print-out.

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Since June 19,  
1970

3.2 *Availability of Forms*

Copies of the printed form shall be furnished free of charge to the applicants by the receiving Office, or, if the receiving Office so desires, by the International Bureau.

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Since June 19,  
1970

3.3 *Check List*

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From June 19,  
1970 to  
June 30, 1992

(a) The printed form shall contain a list which, when filled in, will show:

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Since July 1,  
1992

(a) The request shall contain a list indicating:

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to June 30, 1998	(i) the total number of sheets constituting the international application and the number of the sheets of each element of the international application (request, description, claims, drawings, abstract);
Since July 1, 1998	(i) the total number of sheets constituting the international application and the number of the sheets of each element of the international application: request, description (separately indicating the number of sheets of any sequence listing part of the description), claims, drawings, abstract;
From June 19, 1970 to September 30, 1981	(ii) whether or not the international application as filed is accompanied by a power of attorney (i. e., a document appointing an agent or a common representative), a priority document, a receipt for the fees paid or a check for the payment of the fees, an international or an international-type search report, a document in evidence of the fact that the applicant is the successor in title of the inventor, and any other document (to be specified in the check list);
From October 1, 1981 to June 30, 1998	(ii) whether or not the international application as filed is accompanied by a power of attorney (i.e., a document appointing an agent or a common representative), a copy of a general power of attorney, a priority document, a document relating to the payment of fees, and any other document (to be specified in the check list);
From July 1, 1998 to March 31, 2005	(ii) where applicable, that the international application as filed is accompanied by a power of attorney (i.e., a document appointing an agent or a common representative), a copy of a general power of attorney, a priority document, a sequence listing in computer readable form, a document relating to the payment of fees, or any other document (to be specified in the check list);
Since April 1, 2005	(ii) where applicable, that the international application as filed is accompanied by a power of attorney (i.e., a document appointing an agent or a common representative), a copy of a general power of attorney, a priority document, a sequence listing in electronic form, a document relating to the payment of fees, or any other document (to be specified in the check list);
From June 19, 1970 to June 30, 1992	(iii) the number of that figure of the drawings which the applicant suggests should accompany the abstract when the abstract is published on the front page of the pamphlet and in the Gazette; in exceptional cases, the applicant may suggest more than one figure.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 1992 (iii) the number of that figure of the drawings which the applicant suggests should accompany the abstract when the abstract is published; in exceptional cases, the applicant may suggest more than one figure.

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From June 19, 1970 to June 30, 1992 (b) The list shall be filled in by the applicant, failing which the receiving Office shall fill it in and make the necessary annotations, except that the number referred to in paragraph (a)(iii) shall not be filled in by the receiving Office.

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Since July 1, 1992 (b) The list shall be completed by the applicant, failing which the receiving Office shall make the necessary indications, except that the number referred to in paragraph (a)(iii) shall not be indicated by the receiving Office.

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Since June 19, 1970 3.4 *Particulars*

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From June 19, 1970 to June 30, 1992 Subject to Rule 3.3, particulars of the printed form shall be prescribed by the Administrative Instructions.

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Since July 1, 1992 Subject to Rule 3.3, particulars of the printed request form and of a request presented as a computer print-out shall be prescribed by the Administrative Instructions.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19,  
1970

Rule 4  
The Request (Contents)

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Since June 19,  
1970

4.1 *Mandatory and Optional Contents; Signature*

- (a) The request shall contain:
- (i) a petition,
  - (ii) the title of the invention,
  - (iii) indications concerning the applicant and the agent, if there is an agent,

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From June 19,  
1970 to  
December 31,  
2003

- (iv) the designation of States,
- (v) indications concerning the inventor where the national law of at least one of the designated States requires that the name of the inventor be furnished at the time of filing a national application.

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Since  
January 1,  
2004

- (iv) indications concerning the inventor where the national law of at least one of the designated States requires that the name of the inventor be furnished at the time of filing a national application.
- (v) *[Deleted]*

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Since June 19,  
1970

- (b) The request shall, where applicable, contain:
- (i) a priority claim,

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From June 19,  
1970 to  
September 30,  
1980

- (ii) a reference to any earlier international search or to any earlier, international-type search,

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From  
October 1,  
1980 to  
June 30, 2008

- (ii) a reference to any earlier international, international-type or other search,

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Since July 1,  
2008

- (ii) indications relating to an earlier search as provided in Rules 4.12(i) and 12*bis*.1(c) and (f),
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From June 19,  
1970 to  
December 31,  
2003

(iii) choices of certain kinds of protection,

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Since  
January 1,  
2004

(iii) a reference to a parent application or parent patent,

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From June 19,  
1970 to  
June 30, 1992

(iv) an indication that the applicant wishes to obtain a regional patent and the names of the designated States for which he wishes to obtain such a patent,

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From July 1,  
1992 to  
December 31,  
2003

(iv) an indication that the applicant wishes to obtain a regional patent,

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Since  
January 1,  
2004

(iv) an indication of the applicant's choice of competent International Searching Authority.

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From June 19,  
1970 to  
December 31,  
1993

(v) a reference to a parent application or parent patent.

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From  
January 1,  
1994 to  
December 31,  
2003

(v) a reference to a parent application or parent patent,

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Since  
January 1,  
2004

(v) *[Deleted]*

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1994 to December 31, 2003 (vi) an indication of the applicant's choice of competent International Searching Authority.

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Since January 1, 2004 (vi) *[Deleted]*

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From June 19, 1970 to September 30, 1981 (c) The request may contain indications concerning the inventor where the national law of none of the designated States requires that the name of the inventor be furnished at the time of filing a national application.

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Since October 1, 1981 (c) The request may contain:  
(i) indications concerning the inventor where the national law of none of the designated States requires that the name of the inventor be furnished at the time of filing a national application,

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From October 1, 1981 to February 28, 2001 (ii) a request to the receiving Office to transmit the priority document to the International Bureau where the application whose priority is claimed was filed with the national Office or intergovernmental authority which is the receiving Office.

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Since March 1, 2001 (ii) a request to the receiving Office to prepare and transmit the priority document to the International Bureau where the application whose priority is claimed was filed with the national Office or intergovernmental authority which is the receiving Office,

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From March 1, 2001 to March 31, 2007 (iii) declarations as provided in Rule 4.17.

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Since April 1, 2007 (iii) declarations as provided in Rule 4.17,

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Since April 1, 2007 (iv) a statement as provided in Rule 4.18,

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From April 1, 2007 to June 30, 2008 (v) a request for restoration of the right of priority.

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Since July 1, 2008 (v) a request for restoration of the right of priority,  
(vi) a statement as provided in Rule 4.12(ii).

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Since June 19, 1970 (d) The request shall be signed.

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Since June 19, 1970 4.2 *The Petition*  
The petition shall be to the following effect and shall preferably be worded as follows: "The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty."

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Since June 19, 1970 4.3 *Title of the Invention*  
The title of the invention shall be short (preferably from two to seven words when in English or translated into English) and precise.

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Since June 19, 1970 4.4 *Names and Addresses*  
(a) Names of natural persons shall be indicated by the person's family name and given name(s), the family name being indicated before the given name(s).  
(b) Names of legal entities shall be indicated by their full, official designations.

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From June 19, 1970 to April 13, 1978 (c) Addresses shall be indicated in such a way as to satisfy the customary requirements for prompt postal delivery at the indicated address and, in any case, shall consist of all the relevant administrative units up to, and including, the house number, if any. Where the national law of the designated State does not require the indication of the house number, failure to indicate such number shall have no effect in that State. It is recommended to indicate any telegraphic and teletype address and telephone number.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From April 14, 1978 to September 30, 1981

(c) Addresses shall be indicated in such a way as to satisfy the customary requirements for prompt postal delivery at the indicated address and, in any case, shall consist of all the relevant administrative units up to, and including, the house number, if any. Where the national law of the designated State does not require the indication of the house number, failure to indicate such number shall have no effect in that State. It is recommended to indicate any telegraphic and teleprinter address and telephone number.

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From October 1, 1981 to June 30, 1992

(c) Addresses shall be indicated in such a way as to satisfy the customary requirements for prompt postal delivery at the indicated address and, in any case, shall consist of all the relevant administrative units up to, and including, the house number, if any. Where the national law of the designated State does not require the indication of the house number, failure to indicate such number shall have no effect in that State. It is recommended to indicate any telegraphic and teleprinter address and telephone number of the agent or common representative or, in the absence of the designation of an agent or common representative in the request, of the applicant first named in the request.

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Since July 1, 1992

(c) Addresses shall be indicated in such a way as to satisfy the customary requirements for prompt postal delivery at the indicated address and, in any case, shall consist of all the relevant administrative units up to, and including, the house number, if any. Where the national law of the designated State does not require the indication of the house number, failure to indicate such number shall have no effect in that State. In order to allow rapid communication with the applicant, it is recommended to indicate any teleprinter address, telephone and facsimile machine numbers, or corresponding data for other like means of communication, of the applicant or, where applicable, the agent or the common representative.

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From June 19, 1970 to September 30, 1981

(d) For each applicant, inventor, or agent, only one address may be indicated.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since October 1, 1981 (d) For each applicant, inventor, or agent, only one address may be indicated, except that, if no agent has been appointed to represent the applicant, or all of them if more than one, the applicant or, if there is more than one applicant, the common representative, may indicate, in addition to any other address given in the request, an address to which notifications shall be sent.

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Since June 19, 1970 4.5 *The Applicant*

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From June 19, 1970 to December 31, 2003 (a) The request shall indicate the name, address, nationality and residence of the applicant or, if there are several applicants, of each of them.

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Since January 1, 2004 (a) The request shall indicate:  
(i) the name,  
(ii) the address, and  
(iii) the nationality and residence  
of the applicant or, if there are several applicants, of each of them.

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Since June 19, 1970 (b) The applicant's nationality shall be indicated by the name of the State of which he is a national.  
(c) The applicant's residence shall be indicated by the name of the State of which he is a resident.

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Since July 1, 1992 (d) The request may, for different designated States, indicate different applicants. In such a case, the request shall indicate the applicant or applicants for each designated State or group of designated States.

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Since March 1, 2001 (e) Where the applicant is registered with the national Office that is acting as receiving Office, the request may indicate the number or other indication under which the applicant is so registered.

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Since June 19, 1970 4.6 *The Inventor*

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to February 28, 2001

(a) Where Rule 4.1(a)(v) applies, the request shall indicate the name and address of the inventor or, if there are several inventors, of each of them.

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From March 1, 2001 to March 31, 2005

(a) Where Rule 4.1(a)(v) or (c)(i) applies, the request shall indicate the name and address of the inventor or, if there are several inventors, of each of them.

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Since April 1, 2005

(a) Where Rule 4.1(a)(iv) or (c)(i) applies, the request shall indicate the name and address of the inventor or, if there are several inventors, of each of them.

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From June 19, 1970 to September 30, 1981

(b) If the applicant is the inventor, the request, in lieu of the indication under paragraph (a), shall contain a statement to that effect or shall repeat the applicant's name in the space reserved for indicating the inventor.

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Since October 1, 1981

(b) If the applicant is the inventor, the request, in lieu of the indication under paragraph (a), shall contain a statement to that effect.

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Since June 19, 1970

(c) The request may, for different designated States, indicate different persons as inventors where, in this respect, the requirements of the national laws of the designated States are not the same. In such a case, the request shall contain a separate statement for each designated State or group of States in which a particular person, or the same person, is to be considered the inventor, or in which particular persons, or the same persons, are to be considered the inventors.

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Since June 19, 1970

4.7 *The Agent*

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From June 19, 1970 to February 28, 2001

If agents are designated, the request shall so indicate, and shall state their names and addresses.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since March 1, 2001 (a) If an agent is appointed, the request shall so indicate, and shall state the agent's name and address.

(b) Where the agent is registered with the national Office that is acting as receiving Office, the request may indicate the number or other indication under which the agent is so registered.

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From June 19, 1970 to June 30, 1992 4.8 *Representation of Several Applicants Not Having a Common Agent*

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Since July 1, 1992 4.8 *Common Representative*

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From June 19, 1970 to June 30, 1992 (a) If there is more than one applicant and the request does not refer to an agent representing all the applicants ("a common agent"), the request shall designate one of the applicants who is entitled to file an international application according to Article 9 as their common representative.

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From June 19, 1970 to September 30, 1980 (b) If there is more than one applicant and the request does not refer to an agent representing all the applicants and it does not comply with the requirement of designating one of the applicants as provided in paragraph (a), the applicant first named in the request who is entitled to file an international application according to Article 9 shall be considered the common representative.

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From October 1, 1980 to June 30, 1992 (b) If there is more than one applicant and the request does not refer to an agent representing all the applicants and it does not comply with the requirement of designating one of the applicants as provided in paragraph (a), the common representative shall be the applicant first named in the request who is entitled to file an international application with the receiving Office with which the international application was filed (Rule 19.1(a)).

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From July 1, 1992 to February 28, 2001 If a common representative is designated, the request shall so indicate.

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Since March 1, 2001 If a common representative is appointed, the request shall so indicate.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to December 31, 2003	4.9	<i>Designation of States</i>
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Since January 1, 2004	4.9	<i>Designation of States; Kinds of Protection; National and Regional Patents</i>
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From June 19, 1970 to June 30, 1992		Contracting States shall be designated in the request by their names.
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From July 1, 1992 to December 31, 2003		(a) Contracting States shall be designated in the request: (i) in the case of designations for the purpose of obtaining national patents, by an indication of each State concerned; (ii) in the case of designations for the purpose of obtaining a regional patent, by an indication that a regional patent is desired either for all Contracting States which are party to the regional patent treaty concerned or only for such Contracting States as are specified.
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Since January 1, 2004		(a) The filing of a request shall constitute: (i) the designation of all Contracting States that are bound by the Treaty on the international filing date; (ii) an indication that the international application is, in respect of each designated State to which Article 43 or 44 applies, for the grant of every kind of protection which is available by way of the designation of that State; (iii) an indication that the international application is, in respect of each designated State to which Article 45(1) applies, for the grant of a regional patent and also, unless Article 45(2) applies, a national patent.
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From July 1, 1992 to December 31, 2003		(b) The request may contain an indication that all designations which would be permitted under the Treaty, other than those made under paragraph (a), are also made, provided that: (i) at least one Contracting State is designated under paragraph (a), and
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(ii) the request also contains a statement that any designation made under this paragraph is subject to confirmation as provided in paragraph (c) and that any designation which is not so confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.

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From  
January 1,  
2004 to  
March 31,  
2006

(b) Notwithstanding paragraph (a)(i), if, on October 1, 2002, the national law of a Contracting State provides that the filing of an international application which contains the designation of that State and claims the priority of an earlier national application having effect in that State shall have the result that the earlier national application ceases to have effect with the same consequences as the withdrawal of the earlier national application, any request may, for as long as that national law continues to so provide, contain an indication that the designation of that State is not made, provided that the designated Office informs the International Bureau by January 1, 2003, that this paragraph shall apply in respect of designations of that State. The information received shall be promptly published by the International Bureau in the Gazette.

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Since April 1,  
2006

(b) Notwithstanding paragraph (a)(i), if, on October 5, 2005, the national law of a Contracting State provides that the filing of an international application which contains the designation of that State and claims the priority of an earlier national application having effect in that State shall have the result that the earlier national application ceases to have effect with the same consequences as the withdrawal of the earlier national application, any request in which the priority of an earlier national application filed in that State is claimed may contain an indication that the designation of that State is not made, provided that the designated Office notifies the International Bureau by January 5, 2006, that this paragraph shall apply in respect of designations of that State and that the notification is still in force on the international filing date. The information received shall be promptly published by the International Bureau in the Gazette.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1992 to December 31, 2003

(c) The confirmation of any designation made under paragraph (b) shall be effected by

- (i) filing with the receiving Office a written notice containing an indication as referred to in paragraph (a)(i) or (ii), and
- (ii) paying to the receiving Office the designation fee and the confirmation fee referred to in Rule 15.5

within the time limit under paragraph (b)(ii).

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Since January 1, 2004

(c) [Deleted]

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Since June 19, 1970

4.10 *Priority Claim*

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From June 19, 1970 to June 30, 1998

(a) The declaration referred to in Article 8(1) shall be made in the request; it shall consist of a statement to the effect that the priority of an earlier application is claimed and shall indicate:

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From July 1, 1998 to December 31, 1999

(a) Any declaration referred to in Article 8(1) (“priority claim”) shall, subject to Rule 26bis.1, be made in the request; it shall consist of a statement to the effect that the priority of an earlier application is claimed and shall indicate:

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From January 1, 2000 to March 31, 2007

(a) Any declaration referred to in Article 8(1) (“priority claim”) may claim the priority of one or more earlier applications filed either in or for any country party to the Paris Convention for the Protection of Industrial Property or in or for any Member of the World Trade Organization that is not party to that Convention. Any priority claim shall, subject to Rule 26bis.1, be made in the request; it shall consist of a statement to the effect that the priority of an earlier application is claimed and shall indicate:

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Since April 1, 2007

(a) Any declaration referred to in Article 8(1) (“priority claim”) may claim the priority of one or more earlier applications filed either in or for any country party to the Paris Convention for the Protection of Industrial Property or in or for any Member of the World Trade Organization that is not party to that Convention. Any priority claim shall be made in the request; it shall consist of a statement to the effect that the priority of an earlier application is claimed and shall indicate:

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to June 30, 1998	(i) when the earlier application is not a regional or an international application, the country in which it was filed; when the earlier application is a regional or an international application, the country or countries for which it was filed,
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From July 1, 1998 to March 31, 2007	(i) the date on which the earlier application was filed, being a date falling within the period of 12 months preceding the international filing date;
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Since April 1, 2007	(i) the date on which the earlier application was filed;
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From June 19, 1970 to June 30, 1998	(ii) the date on which it was filed,
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Since July 1, 1998	(ii) the number of the earlier application;
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From June 19, 1970 to June 30, 1998	(iii) the number under which it was filed, and
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From July 1, 1998 to December 31, 1999	(iii) where the earlier application is a national application, the country party to the Paris Convention for the Protection of Industrial Property in which it was filed;
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Since January 1, 2000	(iii) where the earlier application is a national application, the country party to the Paris Convention for the Protection of Industrial Property or the Member of the World Trade Organization that is not party to that Convention in which it was filed;
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From June 19, 1970 to June 30, 1998	(iv) when the earlier application is a regional or an international application, the national Office or intergovernmental organization with which it was filed.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 1998

- (iv) where the earlier application is a regional application, the authority entrusted with the granting of regional patents under the applicable regional patent treaty;
- (v) where the earlier application is an international application, the receiving Office with which it was filed.

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From June 19, 1970 to September 30, 1980

- (b) If the request does not indicate both
  - (i) when the earlier application is not a regional or an international application, the country in which it was filed; when the earlier application is a regional or an international application, at least one country for which it was filed; and
  - (ii) the date on which it was filed,

the priority claim shall, for the purposes of the procedure under the Treaty, be considered not to have been made.

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From October 1, 1980 to December 31, 1984

- (b) If the request does not indicate both
  - (i) when the earlier application is not a regional or an international application, the country in which it was filed; when the earlier application is a regional or an international application, at least one country for which it was filed, and
  - (ii) the date on which it was filed,

the priority claim shall, for the purposes of the procedure under the Treaty, be considered not to have been made except where, resulting from an obvious error of transcription, the indication of the said country or the said date is missing or is erroneous; whenever the identity or correct identity of the said country, or the said date or the correct date, may be established on the basis of the copy of the earlier application which the receiving Office receives before it transmits the record copy to the International Bureau, the error shall be considered as an obvious error.

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From  
January 1,  
1985 to  
June 30, 1992

- (b) If the request does not indicate both
- (i) when the earlier application is not a regional or an international application, the country in which it was filed; when the earlier application is a regional or an international application, at least one country for which it was filed, and
  - (ii) the date on which it was filed,

the priority claim shall, for the purposes of the procedure under the Treaty, be considered not to have been made except where, resulting from an obvious error, the indication of the said country or the said date is missing or is erroneous; whenever the identity or correct identity of the said country, or the said date or the correct date, may be established on the basis of the copy of the earlier application which reaches the receiving Office before it transmits the record copy to the International Bureau, the error shall be considered as an obvious error.

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From July 1,  
1992 to  
June 30, 1998

- (b) If the request does not indicate both
- (i) when the earlier application is not a regional or an international application, the country in which such earlier application was filed; when the earlier application is a regional or an international application, at least one country for which such earlier application was filed, and
  - (ii) the date on which the earlier application was filed,

the priority claim shall, for the purposes of the procedure under the Treaty, be considered not to have been made. However, where, resulting from an obvious error, the indication of the said country or the said date is missing or is erroneous, the receiving Office may, at the request of the applicant, make the necessary correction. The error shall be considered as an obvious error whenever the correction is obvious from a comparison with the earlier application. Where the error consists of the omission of the indication of the said date, the correction can only be made before the transmittal of the record copy to the International Bureau. In the case of any other error relating to the indication of the said date or in the case of any error relating to the indication of the said country, the correction can only be made before the expiration of the time limit under Rule 17.1(a) computed on the basis of the correct priority date.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1,  
1998 to  
December 31,  
1999

(b) In addition to any indication required under paragraph (a)(iv) or (v):

(i) where the earlier application is a regional application or an international application, the priority claim may indicate one or more countries party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed;

(ii) where the earlier application is a regional application and the countries party to the regional patent treaty are not all party to the Paris Convention for the Protection of Industrial Property, the priority claim shall indicate at least one country party to that Convention for which that earlier application was filed.

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Since  
January 1,  
2000

(b) In addition to any indication required under paragraph (a)(iv) or (v):

(i) where the earlier application is a regional application or an international application, the priority claim may indicate one or more countries party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed;

(ii) where the earlier application is a regional application and at least one of the countries party to the regional patent treaty is neither party to the Paris Convention for the Protection of Industrial Property nor a Member of the World Trade Organization, the priority claim shall indicate at least one country party to that Convention or one Member of that Organization for which that earlier application was filed.

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From June 19,  
1970 to  
December 31,  
1984

(c) If the application number of the earlier application is not indicated in the request but is furnished by the applicant to the International Bureau prior to the expiration of the 16<sup>th</sup> month from the priority date, it shall be considered by all designated States to have been furnished in time. If it is furnished after the expiration of that time limit, the International Bureau shall inform the applicant and the designated Offices of the date on which the said number was furnished to it. The International Bureau shall indicate that date in the international publication of the international application, or, if, at the time of the international publication, the said number has not been furnished to it, shall indicate that fact in the international publication.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1985 to June 30, 1998	(c) If the application number of the earlier application is not indicated in the request but is furnished by the applicant to the International Bureau or to the receiving Office prior to the expiration of the 16 <sup>th</sup> month from the priority date, it shall be considered by all designated States to have been furnished in time.
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Since July 1, 1998	(c) For the purposes of paragraphs (a) and (b), Article 2(vi) shall not apply.
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From June 19, 1970 to April 13, 1978	(d) If the filing date of the earlier application as indicated in the request precedes the international filing date by more than one year, the receiving Office, or, if the receiving Office has failed to do so, the International Bureau, shall invite the applicant to ask either for the cancellation of the declaration made under Article 8(1) or, if the date of the earlier application was indicated erroneously, for the correction of the date so indicated. If the applicant fails to act accordingly within one month from the date of the invitation, the declaration made under Article 8(1) shall be cancelled <i>ex officio</i> . The receiving Office effecting the correction or cancellation shall notify the applicant accordingly and, if copies of the international application have already been sent to the International Bureau and the International Searching Authority, that Bureau and that Authority. If the correction or cancellation is effected by the International Bureau, the latter shall notify the applicant and the International Searching Authority accordingly.
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From April 14, 1978 to December 31, 1984	(d) If the filing date of the earlier application as indicated in the request does not fall within the period of one year preceding the international filing date, the receiving Office, or, if the receiving Office has failed to do so, the International Bureau, shall invite the applicant to ask either for the cancellation of the declaration made under Article 8(1) or, if the date of the earlier application was indicated erroneously, for the correction of the date so indicated. If the applicant fails to act accordingly within one month from the date of the invitation, the declaration made under Article 8(1) shall be cancelled <i>ex officio</i> . The receiving Office effecting the correction or cancellation shall notify the applicant accordingly and, if copies of the international application have already been sent to the International Bureau and the International Searching Authority, that Bureau and that Authority. If the correction or cancellation is effected by the International Bureau, the latter shall notify the applicant and the International Searching Authority accordingly.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1985 to June 30, 1998 (d) If the filing date of the earlier application as indicated in the request does not fall within the period of one year preceding the international filing date, the receiving Office, or, if the receiving Office has failed to do so, the International Bureau, shall invite the applicant to ask either for the cancellation of the declaration made under Article 8(1) or, if the date of the earlier application was indicated erroneously, for the correction of the date so indicated. If the applicant fails to act accordingly within one month from the date of the invitation, the declaration made under Article 8(1) shall be cancelled *ex officio*.

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From July 1, 1998 to December 31, 1999 (d) [Deleted]

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Since January 1, 2000 (d) If, on September 29, 1999, paragraphs (a) and (b) as amended with effect from January 1, 2000, are not compatible with the national law applied by a designated Office, those paragraphs as in force until December 31, 1999, shall continue to apply after that date in respect of that designated Office for as long as the said paragraphs as amended continue not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by October 31, 1999. The information received shall be promptly published by the International Bureau in the Gazette.

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From June 19, 1970 to June 30, 1998 (e) Where the priorities of several earlier applications are claimed, the provisions of paragraphs (a) to (d) shall apply to each of them.

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Since July 1, 1998 (e) [Deleted]

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From June 19, 1970 to September 30, 1980 4.11 *Reference to Earlier International or International-Type Search*

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From October 1, 1980 to December 31, 2003

4.11 *Reference to Earlier Search*

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From January 1, 2004 to June 30, 2008

4.11 *Reference to Earlier Search, Continuation or Continuation-in-Part, or Parent Application or Grant*

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Since July 1, 2008

4.11 *Reference to Continuation or Continuation-in-Part, or Parent Application or Grant*

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From June 19, 1970 to September 30, 1980

If an international or international-type search has been requested on an application under Article 15(5), the request may state that fact and identify the application (or its translation, as the case may be) by country, date and number, and the request for the said search by date and, if available, number.

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From October 1, 1980 to December 31, 2003

If an international or international-type search has been requested on an application under Article 15(5) or if the applicant wishes the International Searching Authority to base the international search report wholly or in part on the results of a search, other than an international or international-type search, made by the national Office or intergovernmental organization which is the International Searching Authority competent for the international application, the request shall contain a reference to that fact. Such reference shall either identify the application (or its translation, as the case may be) in respect of which the earlier search was made by indicating country, date and number, or the said search by indicating, where applicable, date and number of the request for such search.

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From  
January 1,  
2004 to  
June 30, 2008

- (a) If:
- (i) an international or international-type search has been requested on an application under Article 15(5);
  - ii) the applicant wishes the International Searching Authority to base the international search report wholly or in part on the results of a search, other than an international or international-type search, made by the national Office or intergovernmental organization which is the International Searching Authority competent for the international application;
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- (iii) the applicant intends to make an indication under Rule 49*bis*.1(a) or (b) of the wish that the international application be treated, in any designated State, as an application for a patent of addition, certificate of addition, inventor's certificate of addition or utility certificate of addition; or
- (iv) the applicant intends to make an indication under Rule 49*bis*.1(d) of the wish that the international application be treated, in any designated State, as an application for a continuation or a continuation-in-part of an earlier application;

the request shall so indicate and shall, as the case may be, identify the application in respect of which the earlier search was made or otherwise identify the search, or indicate the relevant parent application or parent patent or other parent grant.

(b) The inclusion in the request of an indication under paragraph (a)(iii) or (iv) shall have no effect on the operation of Rule 4.9.

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Since July 1,  
2008

(a) If:

- (i) the applicant intends to make an indication under Rule 49*bis*.1(a) or (b) of the wish that the international application be treated, in any designated State, as an application for a patent of addition, certificate of addition, inventor's certificate of addition or utility certificate of addition; or
- (ii) the applicant intends to make an indication under Rule 49*bis*.1(d) of the wish that the international application be treated, in any designated State, as an application for a continuation or a continuation-in-part of an earlier application;

the request shall so indicate and shall indicate the relevant parent application or parent patent or other parent grant.

(b) The inclusion in the request of an indication under paragraph (a) shall have no effect on the operation of Rule 4.9.

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From June 19,  
1970 to  
December 31,  
2003

4.12 *Choice of Certain Kinds of Protection*

(a) If the applicant wishes his international application to be treated, in any designated State, as an application not for a patent but for the grant of any of the other kinds of protection specified in Article 43, he shall so indicate in the request. For the purposes of this paragraph, Article 2(ii) shall not apply.

(b) In the case provided for in Article 44, the applicant shall indicate the two kinds of protection sought, or, if one of two kinds of protection is primarily sought, he shall indicate which kind is sought primarily and which kind is sought subsidiarily.

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From  
January 1,  
2004 to  
June 30, 2008

4.12 *[Deleted]*

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Since July 1, 2008

4.12 *Taking into Account Results of Earlier Search*

If the applicant wishes the International Searching Authority to take into account, in carrying out the international search, the results of an earlier international, international-type or national search carried out by the same or another International Searching Authority or by a national Office (“earlier search”):

(i) the request shall so indicate and shall specify the Authority or Office concerned and the application in respect of which the earlier search was carried out;

(ii) the request may, where applicable, contain a statement to the effect that the international application is the same, or substantially the same, as the application in respect of which the earlier search was carried out, or that the international application is the same, or substantially the same, as that earlier application except that it is filed in a different language.

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From June 19, 1970 to December 31, 2003

4.13 *Identification of Parent Application or Parent Grant*

If the applicant wishes his international application to be treated, in any designated State, as an application for a patent or certificate of addition, inventor’s certificate of addition, or utility certificate of addition, he shall identify the parent application or the parent patent, parent inventor’s certificate, or parent utility certificate to which the patent or certificate of addition, inventor’s certificate of addition, or utility certificate of addition, if granted, relates. For the purposes of this paragraph Article 2(ii) shall not apply.

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Since January 1, 2004

4.13 *[Deleted]*

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From June 19, 1970 to December 31, 2003

4.14 *Continuation or Continuation-in-Part*

If the applicant wishes his international application to be treated, in any designated State, as an application for a continuation or a continuation-in-part of an earlier application, he shall so indicate in the request and shall identify the parent application involved.

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Since January 1, 2004

4.14 *[Deleted]*

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 1994

4.14*bis* *Choice of International Searching Authority*

If two or more International Searching Authorities are competent for the searching of the international application, the applicant shall indicate his choice of International Searching Authority in the request.

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Since June 19, 1970

4.15 *Signature*

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From June 19, 1970 to June 30, 1992

The request shall be signed by the applicant.

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From July 1, 1992 to December 31, 2012

(a) Subject to paragraph (b), the request shall be signed by the applicant or, if there is more than one applicant, by all of them.

(b) Where two or more applicants file an international application which designates a State whose national law requires that national applications be filed by the inventor and where an applicant for that designated State who is an inventor refused to sign the request or could not be found or reached after diligent effort, the request need not be signed by that applicant if it is signed by at least one applicant and a statement is furnished explaining, to the satisfaction of the receiving Office, the lack of the signature concerned.

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From January 1, 2013

The request shall be signed by the applicant or, if there is more than one applicant, by all of them.

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Since June 19, 1970

4.16 *Transliteration or Translation of Certain Words*

(a) Where any name or address is written in characters other than those of the Latin alphabet, the same shall also be indicated in characters of the Latin alphabet either as a mere transliteration or through translation into English. The applicant shall decide which words will be merely transliterated and which words will be so translated.

(b) The name of any country written in characters other than those of the Latin alphabet shall also be indicated in English.

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From June 19, 1970 to December 31, 1984

4.17 *No Additional Matter*

(a) The request shall contain no matter other than that specified in Rules 4.1 to 4.16.

(b) If the request contains matter other than that specified in Rules 4.1 to 4.16, the receiving Office shall *ex officio* delete the additional matter.

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From January 1, 1985 to February 28, 2001

4.17 *Additional Matter*

(a) The request shall contain no matter other than that specified in Rules 4.1 to 4.16, provided that the Administrative Instructions may permit, but cannot make mandatory, the inclusion in the request of any additional matter specified in the Administrative Instructions.

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(b) If the request contains matter other than that specified in Rules 4.1 to 4.16 or permitted under paragraph (a) by the Administrative Instructions, the receiving Office shall *ex officio* delete the additional matter.

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Since March 1, 2001

4.17 *Declarations Relating to National Requirements Referred to in Rule 51bis.1(a)(i) to (v)*

The request may, for the purposes of the national law applicable in one or more designated States, contain one or more of the following declarations, worded as prescribed by the Administrative Instructions:

(i) a declaration as to the identity of the inventor, as referred to in Rule 51bis.1(a)(i);

(ii) a declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent, as referred to in Rule 51bis.1(a)(ii);

(iii) a declaration as to the applicant's entitlement, as at the international filing date, to claim priority of the earlier application, as referred to in Rule 51bis.1(a)(iii);

(iv) a declaration of inventorship, as referred to in Rule 51bis.1(a)(iv), which shall be signed as prescribed by the Administrative Instructions;

(v) a declaration as to non-prejudicial disclosures or exceptions to lack of novelty, as referred to in Rule 51bis.1(a)(v).

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From March 1, 2001 to March 31, 2007

4.18 *Additional Matter*

(a) The request shall contain no matter other than that specified in Rules 4.1 to 4.17, provided that the Administrative Instructions may permit, but cannot make mandatory, the inclusion in the request of any additional matter specified in the Administrative Instructions.

(b) If the request contains matter other than that specified in Rules 4.1 to 4.17 or permitted under paragraph (a) by the Administrative Instructions, the receiving Office shall *ex officio* delete the additional matter.

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Since April 1, 2007

4.18 *Statement of Incorporation by Reference*

Where the international application, on the date on which one or more elements referred to in Article 11(1)(iii) were first received by the receiving Office, claims the priority of an earlier application, the request may contain a statement that, where an element of the international application referred to in Article 11(1)(iii)(d) or (e) or a part of the description, claims or drawings referred to in Rule 20.5(a) is not otherwise contained in the international application but is completely contained in the earlier application, that element or part is, subject to confirmation under Rule 20.6, incorporated by reference in the international application for the purposes of Rule 20.6. Such a statement, if not contained in the request on that date, may be added to the request if, and only if, it was otherwise contained in, or submitted with, the international application on that date.

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Since April 1, 2007

4.19 *Additional Matter*

(a) The request shall contain no matter other than that specified in Rules 4.1 to 4.18, provided that the Administrative Instructions may permit, but cannot make mandatory, the inclusion in the request of any additional matter specified in the Administrative Instructions.

(b) If the request contains matter other than that specified in Rules 4.1 to 4.18 or permitted under paragraph (a) by the Administrative Instructions, the receiving Office shall *ex officio* delete the additional matter.

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Since June 19,  
1970

Rule 5  
The Description

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Since June 19, 1970 5.1 *Manner of the Description*

(a) The description shall first state the title of the invention as appearing in the request and shall:

(i) specify the technical field to which the invention relates;

(ii) indicate the background art which, as far as known to the applicant, can be regarded as useful for the understanding, searching and examination of the invention, and, preferably, cite the documents reflecting such art;

(vi) indicate explicitly, when it is not obvious from the description or nature of the invention, the way in which the invention is capable of exploitation in industry and the way in which it can be made and used, or, if it can only be used, the way in which it can be used; the term “industry” is to be understood in its broadest sense as in the Paris Convention for the Protection of Industrial Property.

(b) The manner and order specified in paragraph (a) shall be followed except when, because of the nature of the invention, a different manner or a different order would result in a better understanding and a more economic presentation.

(c) Subject to the provisions of paragraph (b), each of the parts referred to in paragraph (a) shall preferably be preceded by an appropriate heading as suggested in the Administrative Instructions.

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Since July 1,  
1992

5.2 *Nucleotide and/or Amino Acid Sequence Disclosure*

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From July 1,  
1992 to  
June 30, 1998

Where the international application contains disclosure of a nucleotide and/or amino acid sequence, the description shall contain a listing of the sequence complying with the standard prescribed by the Administrative Instructions.

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Since July 1,  
1998

(a) Where the international application contains disclosure of one or more nucleotide and/or amino acid sequences, the description shall contain a sequence listing complying with the standard provided for in the Administrative Instructions and presented as a separate part of the description in accordance with that standard.

(b) Where the sequence listing part of the description contains any free text as defined in the standard provided for in the Administrative Instructions, that free text shall also appear in the main part of the description in the language thereof.

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Since June 19,  
1970

Rule 6  
The Claims

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Since June 19,  
1970

6.1 *Number and Numbering of Claims*

(a) The number of the claims shall be reasonable in consideration of the nature of the invention claimed.

(b) If there are several claims, they shall be numbered consecutively in Arabic numerals.

(c) The method of numbering in the case of the amendment of claims shall be governed by the Administrative Instructions.

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Since June 19,  
1970

6.2 *References to Other Parts of the International Application*

(a) Claims shall not, except where absolutely necessary, rely, in respect of the technical features of the invention, on references to the description or drawings. In particular, they shall not rely on such references as: “as described in part ... of the description,” or “as illustrated in figure ... of the drawings.”

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(b) Where the international application contains drawings, the technical features mentioned in the claims shall preferably be followed by the reference signs relating to such features. When used, the reference signs shall preferably be placed between parentheses. If inclusion of reference signs does not particularly facilitate quicker understanding of a claim, it should not be made. Reference signs may be removed by a designated Office for the purposes of publication by such Office.

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Since June 19, 1970, 6.3 *Manner of Claiming*

(a) The definition of the matter for which protection is sought shall be in terms of the technical features of the invention.

(b) Whenever appropriate, claims shall contain:

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(i) a statement indicating those technical features of the invention which are necessary for the definition of the claimed subject matter but which, in combination, are part of the prior art,

(ii) a characterizing portion – preceded by the words “characterized in that,” “characterized by,” “wherein the improvement comprises,” or any other words to the same effect – stating concisely the technical features which, in combination with the features stated under (i), it is desired to protect.

(c) Where the national law of the designated State does not require the manner of claiming provided for in paragraph (b), failure to use that manner of claiming shall have no effect in that State provided the manner of claiming actually used satisfies the national law of that State.

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Since June 19, 1970, 6.4 *Dependent Claims*

From June 19, 1970 to December 31, 1984

(a) Any claim which includes all the features of one or more other claims (claim in dependent form, hereinafter referred to as “dependent claim”) shall do so by a reference, if possible at the beginning, to the other claim or claims and shall then state the additional features claimed. Any dependent claim which refers to more than one other claim (“multiple dependent claim”) shall refer to such claims in the alternative only. Multiple dependent claims shall not serve as a basis for any other multiple dependent claim.

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Since  
January 1,  
1985

(a) Any claim which includes all the features of one or more other claims (claim in dependent form, hereinafter referred to as “dependent claim”) shall do so by a reference, if possible at the beginning, to the other claim or claims and shall then state the additional features claimed. Any dependent claim which refers to more than one other claim (“multiple dependent claim”) shall refer to such claims in the alternative only. Multiple dependent claims shall not serve as a basis for any other multiple dependent claim. Where the national law of the national Office acting as International Searching Authority does not allow multiple dependent claims to be drafted in a manner different from that provided for in the preceding two sentences, failure to use that manner of claiming may result in an indication under Article 17(2)(b) in the international search report. Failure to use the said manner of claiming shall have no effect in a designated State if the manner of claiming actually used satisfies the national law of that State.

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Since June 19,  
1970

(b) Any dependent claim shall be construed as including all the limitations contained in the claim to which it refers or, if the dependent claim is a multiple dependent claim, all the limitations contained in the particular claim in relation to which it is considered.

(c) All dependent claims referring back to a single previous claim, and all dependent claims referring back to several previous claims, shall be grouped together to the extent and in the most practical way possible.

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Since June 19,  
1970

6.5 *Utility Models*

Any designated State in which the grant of a utility model is sought on the basis of an international application may, instead of Rules 6.1 to 6.4, apply in respect of the matters regulated in those Rules the provisions of its national law concerning utility models once the processing of the international application has started in that State, provided that the applicant shall be allowed at least two months from the expiration of the time limit applicable under Article 22 to adapt his application to the requirements of the said provisions of the national law.

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Since June 19,  
1970

Rule 7  
The Drawings

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Since June 19,  
1970

7.1 *Flow Sheets and Diagrams*

Flow sheets and diagrams are considered drawings.

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Since June 19,  
1970

7.2 *Time Limit*

The time limit referred to in Article 7(2)(ii) shall be reasonable under the circumstances of the case and shall, in no case, be shorter than two months from the date of the written invitation requiring the filing of drawings or additional drawings under the said provision.

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Since June 19,  
1970

Rule 8  
The Abstract

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Since June 19,  
1970

8.1 *Contents and Form of the Abstract*

(a) The abstract shall consist of the following:

(i) a summary of the disclosure as contained in the description, the claims, and any drawings; the summary shall indicate the technical field to which the invention pertains and shall be drafted in a way which allows the clear understanding of the technical problem, the gist of the solution of that problem through the invention, and the principal use or uses of the invention;

(ii) where applicable, the chemical formula which, among all the formulae contained in the international application, best characterizes the invention.

(b) The abstract shall be as concise as the disclosure permits (preferably 50 to 150 words if it is in English or when translated into English).

(c) The abstract shall not contain statements on the alleged merits or value of the claimed invention or on its speculative application.

(d) Each main technical feature mentioned in the abstract and illustrated by a drawing in the international application shall be followed by a reference sign, placed between parentheses.

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From June 19,  
1970 to  
December 31,  
1984

8.2 *Failure to Suggest a Figure to Be Published with the Abstract*

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Since  
January 1,  
1985

8.2 *Figure*

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From June 19,  
1970 to  
December 31,  
1984

If the applicant fails to make the indication referred to in Rule 3.3(a)(iii), or if the International Searching Authority finds that a figure or figures other than that figure or those figures suggested by the applicant would, among all the figures of all the drawings, better characterize the invention, it shall indicate the figure or figures which it so considers. Publications by the International Bureau shall then use the figure or figures so indicated by the International Searching Authority. Otherwise, the figure or figures suggested by the applicant shall be used in the said publications.

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Since  
January 1,  
1985

(a) If the applicant fails to make the indication referred to in Rule 3.3(a)(iii), or if the International Searching Authority finds that a figure or figures other than that figure or those figures suggested by the applicant would, among all the figures of all the drawings, better characterize the invention, it shall, subject to paragraph (b), indicate the figure or figures which should accompany the abstract when the latter is published by the International Bureau. In such case, the abstract shall be accompanied by the figure or figures so indicated by the International Searching Authority. Otherwise, the abstract shall, subject to paragraph (b), be accompanied by the figure or figures suggested by the applicant.

(b) If the International Searching Authority finds that none of the figures of the drawings is useful for the understanding of the abstract, it shall notify the International Bureau accordingly. In such case, the abstract, when published by the International Bureau, shall not be accompanied by any figure of the drawings even where the applicant has made a suggestion under Rule 3.3(a)(iii).

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Since June 19,  
1970

### 8.3 *Guiding Principles in Drafting*

The abstract shall be so drafted that it can efficiently serve as a scanning tool for purposes of searching in the particular art, especially by assisting the scientist, engineer or researcher in formulating an opinion on whether there is a need for consulting the international application itself.

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Since June 19,  
1970

Rule 9  
Expressions, Etc., Not to Be Used

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Since June 19,  
1970

9.1 *Definition*

The international application shall not contain:

- (i) expressions or drawings contrary to morality;
  - (ii) expressions or drawings contrary to public order;
  - (iii) statements disparaging the products or processes of any particular person other than the applicant, or the merits or validity of applications or patents of any such person (mere comparisons with the prior art shall not be considered disparaging *per se*);
  - (iv) any statement or other matter obviously irrelevant or unnecessary under the circumstances.
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From June 19,  
1970 to  
June 30, 2016

9.2 *Noting of Lack of Compliance*

The receiving Office and the International Searching Authority may note lack of compliance with the prescriptions of Rule 9.1 and may suggest to the applicant that he voluntarily correct his international application accordingly. If the lack of compliance was noted by the receiving Office, that Office shall inform the competent International Searching Authority and the International Bureau; if the lack of compliance was noted by the International Searching Authority, that Authority shall inform the receiving Office and the International Bureau.

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Since July 1,  
2016

The receiving Office, the International Searching Authority, the Authority specified for supplementary search and the International Bureau may note lack of compliance with the prescriptions of Rule 9.1 and may suggest to the applicant that he voluntarily correct his international application accordingly, in which case the receiving Office, the competent International Searching Authority, the competent Authority specified for supplementary search and the International Bureau, as applicable, shall be informed of the suggestion.

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Since June 19,  
1970

9.3 *Reference to Article 21(6)*

“Disparaging statements,” referred to in Article 21(6), shall have the meaning as defined in Rule 9.1(iii).

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Since June 19,  
1970

Rule 10  
Terminology and Signs

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Since June 19, 10.1 *Terminology and Signs*  
1970

(a) Units of weights and measures shall be expressed in terms of the metric system, or also expressed in such terms if first expressed in terms of a different system.

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From June 19,  
1970 to  
September 30,  
1980

(b) Temperatures shall be expressed in degrees centigrade, or also expressed in degrees centigrade if first expressed in a different manner.

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Since  
October 1,  
1980

(b) Temperatures shall be expressed in degrees Celsius, or also expressed in degrees Celsius, if first expressed in a different manner.

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From June 19,  
1970 to  
September 30,  
1980

(c) Density shall be expressed in metric units.

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Since  
October 1,  
1980

(c) [Deleted]

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Since June 19,  
1970

(d) For indications of heat, energy, light, sound, and magnetism, as well as for mathematical formulae and electrical units, the rules of international practice shall be observed; for chemical formulae, the symbols, atomic weights, and molecular formulae, in general use, shall be employed.

(e) In general, only such technical terms, signs and symbols should be used as are generally accepted in the art.

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From June 19,  
1970 to  
December 31,  
1993

(f) When the international application or its translation is in English or Japanese, the beginning of any decimal fraction shall be marked by a period, whereas, when the international application or its translation is in a language other than English or Japanese, it shall be marked by a comma.

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Since January 1, 1994 (f) When the international application or its translation is in Chinese, English or Japanese, the beginning of any decimal fraction shall be marked by a period, whereas, when the international application or its translation is in a language other than Chinese, English or Japanese, it shall be marked by a comma.

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Since June 19, 1970 10.2 *Consistency*  
The terminology and the signs shall be consistent throughout the international application.

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Since June 19, 1970 Rule 11  
Physical Requirements of the International Application

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Since June 19, 1970 11.1 *Number of Copies*  
(a) Subject to the provisions of paragraph (b), the international application and each of the documents referred to in the check list (Rule 3.3(a)(ii)) shall be filed in one copy.  
(b) Any receiving Office may require that the international application and any of the documents referred to in the check list (Rule 3.3(a)(ii)), except the receipt for the fees paid or the check for the payment of the fees, be filed in two or three copies. In that case, the receiving Office shall be responsible for verifying the identity of the second and the third copies with the record copy.

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Since June 19, 1970 11.2 *Fitness for Reproduction*  
(a) All elements of the international application (i.e., the request, the description, the claims, the drawings, and the abstract) shall be so presented as to admit of direct reproduction by photography, electrostatic processes, photo offset, and microfilming, in any number of copies.

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(b) All sheets shall be free from creases and cracks; they shall not be folded.

(c) Only one side of each sheet shall be used.

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From June 19, 1970 to September 30, 1980 (d) Subject to Rule 11.13(j), each sheet shall be used in an upright position (i.e., the short sides at the top and bottom).

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since October 1, 1980 (d) Subject to Rule 11.10(d) and Rule 11.13(j), each sheet shall be used in an upright position (i.e., the short sides at the top and bottom).

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Since June 19, 1970 11.3 *Material to Be Used*  
All elements of the international application shall be on paper which shall be flexible, strong, white, smooth, non-shiny, and durable.

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Since June 19, 1970 11.4 *Separate Sheets, Etc.*  
(a) Each element (request, description, claims, drawings, abstract) of the international application shall commence on a new sheet.

(b) All sheets of the international application shall be so connected that they can be easily turned when consulted, and easily separated and joined again if they have been separated for reproduction purposes.

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Since June 19, 1970 11.5 *Size of Sheets*  
The size of the sheets shall be A4 (29.7 cm x 21 cm). However, any receiving Office may accept international applications on sheets of other sizes provided that the record copy, as transmitted to the International Bureau, and, if the competent International Searching Authority so desires, the search copy, shall be of A4 size.

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Since June 19, 1970 11.6 *Margins*

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From June 19, 1970 to April 13, 1978 (a) The minimum margins of the sheets containing the request, the description, the claims, and the abstract, shall be as follows:

- top of first sheet, except that of the request: 8 cm
- top of other sheets: 2 cm
- left side: 2.5 cm
- right side: 2 cm
- bottom: 2 cm

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From April 14, 1978 to June 30, 1992

(a) The minimum margins of the sheets containing the request, the description, the claims, and the abstract, shall be as follows:

- top: 2 cm
- left side: 2.5 cm
- right side: 2 cm
- bottom: 2 cm

---

Since July 1, 1992

(a) The minimum margins of the sheets containing the description, the claims, and the abstract, shall be as follows:

- top: 2 cm
- left side: 2.5 cm
- right side: 2 cm
- bottom: 2 cm

---

From June 19, 1970 to April 13, 1978

(b) The recommended maximum, for the margins provided for in paragraph (a), is as follows:

- top of first sheet, except that of the request: 9 cm
- top of other sheets: 4 cm
- left side: 4 cm
- right side: 3 cm
- bottom: 3 cm.

---

Since April 14, 1978

(b) The recommended maximum, for the margins provided for in paragraph (a), is as follows:

- top: 4 cm
- left side: 4 cm
- right side: 3 cm
- bottom: 3 cm.

---

Since June 19, 1970 (c) On sheets containing drawings, the surface usable shall not exceed 26.2 cm x 17.0 cm. The sheets shall not contain frames around the usable or used surface. The minimum margins shall be as follows:

- top: 2.5 cm
- left side: 2.5 cm
- right side: 1.5 cm
- bottom: 1 cm.

(d) The margins referred to in paragraphs (a) to (c) apply to A4-size sheets, so that, even if the receiving Office accepts other sizes, the A4-size record copy and, when so required, the A4-size search copy shall leave the aforesaid margins.

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From June 19, 1970 to June 30, 1992 (e) The margins of the international application, when submitted, must be completely blank.

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Since July 1, 1992 (e) Subject to paragraph (f) and to Rule 11.8(b), the margins of the international application, when submitted, must be completely blank.

(f) The top margin may contain in the left-hand corner an indication of the applicant's file reference, provided that the reference appears within 1.5 cm from the top of the sheet. The number of characters in the applicant's file reference shall not exceed the maximum fixed by the Administrative Instructions.

---

Since June 19, 1970 11.7 *Numbering of Sheets*  
(a) All the sheets contained in the international application shall be numbered in consecutive Arabic numerals.

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From June 19, 1970 to June 30, 1992 (b) The numbers shall be placed at the top of the sheet, in the middle, but not in the margin.

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Since July 1, 1992 (b) The numbers shall be centered at the top or bottom of the sheet, but shall not be placed in the margin.

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Since June 19, 1970 11.8 *Numbering of Lines*  
(a) It is strongly recommended to number every fifth line of each sheet of the description, and of each sheet of claims.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to June 30, 1992      (b) The numbers should appear on the left side, to the right of the margin.

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Since July 1, 1992      (b) The numbers should appear in the right half of the left margin.

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Since June 19, 1970      11.9      *Writing of Text Matter*  
(a) The request, the description, the claims and the abstract shall be typed or printed.

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From June 19, 1970 to December 31, 1993      (b) Only graphic symbols and characters, chemical or mathematical formulae, and certain characters in the Japanese language may, when necessary, be written by hand or drawn.

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Since January 1, 1994      (b) Only graphic symbols and characters, chemical or mathematical formulae, and certain characters in the Chinese or Japanese language may, when necessary, be written by hand or drawn.

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Since June 19, 1970      (c) The typing shall be 1½-spaced.

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From June 19, 1970 to March 31, 2007      (d) All text matter shall be in characters the capital letters of which are not less than 0.21 cm high, and shall be in a dark, indelible color, satisfying the requirements specified in Rule 11.2.

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Since April 1, 2007      (d) All text matter shall be in characters the capital letters of which are not less than 0.28 cm high, and shall be in a dark, indelible color, satisfying the requirements specified in Rule 11.2, provided that any text matter in the request may be in characters the capital letters of which are not less than 0.21 cm high.

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From June 19, 1970 to December 31, 1993      (e) As far as the spacing of the typing and the size of the characters are concerned, paragraphs (c) and (d) shall not apply to texts in the Japanese language.

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Since January 1, 1994 (e) As far as the spacing of the typing and the size of the characters are concerned, paragraphs (c) and (d) shall not apply to texts in the Chinese or Japanese language.

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Since June 19, 1970 11.10 *Drawings, Formulae and Tables in Text Matter*  
(a) The request, the description, the claims and the abstract shall not contain drawings.  
(b) The description, the claims and the abstract may contain chemical or mathematical formulae.  
(c) The description and the abstract may contain tables; any claim may contain tables only if the subject matter of the claim makes the use of tables desirable.

---

Since October 1, 1980 (d) Tables and chemical or mathematical formulae may be placed sideways on the sheet if they cannot be presented satisfactorily in an upright position thereon; sheets on which tables or chemical or mathematical formulae are presented sideways shall be so presented that the tops of the tables or formulae are at the left side of the sheet.

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Since June 19, 1970 11.11 *Words in Drawings*  
(a) The drawings shall not contain text matter, except a single word or words, when absolutely indispensable, such as “water,” “steam,” “open,” “closed,” “section on AB,” and, in the case of electric circuits and block schematic or flow sheet diagrams, a few short catchwords indispensable for understanding.  
(b) Any words used shall be so placed that, if translated, they may be pasted over without interfering with any lines of the drawings.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19, 1970 11.12 *Alterations, Etc.*

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From June 19, 1970 to September 30, 1980 Each sheet shall be reasonably free from erasures and shall be free from alterations, overwritings, and interlineations. Non-compliance with this Rule may be authorized, in exceptional cases, if the authenticity of the content is not in question and the requirements for good reproduction are not in jeopardy.

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Since October 1, 1980 Each sheet shall be reasonably free from erasures and shall be free from alterations, overwritings, and interlineations. Non-compliance with this Rule may be authorized if the authenticity of the content is not in question and the requirements for good reproduction are not in jeopardy.

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Since June 19, 1970 11.13 *Special Requirements for Drawings*

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From June 19, 1970 to April 13, 1978 (a) Drawings shall be executed in durable, black or blue, sufficiently dense and dark, uniformly thick and well defined, lines and strokes without colorings.

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Since April 14, 1978 (a) Drawings shall be executed in durable, black, sufficiently dense and dark, uniformly thick and well-defined, lines and strokes without colorings.

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Since June 19, 1970

(b) Cross-sections shall be indicated by oblique hatching which should not impede the clear reading of the reference signs and leading lines.

(c) The scale of the drawings and the distinctness of their graphical execution shall be such that a photographic reproduction with a linear reduction in size to two-thirds would enable all details to be distinguished without difficulty.

(d) When, in exceptional cases, the scale is given on a drawing, it shall be represented graphically.

(e) All numbers, letters and reference lines, appearing on the drawings, shall be simple and clear. Brackets, circles or inverted commas shall not be used in association with numbers and letters.

(f) All lines in the drawings shall, ordinarily, be drawn with the aid of drafting instruments.

(g) Each element of each figure shall be in proper proportion to each of the other elements in the figure, except where the use of a different proportion is indispensable for the clarity of the figure.

(h) The height of the numbers and letters shall not be less than 0.32 cm. For the lettering of drawings, the Latin and, where customary, the Greek alphabets shall be used.

(i) The same sheet of drawings may contain several figures. Where figures on two or more sheets form in effect a single complete figure, the figures on the several sheets shall be so arranged that the complete figure can be assembled without concealing any part of any of the figures appearing on the various sheets.

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From June 19, 1970 to September 30, 1980

(j) The different figures shall be arranged on a sheet or sheets without wasting space, preferably in an upright position, clearly separated from one another.

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Since October 1, 1980

(j) The different figures shall be arranged on a sheet or sheets without wasting space, preferably in an upright position, clearly separated from one another. Where the figures are not arranged in an upright position, they shall be presented sideways with the top of the figures at the left side of the sheet.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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- Since June 19, 1970
- (k) The different figures shall be numbered in Arabic numerals consecutively and independently of the numbering of the sheets.
  - (l) Reference signs not mentioned in the description shall not appear in the drawings, and vice versa.
  - (m) The same features, when denoted by reference signs, shall, throughout the international application, be denoted by the same signs.
  - (n) If the drawings contain a large number of reference signs, it is strongly recommended to attach a separate sheet listing all reference signs and the features denoted by them.
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Since June 19, 1970

11.14 *Later Documents*

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From June 19, 1970 to June 30, 1998

Rules 10, and 11.1 to 11.13, also apply to any document – for example, corrected pages, amended claims – submitted after the filing of the international application.

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From July 1, 1998 to March 31, 2007

Rules 10, and 11.1 to 11.13, also apply to any document – for example, corrected pages, amended claims, translations – submitted after the filing of the international application.

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Since April 1, 2007

Rules 10, and 11.1 to 11.13, also apply to any document – for example, replacement sheets, amended claims, translations – submitted after the filing of the international application.

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From June 19, 1970 to December 31, 1984

11.15 *Translations*

No designated Office shall require that the translation of an international application filed with it comply with requirements other than those prescribed for the international application as filed.

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Since January 1, 1985

11.15 *[Deleted]*

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19,  
1970 to  
June 30, 1998

Rule 12  
Language of the International Application

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From July 1,  
1998 to  
December 31,  
2002

Rule 12  
Language of the International Application and Translation  
for the Purposes of International Search

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From  
January 1,  
2003 to  
March 31,  
2007

Rule 12  
Language of the International Application  
and Translation for the Purposes of International Search  
and International Publication

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Since April 1,  
2007

Rule 12  
Language of the International Application  
and Translations for the Purposes of International Search  
and International Publication

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From June 19,  
1970 to  
December 31,  
1984

12.1 *The International Application*

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From  
January 1,  
1985 to  
June 30, 1998

12.1 *Admitted Languages*

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Since July 1,  
1998

12.1 *Languages Accepted for the Filing of International Applications*

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## History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to December 31, 1984	Any international application shall be filed in the language, or one of the languages, specified in the agreement concluded between the International Bureau and the International Searching Authority competent for the international searching of that application, provided that, if the agreement specifies several languages, the receiving Office may prescribe among the specified languages that language in which or those languages in one of which the international application must be filed.
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From January 1, 1985 to June 30, 1998	(a) Any international application shall be filed in the language, or one of the languages, specified in the agreement concluded between the International Bureau and the International Searching Authority competent for the international searching of that application, provided that, if the agreement specifies several languages, the receiving Office may prescribe among the specified languages that language in which or those languages in one of which the international application must be filed.
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Since July 1, 1998	(a) An international application shall be filed in any language which the receiving Office accepts for that purpose.
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From January 1, 1985 to June 30, 1992	(b) If the international application is filed in a language other than the language in which it is to be published, the request may, notwithstanding paragraph (a), be filed in the language of publication.
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From July 1, 1992 to June 30, 1998	(b) Notwithstanding paragraph (a), the request, any text matter of the drawings, and the abstract need not be in the same language as other elements of the international application, provided that: <ul style="list-style-type: none"><li>(i) the request is in a language admitted under paragraph (a) or in the language in which the international application is to be published;</li><li>(ii) the text matter of the drawings is in the language in which the international application is to be published;</li><li>(iii) the abstract is in the language in which the international application is to be published.</li></ul>
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 1998

(b) Each receiving Office shall, for the filing of international applications, accept at least one language which is both:

- (i) a language accepted by the International Searching Authority, or, if applicable, by at least one of the International Searching Authorities, competent for the international searching of international applications filed with that receiving Office, and
- (ii) a language of publication.
- (iii) *[Deleted]*

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From January 1, 1985 to June 30, 1998

(c) Subject to paragraph (d), where the official language of the receiving Office is one of the languages referred to in Rule 48.3(a) but is a language not specified in the agreement referred to in paragraph (a), the international application may be filed in the said official language. If the international application is filed in the said official language, the search copy transmitted to the International Searching Authority under Rule 23.1 shall be accompanied by a translation into the language, or one of the languages, specified in the agreement referred to in paragraph (a); such translation shall be prepared under the responsibility of the receiving Office.

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From July 1, 1998 to December 31, 2002

(c) Notwithstanding paragraph (a), the request shall be filed in a language which is both a language accepted by the receiving Office under that paragraph and a language of publication.

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Since January 1, 2003

(c) Notwithstanding paragraph (a), the request shall be filed in any language of publication which the receiving Office accepts for the purposes of this paragraph.

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From January 1, 1985 to June 30, 1998

(d) Paragraph (c) shall apply only where the International Searching Authority has declared, in a notification addressed to the International Bureau, that it accepts to search international applications on the basis of the translation referred to in paragraph (c).

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Since July 1, 1998

(d) Notwithstanding paragraph (a), any text matter contained in the sequence listing part of the description referred to in Rule 5.2(a) shall be presented in accordance with the standard provided for in the Administrative Instructions.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since April 1, 2007      12.1*bis* *Language of Elements and Parts Furnished under Rule 20.3, 20.5 or 20.6*

An element referred to in Article 11(1)(iii)(d) or (e) furnished by the applicant under Rule 20.3(b) or 20.6(a) and a part of the description, claims or drawings furnished by the applicant under Rule 20.5(b) or 20.6(a) shall be in the language of the international application as filed or, where a translation of the application is required under Rule 12.3(a) or 12.4(a), in both the language of the application as filed and the language of that translation.

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Since April 1, 2007      12.1*ter* *Language of Indications Furnished under Rule 13bis.4*

Any indication in relation to deposited biological material furnished under Rule 13*bis*.4 shall be in the language in which the international application is filed, provided that, where a translation of the international application is required under Rule 12.3(a) or 12.4(a), any such indication shall be furnished in both the language in which the application is filed and the language of that translation.

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From June 19, 1970 to December 31, 1984      12.2      *Changes in the International Application*

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Since January 1, 1985      12.2      *Language of Changes in the International Application*

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From June 19, 1970 to December 31, 1984      Any changes in the international application, such as amendments and corrections, shall be in the same language as the said application (cf. Rule 66.5).

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From January 1, 1985 to June 30, 1998      Any changes in the international application, such as amendments and corrections, shall, subject to Rules 46.3 and 66.9, be in the same language as the said application.

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From July 1, 1998 to June 30, 2011      (a) Any amendment of the international application shall, subject to Rules 46.3, 55.3 and 66.9, be in the language in which the application is filed.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 2011	(a) Any amendment of the international application shall, subject to Rules 46.3 and 55.3, be in the language in which the application is filed.
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From July 1, 1998 to March 31, 2007	(b) Any rectification under Rule 91.1 of an obvious error in the international application shall be in the language in which the application is filed, provided that:
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Since April 1, 2007	(b) Any rectification under Rule 91.1 of an obvious mistake in the international application shall be in the language in which the application is filed, provided that:
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From July 1, 1998 to December 31, 2002	(i) where a translation of the international application is required under Rule 12.3(a), 48.3(b) or 55.2(a), rectifications referred to in Rule 91.1(e)(ii) and (iii) shall be filed in both the language of the application and the language of that translation;
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From January 1, 2003 to March 31, 2007	(i) where a translation of the international application is required under Rule 12.3(a), 12.4(a) or 55.2(a), rectifications referred to in Rule 91.1(e)(ii) and (iii) shall be filed in both the language of the application and the language of that translation;
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Since April 1, 2007	(i) where a translation of the international application is required under Rule 12.3(a), 12.4(a) or 55.2(a), rectifications referred to in Rule 91.1(b)(ii) and (iii) shall be filed in both the language of the application and the language of that translation;
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From July 1, 1998 to March 31, 2007	(ii) where a translation of the request is required under Rule 26.3ter(c) rectifications referred to in Rule 91.1(e)(i) need only be filed in the language of that translation.
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Since April 1, 2007	(ii) where a translation of the request is required under Rule 26.3ter(c), rectifications referred to in Rule 91.1(b)(i) need only be filed in the language of that translation.
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From July 1, 1998 to March 31, 2007

(c) Any correction under Rule 26 of a defect in the international application shall be in the language in which the international application is filed. Any correction under Rule 26 of a defect in a translation of the international application furnished under Rule 12.3 or 55.2(a), or in a translation of the request furnished under Rule 26.3~~ter~~(c), shall be in the language of the translation.

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Since April 1, 2007

(c) Any correction under Rule 26 of a defect in the international application shall be in the language in which the international application is filed. Any correction under Rule 26 of a defect in a translation of the international application furnished under Rule 12.3 or 12.4, any correction under Rule 55.2(c) of a defect in a translation furnished under Rule 55.2(a), or any correction of a defect in a translation of the request furnished under Rule 26.3~~ter~~(c), shall be in the language of the translation.

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Since July 1, 1998

12.3 *Translation for the Purposes of International Search*

(a) Where the language in which the international application is filed is not accepted by the International Searching Authority that is to carry out the international search, the applicant shall, within one month from the date of receipt of the international application by the receiving Office, furnish to that Office a translation of the international application into a language which is all of the following:

- (i) a language accepted by that Authority, and
- (ii) a language of publication, and
- (iii) a language accepted by the receiving Office under Rule 12.1(a), unless the international application is filed in a language of publication.

(b) Paragraph (a) shall not apply to the request nor to any sequence listing part of the description.

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From July 1, 1998 to March 31, 2007

(c) Where, by the time the receiving Office sends to the applicant the notification under Rule 20.5(c), the applicant has not furnished a translation required under paragraph (a), the receiving Office shall, preferably together with that notification, invite the applicant:

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since April 1, 2007

(c) Where, by the time the receiving Office sends to the applicant the notification under Rule 20.2(c), the applicant has not furnished a translation required under paragraph (a), the receiving Office shall, preferably together with that notification, invite the applicant:

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Since July 1, 1998

(i) to furnish the required translation within the time limit under paragraph (a);

(ii) in the event that the required translation is not furnished within the time limit under paragraph (a), to furnish it and to pay, where applicable, the late furnishing fee referred to in paragraph (e), within one month from the date of the invitation or two months from the date of receipt of the international application by the receiving Office, whichever expires later.

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Since July 1, 1998

(d) Where the receiving Office has sent to the applicant an invitation under paragraph (c) and the applicant has not, within the applicable time limit under paragraph (c)(ii), furnished the required translation and paid any required late furnishing fee, the international application shall be considered withdrawn and the receiving Office shall so declare. Any translation and any payment received by the receiving Office before that Office makes the declaration under the previous sentence and before the expiration of 15 months from the priority date shall be considered to have been received before the expiration of that time limit.

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From July 1, 1998 to December 31, 2002

(e) The furnishing of a translation after the expiration of the time limit under paragraph (a) may be subjected by the receiving Office to the payment to it, for its own benefit, of a late furnishing fee equal to 50% of the basic fee.

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From January 1, 2003 to December 31, 2003

(e) The furnishing of a translation after the expiration of the time limit under paragraph (a) may be subjected by the receiving Office to the payment to it, for its own benefit, of a late furnishing fee equal to 50% of the basic fee referred to in item 1(a) of the Schedule of Fees.

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Since January 1, 2004

(e) The furnishing of a translation after the expiration of the time limit under paragraph (a) may be subjected by the receiving Office to the payment to it, for its own benefit, of a late furnishing fee equal to 25% of the international filing fee referred to in item 1 of the Schedule of Fees, not taking into account any fee for each sheet of the international application in excess of 30 sheets.

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Since January 1, 2003

12.4 *Translation for the Purposes of International Publication*

(a) Where the language in which the international application is filed is not a language of publication and no translation is required under Rule 12.3(a), the applicant shall, within 14 months from the priority date, furnish to the receiving Office a translation of the international application into any language of publication which the receiving Office accepts for the purposes of this paragraph.

(b) Paragraph (a) shall not apply to the request nor to any sequence listing part of the description.

(c) Where the applicant has not, within the time limit referred to in paragraph (a), furnished a translation required under that paragraph, the receiving Office shall invite the applicant to furnish the required translation, and to pay, where applicable, the late furnishing fee required under paragraph (e), within 16 months from the priority date. Any translation received by the receiving Office before that Office sends the invitation under the previous sentence shall be considered to have been received before the expiration of the time limit under paragraph (a).

(d) Where the applicant has not, within the time limit under paragraph (c), furnished the required translation and paid any required late furnishing fee, the international application shall be considered withdrawn and the receiving Office shall so declare. Any translation and any payment received by the receiving Office before that Office makes the declaration under the previous sentence and before the expiration of 17 months from the priority date shall be considered to have been received before the expiration of that time limit.

From January 1, 2003 to December 31, 2003

(e) The furnishing of a translation after the expiration of the time limit under paragraph (a) may be subjected by the receiving Office to the payment to it, for its own benefit, of a late furnishing fee equal to 50% of the basic fee referred to in item 1(a) of the Schedule of Fees.

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Since January 1, 2004

(e) The furnishing of a translation after the expiration of the time limit under paragraph (a) may be subjected by the receiving Office to the payment to it, for its own benefit, of a late furnishing fee equal to 25% of the international filing fee referred to in item 1 of the Schedule of Fees, not taking into account any fee for each sheet of the international application in excess of 30 sheets.

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Since July 1, 2008

Rule 12*bis*  
Copy of Results of Earlier Search  
and of Earlier Application; Translation

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Since July 1, 2008

12*bis.1* *Copy of Results of Earlier Search and of Earlier Application; Translation*

(a) Where the applicant has, under Rule 4.12, requested the International Searching Authority to take into account the results of an earlier search carried out by the same or another International Searching Authority or by a national Office, the applicant shall, subject to paragraphs (c) to (f), submit to the receiving Office, together with the international application, a copy of the results of the earlier search, in whatever form (for example, in the form of a search report, a listing of cited prior art or an examination report) they are presented by the Authority or Office concerned.

(b) The International Searching Authority may, subject to paragraphs (c) to (f), invite the applicant to furnish to it, within a time limit which shall be reasonable under the circumstances:

(i) a copy of the earlier application concerned;

(ii) where the earlier application is in a language which is not accepted by the International Searching Authority, a translation of the earlier application into a language which is accepted by that Authority;

(iii) where the results of the earlier search are in a language which is not accepted by the International Searching Authority, a translation of those results into a language which is accepted by that Authority;

(iv) a copy of any document cited in the results of the earlier search.

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(c) Where the earlier search was carried out by the same Office as that which is acting as the receiving Office, the applicant may, instead of submitting the copies referred to in paragraphs (a) and (b)(i) and (iv), indicate the wish that the receiving Office prepare and transmit them to the International Searching Authority. Such request shall be made in the request and may be subjected by the receiving Office to the payment to it, for its own benefit, of a fee.

(d) Where the earlier search was carried out by the same International Searching Authority, or by the same Office as that which is acting as the International Searching Authority, no copy or translation referred to in paragraphs (a) and (b) shall be required to be submitted under those paragraphs.

(e) Where the request contains a statement under Rule 4.12(ii) to the effect that the international application is the same, or substantially the same, as the application in respect of which the earlier search was carried out, or that the international application is the same, or substantially the same, as that earlier application except that it is filed in a different language, no copy or translation referred to in paragraphs (b)(i) and (ii) shall be required to be submitted under those paragraphs.

(f) Where a copy or translation referred to in paragraphs (a) and (b) is available to the International Searching Authority in a form and manner acceptable to it, for example, from a digital library or in the form of the priority document, and the applicant so indicates in the request, no copy or translation shall be required to be submitted under those paragraphs.

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Since June 19,  
1970

Rule 13  
Unity of Invention

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Since June 19,  
1970

13.1 *Requirement*

The international application shall relate to one invention only or to a group of inventions so linked as to form a single general inventive concept (“requirement of unity of invention”).

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From June 19,  
1970 to  
June 30, 1992

13.2 *Claims of Different Categories*

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Since July 1, 1992      13.2      *Circumstances in Which the Requirement of Unity of Invention Is to Be Considered Fulfilled*

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From June 19, 1970 to September 30, 1980

Rule 13.1 shall be construed as permitting, in particular, either of the following two possibilities:

(i) in addition to an independent claim for a given product, the inclusion in the same international application of one independent claim for one process specially adapted for the manufacture of the said product, and the inclusion in the same international application of one independent claim for one use of the said product, or

(ii) in addition to an independent claim for a given process, the inclusion in the same international application of one independent claim for one apparatus or means specifically designed for carrying out the said process.

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From October 1, 1980 to June 30, 1992

Rule 13.1 shall be construed as permitting, in particular, one of the following three possibilities:

(i) in addition to an independent claim for a given product, the inclusion in the same international application of an independent claim for a process specially adapted for the manufacture of the said product, and the inclusion in the same international application of an independent claim for a use of the said product, or

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(ii) in addition to an independent claim for a given process, the inclusion in the same international application of an independent claim for an apparatus or means specifically designed for carrying out the said process, or

(iii) in addition to an independent claim for a given product, the inclusion in the same international application of an independent claim for a process specially adapted for the manufacture of the product, and the inclusion in the same international application of an independent claim for an apparatus or means specifically designed for carrying out the process.

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Since July 1, 1992

Where a group of inventions is claimed in one and the same international application, the requirement of unity of invention referred to in Rule 13.1 shall be fulfilled only when there is a technical relationship among those inventions involving one or more of the same or corresponding special technical features. The expression “special technical features” shall mean those technical features that define a contribution which each of the claimed inventions, considered as a whole, makes over the prior art.

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From June 19, 1970 to June 30, 1992

13.3 *Claims of One and the Same Category*

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Since July 1, 1992

13.3 *Determination of Unity of Invention Not Affected by Manner of Claiming*

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From June 19, 1970 to June 30, 1992

Subject to Rule 13.1, it shall be permitted to include in the same international application two or more independent claims of the same category (i. e., product, process, apparatus, or use) which cannot readily be covered by a single generic claim.

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Since July 1, 1992

The determination whether a group of inventions is so linked as to form a single general inventive concept shall be made without regard to whether the inventions are claimed in separate claims or as alternatives within a single claim.

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Since June 19, 1970

13.4 *Dependent Claims*

Subject to Rule 13.1, it shall be permitted to include in the same international application a reasonable number of dependent claims, claiming specific forms of the invention claimed in an independent claim, even where the features of any dependent claim could be considered as constituting in themselves an invention.

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Since June 19, 1970      13.5      *Utility Models*

Any designated State in which the grant of a utility model is sought on the basis of an international application may, instead of Rules 13.1 to 13.4, apply in respect of the matters regulated in those Rules the provisions of its national law concerning utility models once the processing of the international application has started in that State, provided that the applicant shall be allowed at least two months from the expiration of the time limit applicable under Article 22 to adapt his application to the requirements of the said provisions of the national law.

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From  
January 1,  
1981 to  
June 30, 1998

Rule 13*bis*  
Microbiological Inventions

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Since July 1,  
1998

Rule 13*bis*  
Inventions Relating to Biological Material

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Since  
January 1,  
1981

13*bis*.1 *Definition*

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From  
January 1,  
1981 to  
June 30, 1998

For the purposes of this Rule, “reference to a deposited microorganism” means particulars given in an international application with respect to the deposit of a microorganism with a depositary institution or to the microorganism so deposited.

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Since July 1,  
1998

For the purposes of this Rule, “reference to deposited biological material” means particulars given in an international application with respect to the deposit of biological material with a depositary institution or to the biological material so deposited.

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Since  
January 1,  
1981

13*bis*.2 *References (General)*

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1981 to June 30, 1998	Any reference to a deposited microorganism shall be made in accordance with this Rule and, if so made, shall be considered as satisfying the requirements of the national law of each designated State.
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Since July 1, 1998	Any reference to deposited biological material shall be made in accordance with this Rule and, if so made, shall be considered as satisfying the requirements of the national law of each designated State.
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Since January 1, 1981	<i>13bis.3 References: Contents; Failure to Include Reference or Indication</i>
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From January 1, 1981 to June 30, 1998	(a) A reference to a deposited microorganism shall indicate,
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Since July 1, 1998	(a) A reference to deposited biological material shall indicate:
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Since January 1, 1981	(i) the name and the address of the depositary institution with which the deposit was made;
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From January 1, 1981 to June 30, 1998	(ii) the date of deposit of the microorganism with that institution;
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Since July 1, 1998	(ii) the date of deposit of the biological material with that institution;
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Since January 1, 1981	(iii) the accession number given to the deposit by that institution; and (iv) any additional matter of which the International Bureau has been notified pursuant to Rule 13bis.7(a)(i), provided that the requirement to indicate that matter was published in the Gazette in accordance with Rule 13bis.7(c) at least two months before the filing of the international application.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1981 to June 30, 1998 (b) Failure to include a reference to a deposited microorganism or failure to include, in a reference to a deposited microorganism, an indication in accordance with paragraph (a), shall have no consequence in any designated State whose national law does not require such reference or such indication in a national application.

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Since July 1, 1998 (b) Failure to include a reference to deposited biological material or failure to include, in a reference to deposited biological material, an indication in accordance with paragraph (a), shall have no consequence in any designated State whose national law does not require such reference or such indication in a national application.

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From January 1, 1981 to June 30, 1998 *13bis.4 References: Time of Furnishing Indications*

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Since July 1, 1998 *13bis.4 References: Time Limit for Furnishing Indications*

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From  
January 1,  
1981 to  
June 30, 1998

If any of the indications referred to in Rule 13*bis*.3(a) is not included in a reference to a deposited microorganism in the international application as filed but is furnished by the applicant to the International Bureau within 16 months after the priority date, the indication shall be considered by any designated Office to have been furnished in time unless its national law requires the indication to be furnished at an earlier time in the case of a national application and the International Bureau has been notified of such requirement pursuant to Rule 13*bis*.7(a)(ii), provided that the International Bureau has published such requirement in the Gazette in accordance with Rule 13*bis*.7(c) at least two months before the filing of the international application. In the event that the applicant makes a request for early publication under Article 21(2)(b), however, any designated Office may consider any indication not furnished by the time such request is made as not having been furnished in time. Irrespective of whether the applicable time limit under the preceding sentences has been observed, the International Bureau shall notify the applicant and the designated Offices of the date on which it has received any indication not included in the international application as filed. The International Bureau shall indicate that date in the international publication of the international application if the indication has been furnished to it before the completion of technical preparations for international publication.

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Since July 1,  
1998

(a) Subject to paragraphs (b) and (c), if any of the indications referred to in Rule 13*bis*.3(a) is not included in a reference to deposited biological material in the international application as filed but is furnished to the International Bureau:

(i) within 16 months from the priority date, the indication shall be considered by any designated Office to have been furnished in time;

(ii) after the expiration of 16 months from the priority date, the indication shall be considered by any designated Office to have been furnished on the last day of that time limit if it reaches the International Bureau before the technical preparations for international publication have been completed.

(b) If the national law applicable by a designated Office so requires in respect of national applications, that Office may require that any of the indications referred to in Rule 13*bis*.3(a) be furnished earlier than 16 months from the priority date, provided that the International Bureau has been notified of such requirement pursuant to Rule 13*bis*.7(a)(ii) and has published such requirement in the Gazette in accordance with Rule 13*bis*.7(c) at least two months before the filing of the international application.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

(c) Where the applicant makes a request for early publication under Article 21(2)(b), any designated Office may consider any indication not furnished before the technical preparations for international publication have been completed as not having been furnished in time.

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From July 1,  
1998 to  
March 31,  
2006

(d) The International Bureau shall notify the applicant of the date on which it received any indication furnished under paragraph (a), and

(i) if the indication was received before the technical preparations for international publication have been completed, indicate that date, and include the relevant data from the indication, in the pamphlet published under Rule 48;

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Since April 1,  
2006

(d) The International Bureau shall notify the applicant of the date on which it received any indication furnished under paragraph (a), and:

(i) if the indication was received before the technical preparations for international publication have been completed, publish the indication furnished under paragraph (a), and an indication of the date of receipt, together with the international application;

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Since July 1,  
1998

(ii) if the indication was received after the technical preparations for international publication have been completed, notify that date and the relevant data from the indication to the designated Offices.

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Since  
January 1,  
1981

*13bis.5 References and Indications for the Purposes of One or More Designated States; Different Deposits for Different Designated States; Deposits with Depositary Institutions Other than Those Notified*

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From  
January 1,  
1981 to  
June 30, 1998

(a) A reference to a deposited microorganism shall be considered to be made for the purposes of all designated States, unless it is expressly made for the purposes of certain of the designated States only; the same applies to the indications included in the reference.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 1998 (a) A reference to deposited biological material shall be considered to be made for the purposes of all designated States, unless it is expressly made for the purposes of certain of the designated States only; the same applies to the indications included in the reference.

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From January 1, 1981 to June 30, 1998 (b) References to different deposits of the microorganism may be made for different designated States.

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Since July 1, 1998 (b) References to different deposits of the biological material may be made for different designated States.

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From January 1, 1981 to June 30, 1998 (c) Any designated Office shall be entitled to disregard a deposit made with a depositary institution other than one notified by it under Rule 13*bis*.7(b).

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Since July 1, 1998 (c) Any designated Office may disregard a deposit made with a depositary institution other than one notified by it under Rule 13*bis*.7(b).

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Since January 1, 1981 13*bis*.6 *Furnishing of Samples*

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From January 1, 1981 to June 30, 1998 (a) Where the international application contains a reference to a deposited microorganism, the applicant shall, upon the request of the International Searching Authority or the International Preliminary Examining Authority, authorize and assure the furnishing of a sample of that microorganism by the depositary institution to the said Authority, provided that the said Authority has notified the International Bureau that it may require the furnishing of samples and that such samples will be used solely for the purposes of international search or international preliminary examination, as the case may be, and such notification has been published in the Gazette.

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Since July 1, 1998 (a) [Deleted]

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1981 to June 30, 1998

(b) Pursuant to Articles 23 and 40, no furnishing of samples of the deposited microorganism to which a reference is made in an international application shall, except with the authorization of the applicant, take place before the expiration of the applicable time limits after which national processing may start under the said Articles. However, where the applicant performs the acts referred to in Articles 22 or 39 after international publication but before the expiration of the said time limits, the furnishing of samples of the deposited microorganism may take place, once the said acts have been performed. Notwithstanding the previous provision, the furnishing of samples from the deposited microorganism may take place under the national law applicable for any designated Office as soon as, under that law, the international publication has the effects of the compulsory national publication of an unexamined national application.

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Since July 1, 1998

Pursuant to Articles 23 and 40, no furnishing of samples of the deposited biological material to which a reference is made in an international application shall, except with the authorization of the applicant, take place before the expiration of the applicable time limits after which national processing may start under the said Articles. However, where the applicant performs the acts referred to in Articles 22 or 39 after international publication but before the expiration of the said time limits, the furnishing of samples of the deposited biological material may take place, once the said acts have been performed. Notwithstanding the previous provision, the furnishing of samples of the deposited biological material may take place under the national law applicable by any designated Office as soon as, under that law, the international publication has the effects of the compulsory national publication of an unexamined national application.

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Since January 1, 1981

*13bis.7 National Requirements: Notification and Publication*

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From January 1, 1981 to June 30, 1998

(a) Any national Office may notify the International Bureau of any requirement of the national law,

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Since July 1, 1998

(a) Any national Office may notify the International Bureau of any requirement of the national law:

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1981 to June 30, 1998	(i) that any matter specified in the notification, in addition to those referred to in Rule 13 <i>bis</i> .3(a)(i), (ii) and (iii), is required to be included in a reference to a deposited microorganism in a national application;
Since July 1, 1998	(i) that any matter specified in the notification, in addition to those referred to in Rule 13 <i>bis</i> .3(a)(i), (ii) and (iii), is required to be included in a reference to deposited biological material in a national application;
From January 1, 1981 to June 30, 1998	(ii) that one or more of the indications referred to in Rule 13 <i>bis</i> .3(a) are required to be included in a national application as filed or are required to be furnished at a time specified in the notification which is earlier than 16 months after the priority date.
Since July 1, 1998	(ii) that one or more of the indications referred to in Rule 13 <i>bis</i> .3(a) are required to be included in a national application as filed or are required to be furnished at a time specified in the notification which is earlier than 16 months from the priority date.
From January 1, 1981 to December 31, 1984	(b) Each national Office shall notify the International Bureau a first time before entry into force of this Rule and then each time a change occurs of the depositary institutions with which the national law permits deposits of microorganisms to be made for the purposes of patent procedure before that Office or, if the national law does not provide for or permit such deposits, of that fact.
From January 1, 1985 to June 30, 1998	(b) Each national Office shall notify the International Bureau of the depositary institutions with which the national law permits deposits of microorganisms to be made for the purposes of patent procedure before that Office or, if the national law does not provide for or permit such deposits, of that fact.
Since July 1, 1998	(b) Each national Office shall notify the International Bureau of the depositary institutions with which the national law permits deposits of biological materials to be made for the purposes of patent procedure before that Office or, if the national law does not provide for or permit such deposits, of that fact.
Since January 1, 1981	(c) The International Bureau shall promptly publish in the Gazette requirements notified to it under paragraph (a) and information notified to it under paragraph (b).

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Since July 1,  
1992

Rule 13ter  
Nucleotide and/or Amino Acid Sequence Listings

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From July 1,  
1992 to  
March 31,  
2005

13ter.1 *Sequence Listing for International Authorities*

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Since April 1,  
2005

13ter.1 *Procedure before the International Searching Authority*

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From July 1,  
1992 to  
June 30, 1998

(a) If the International Searching Authority finds that a nucleotide and/or amino acid sequence listing does not comply with the standard prescribed in the Administrative Instructions under Rule 5.2, and/or is not in a machine readable form provided for in those Instructions, it may invite the applicant, within a time limit fixed in the invitation, as the case may be:

(i) to furnish to it a listing of the sequence complying with the prescribed standard, and/or

(ii) to furnish to it a listing of the sequence in a machine readable form provided for in the Administrative Instructions or, if that Authority is prepared to transcribe the sequence listing into such a form, to pay for the cost of such transcription.

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From July 1,  
1998 to  
March 31,  
2005

(a) Where the International Searching Authority finds that the international application contains disclosure of one or more nucleotide and/or amino acid sequences but:

(i) the international application does not contain a sequence listing complying with the standard provided for in the Administrative Instructions, that Authority may invite the applicant to furnish to it, within a time limit fixed in the invitation, a sequence listing complying with that standard;

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(ii) the applicant has not already furnished a sequence listing in computer readable form complying with the standard provided for in the Administrative Instructions, that Authority may invite the applicant to furnish to it, within a time limit fixed in the invitation, a sequence listing in such a form complying with that standard.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since April 1, 2005

(a) Where the international application contains disclosure of one or more nucleotide and/or amino acid sequences, the International Searching Authority may invite the applicant to furnish to it, for the purposes of the international search, a sequence listing in electronic form complying with the standard provided for in the Administrative Instructions, unless such listing in electronic form is already available to it in a form and manner acceptable to it, and to pay to it, where applicable, the late furnishing fee referred to in paragraph (c), within a time limit fixed in the invitation.

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From July 1, 1992 to June 30, 1998

(b) Any sequence listing furnished under paragraph (a) shall be accompanied by a statement to the effect that the listing does not include matter which goes beyond the disclosure in the international application as filed.

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From July 1, 1998 to March 31, 2005

(b) [Deleted]

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Since April 1, 2005

(b) Where at least part of the international application is filed on paper and the International Searching Authority finds that the description does not comply with Rule 5.2(a), it may invite the applicant to furnish, for the purposes of the international search, a sequence listing in paper form complying with the standard provided for in the Administrative Instructions, unless such listing in paper form is already available to it in a form and manner acceptable to it, whether or not the furnishing of a sequence listing in electronic form is invited under paragraph (a), and to pay, where applicable, the late furnishing fee referred to in paragraph (c), within a time limit fixed in the invitation.

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From July 1, 1992 to June 30, 1998

(c) If the applicant does not comply with the invitation within the time limit fixed in the invitation, the International Searching Authority shall not be required to search the international application to the extent that such non-compliance has the result that a meaningful search cannot be carried out.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1998 to March 31, 2005

(c) If the applicant does not comply with an invitation under paragraph (a) within the time limit fixed in the invitation, the International Searching Authority shall not be required to search the international application to the extent that such non-compliance has the result that a meaningful search cannot be carried out.

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Since April 1, 2005

(c) The furnishing of a sequence listing in response to an invitation under paragraph (a) or (b) may be subjected by the International Searching Authority to the payment to it, for its own benefit, of a late furnishing fee whose amount shall be determined by the International Searching Authority but shall not exceed 25% of the international filing fee referred to in item 1 of the Schedule of Fees, not taking into account any fee for each sheet of the international application in excess of 30 sheets, provided that a late furnishing fee may be required under either paragraph (a) or (b) but not both.

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From July 1, 1992 to June 30, 1998

(d) If the International Searching Authority chooses, under paragraph (a)(ii), to transcribe the sequence listing into a machine-readable form, it shall send a copy of such transcription in machine readable form to the applicant.

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From July 1, 1998 to March 31, 2005

(d) Where the International Searching Authority finds that the description does not comply with Rule 5.2(b), it shall invite the applicant to file the required correction. Rule 26.4 shall apply *mutatis mutandis* to any correction offered by the applicant. The International Searching Authority shall transmit the correction to the receiving Office and to the International Bureau.

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Since April 1, 2005

(d) If the applicant does not, within the time limit fixed in the invitation under paragraph (a) or (b), furnish the required sequence listing and pay any required late furnishing fee, the International Searching Authority shall only be required to search the international application to the extent that a meaningful search can be carried out without the sequence listing.

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From July 1, 1992 to June 30, 1998

(e) The International Searching Authority shall, upon request, make available to the International Preliminary Examining Authority a copy of any sequence listing furnished to it, or as transcribed by it, under paragraph (a).

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1998 to March 31, 2005

(e) Paragraphs (a) and (c) shall apply *mutatis mutandis* to the procedure before the International Preliminary Examining Authority.

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Since April 1, 2005

(e) Any sequence listing not contained in the international application as filed, whether furnished in response to an invitation under paragraph (a) or (b) or otherwise, shall not form part of the international application, but this paragraph shall not prevent the applicant from amending the description in relation to a sequence listing pursuant to Article 34(2)(b).

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From July 1, 1992 to June 30, 1998

(f) A sequence listing furnished to the International Searching Authority, or as transcribed by it, under paragraph (a) shall not form part of the international application.

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From July 1, 1998 to March 31, 2005

(f) Any sequence listing not contained in the international application as filed shall not, subject to Article 34, form part of the international application.

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Since April 1, 2005

(f) Where the International Searching Authority finds that the description does not comply with Rule 5.2(b), it shall invite the applicant to submit the required correction. Rule 26.4 shall apply *mutatis mutandis* to any correction offered by the applicant. The International Searching Authority shall transmit the correction to the receiving Office and to the International Bureau.

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From July 1, 1992 to June 30, 1998

*13ter.2 Sequence Listing for Designated Office*

(a) Once the processing of the international application has started before a designated Office, that Office may require the applicant to furnish to it a copy of any sequence listing furnished to the International Searching Authority, or as transcribed by that Authority, under Rule 13ter.1(a).

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(b) If a designated Office finds that a nucleotide and/or amino acid sequence listing does not comply with the standard prescribed in the Administrative Instructions under Rule 5.2, and/or is not in a machine readable form provided for in those Instructions, and/or no listing of the sequence was furnished to the International Searching Authority, or transcribed by that Authority, under Rule 13ter.1(a), that Office may require the applicant:

(i) to furnish to it a listing of the sequence complying with the prescribed standard, and/or

(ii) to furnish to it a listing of the sequence in a machine readable form provided for in the Administrative Instructions or, if that Office is prepared to transcribe the sequence listing into such a form, to pay for the cost of such transcription.

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From July 1,  
1998 to  
March 31,  
2005

*13ter.2 Sequence Listing for Designated Office*

Once the processing of the international application has started before a designated Office, Rule 13ter.1(a) shall apply *mutatis mutandis* to the procedure before that Office. No designated Office shall require the applicant to furnish to it a sequence listing other than a sequence listing complying with the standard provided for in the Administrative Instructions.

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Since April 1,  
2005

*13ter.2 Procedure before the International Preliminary Examining Authority*

Rule 13ter.1 shall apply *mutatis mutandis* to the procedure before the International Preliminary Examining Authority.

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Since April 1,  
2005

*13ter.3 Sequence Listing for Designated Office*

No designated Office shall require the applicant to furnish to it a sequence listing other than a sequence listing complying with the standard provided for in the Administrative Instructions.

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Since June 19,  
1970

Rule 14  
The Transmittal Fee

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Since June 19, 1970 14.1 *The Transmittal Fee*

(a) Any receiving Office may require that the applicant pay a fee to it, for its own benefit, for receiving the international application, transmitting copies to the International Bureau and the competent International Searching Authority, and performing all the other tasks which it must perform in connection with the international application in its capacity of receiving Office (“transmittal fee”).

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From June 19,  
1970 to  
June 30, 1998

(b) The amount and the due date of the transmittal fee, if any, shall be fixed by the receiving Office.

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Since July 1,  
1998

(b) The amount of the transmittal fee, if any, shall be fixed by the receiving Office.

(c) The transmittal fee shall be paid within one month from the date of receipt of the international application. The amount payable shall be the amount applicable on that date of receipt.

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From June 19,  
1970 to  
December 31,  
2003

Rule 15  
The International Fee

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Since  
January 1,  
2004

Rule 15  
The International Filing Fee

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From June 19, 1970 to  
December 31,  
2003 15.1 *Basic Fee and Designation Fee*

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Since January 1, 2004

15.1 *The International Filing Fee*

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From June 19, 1970 to October 2, 1978

Each international application shall be subject to the payment of a fee for the benefit of the International Bureau (“international fee”) consisting of

- (i) a “basic fee,” and
- (ii) as many “designation fees” as there are States designated in the international application, provided that, where a regional patent is sought for certain designated States, only one designation fee shall be due for those States.

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From October 3, 1978 to July 31, 1979

Each international application shall be subject to the payment of a fee for the benefit of the International Bureau (“international fee”) consisting of

- (i) a “basic fee,” and
- (ii) as many “designation fees” as there are States designated in the international application for which a national patent is sought, provided that, where a regional patent is sought for certain designated States, only one designation fee shall be due for such purpose.

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From August 1, 1979 to June 30, 1992

Each international application shall be subject to the payment of a fee for the benefit of the International Bureau (“international fee”) to be collected by the receiving Office and consisting of,

- (i) a “basic fee,” and
- (ii) as many “designation fees” as there are national patents and regional patents sought by the applicant in the international application, except that, where Article 44 applies in respect of a designation, only one designation fee shall be due.

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From July 1, 1992 to December 31, 2003

Each international application shall be subject to the payment of a fee for the benefit of the International Bureau (“international fee”) to be collected by the receiving Office and consisting of,

- (i) a “basic fee,” and

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1992 to June 30, 1998	(ii) as many “designation fees” as there are national patents and regional patents sought under Rule 4.9(a) by the applicant in the international application, except that, where Article 44 applies in respect of a designation, only one designation fee shall be due for that designation.
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From July 1, 1998 to December 31, 2003	(ii) as many “designation fees” as there are national patents and regional patents sought under Rule 4.9(a), except that, where Article 44 applies in respect of a designation, only one designation fee shall be due for that designation, and that the Schedule of Fees may indicate a maximum number of designation fees payable.
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Since January 1, 2004	Each international application shall be subject to the payment of a fee for the benefit of the International Bureau (“international filing fee”) to be collected by the receiving Office.
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From June 19, 1970 to December 31, 2003	15.2 <i>Amounts</i>
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Since January 1, 2004	15.2 <i>Amount</i>
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From June 19, 1970 to April 13, 1978	(a) The amount of the basic fee shall be: (i) if the international application contains not more than 30 sheets: US \$45.00 or 194 Swiss francs, (ii) if the international application contains more than 30 sheets: US \$45.00 or 194 Swiss francs plus US \$1.00 or 4.30 Swiss francs per sheet in excess of 30 sheets.
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From April 14, 1978 to October 2, 1978	(a) The amount of the basic fee shall be: (i) if the international application contains not more than 30 sheets: US \$165.00 or 300 Swiss francs, (ii) if the international application contains more than 30 sheets: US \$165.00 or 300 Swiss francs plus US \$3.00 or 6 Swiss francs per sheet in excess of 30 sheets.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From October 3, 1978 to July 31, 1979

(a) The amount of the basic fee shall be:

(i) if the international application contains not more than 30 sheets: US \$165.00 or 250 Swiss francs,

(ii) if the international application contains more than 30 sheets: US \$165.00 or 250 Swiss francs plus US \$3.00 or 4.50 Swiss francs per sheet in excess of 30 sheets.

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From August 1, 1979 to December 31, 2003

(a) The amounts of the basic fee and of the designation fee are as set out in the Schedule of Fees.

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Since January 1, 2004

(a) The amount of the international filing fee is as set out in the Schedule of Fees.

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From June 19, 1970 to April 13, 1978

(b) The amount of the designation fee shall be:

(i) for each designated State or each group of designated States for which the same regional patent is sought which does not require the furnishing of a copy under Article 13: US \$12.00 or 52 Swiss francs,

(ii) for each designated State or each group of designated States for which the same regional patent is sought which requires the furnishing of a copy under Article 13: US \$14.00 or 60 Swiss francs.

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From April 14, 1978 to October 2, 1978

(b) The amount of the designation fee for each designated State or each group of designated States for which the same regional patent is sought shall be: US \$40.00 or 80 Swiss francs.

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From October 3, 1978 to July 31, 1979

(b) The amount of the designation fee for each designated State or each group of designated States for which the same regional patent is sought shall be: US \$40.00 or 60 Swiss francs.

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From August 1, 1979 to June 30, 1998

(b) The amounts of the basic fee and of the designation fee shall be established, for each receiving Office which, under Rule 15.3, prescribes the payment of those fees in a currency or currencies other than Swiss currency, by the Director General after consultation with that Office and in the currency or currencies prescribed by that Office (“prescribed currency”). The amounts in each prescribed currency shall be the equivalent, in round figures, of the amounts in Swiss currency set out in the Schedule of Fees. They shall be published in the Gazette.

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From July 1, 1998 to December 31, 2003

(b) The basic fee and the designation fee shall be payable in the currency or one of the currencies prescribed by the receiving Office (“prescribed currency”), it being understood that, when transferred by the receiving Office to the International Bureau, they shall be freely convertible into Swiss currency. The amounts of the basic fee and of the designation fee shall be established, for each receiving Office which prescribes the payment of those fees in any currency other than Swiss currency, by the Director General after consultation with the receiving Office of, or acting under Rule 19.1(b) for, the State whose official currency is the same as the prescribed currency. The amounts so established shall be the equivalents, in round figures, of the amounts in Swiss currency set out in the Schedule of Fees. They shall be notified by the International Bureau to each receiving Office prescribing payment in that prescribed currency and shall be published in the Gazette.

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From January 1, 2004 to June 30, 2010

(b) The international filing fee shall be payable in the currency or one of the currencies prescribed by the receiving Office (“prescribed currency”), it being understood that, when transferred by the receiving Office to the International Bureau, it shall be freely convertible into Swiss currency. The amount of the international filing fee shall be established, for each receiving Office which prescribes the payment of that fee in any currency other than Swiss currency, by the Director General after consultation with the receiving Office of, or acting under Rule 19.1(b) for, the State whose official currency is the same as the prescribed currency. The amount so established shall be the equivalent, in round figures, of the amount in Swiss currency set out in the Schedule of Fees. It shall be notified by the International Bureau to each receiving Office prescribing payment in that prescribed currency and shall be published in the Gazette.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 2010	(b) The international filing fee shall be payable in the currency or one of the currencies prescribed by the receiving Office (“prescribed currency”).
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From August 1, 1979 to December 31, 2003	(c) Where the amounts of the fees set out in the Schedule of Fees are changed, the corresponding amounts in the prescribed currencies shall be applied from the same date as the amounts set out in the amended Schedule of Fees.
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From January 1, 2004 to June 30, 2010	(c) Where the amount of the international filing fee set out in the Schedule of Fees is changed, the corresponding amount in the prescribed currencies shall be applied from the same date as the amount set out in the amended Schedule of Fees.
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Since July 1, 2010	(c) Where the prescribed currency is the Swiss franc, the receiving Office shall promptly transfer the said fee to the International Bureau in Swiss francs.
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From August 1, 1979 to June 30, 1998	(d) Where the exchange rate between Swiss currency and any prescribed currency becomes different from the exchange rate last applied, the Director General shall establish new amounts in the prescribed currency according to directives given by the Assembly. The newly established amounts shall become applicable two months after the date of their publication in the Gazette, provided that the interested Office and the Director General may agree on a date falling during the said two-month period in which case the said amounts shall become applicable for that Office from that date.
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From July 1, 1998 to December 31, 2003	(d) Where the exchange rate between Swiss currency and any prescribed currency becomes different from the exchange rate last applied, the Director General shall establish new amounts in the prescribed currency according to directives given by the Assembly. The newly established amounts shall become applicable two months after the date of their publication in the Gazette, provided that the receiving Office referred to in the second sentence of paragraph (b) and the Director General may agree on a date falling during the said two-month period, in which case the said amounts shall become applicable from that date.
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From January 1, 2004 to June 30, 2010

(d) Where the exchange rate between Swiss currency and any prescribed currency becomes different from the exchange rate last applied, the Director General shall establish the new amount in the prescribed currency according to directives given by the Assembly. The newly established amount shall become applicable two months after the date of its publication in the Gazette, provided that the receiving Office referred to in the second sentence of paragraph (b) and the Director General may agree on a date falling during the said two-month period, in which case the said amount shall become applicable from that date.

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Since July 1, 2010

(d) Where the prescribed currency is a currency other than the Swiss franc and that currency:

(i) is freely convertible into Swiss francs, the Director General shall establish, for each receiving Office which prescribes such a currency for the payment of the international filing fee, an equivalent amount of that fee in the prescribed currency according to directives given by the Assembly, and the amount in that currency shall promptly be transferred by the receiving Office to the International Bureau;

(ii) is not freely convertible into Swiss francs, the receiving Office shall be responsible for the conversion of the international filing fee from the prescribed currency into Swiss francs and shall promptly transfer that fee in Swiss francs, in the amount set out in the Schedule of Fees, to the International Bureau. Alternatively, if the receiving Office so wishes, it may convert the international filing fee from the prescribed currency into euros or US dollars and promptly transfer the equivalent amount of that fee in euros or US dollars, as established by the Director General according to directives given by the Assembly as referred to in item (i), to the International Bureau.

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From June 19, 1970 to June 30, 1998

15.3 *Mode of Payment*

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From June 19, 1970 to July 31, 1979

(a) The international fee shall be collected by the receiving Office.

(b) The international fee shall be payable in the currency prescribed by the receiving Office, it being understood that, when transferred by the receiving Office to the International Bureau, it shall be freely convertible into Swiss currency.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From August 1, 1979 to June 30, 1998	The international fee shall be payable in the currency or currencies prescribed by the receiving Office, it being understood that, when transferred by the receiving Office to the International Bureau, the amount transferred shall be freely convertible into Swiss currency.
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From July 1, 1998 to June 30, 2010	15.3 <i>[Deleted]</i>
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Since July 1, 2010	15.3 <i>Time Limit for Payment; Amount Payable</i> The international filing fee shall be paid to the receiving Office within one month from the date of receipt of the international application. The amount payable shall be the amount applicable on that date of receipt.
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From June 19, 1970 to June 30, 1998	15.4 <i>Time of Payment</i>
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From July 1, 1998 to June 30, 2010	15.4 <i>Time Limit for Payment; Amount Payable</i>
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Since July 1, 2010	15.4 <i>Refund</i>
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From June 19, 1970 to July 31, 1979	(a) The basic fee shall be due on the date of receipt of the international application. However, any receiving Office may, at its discretion, notify the applicant of any lack of receipt or insufficiency of any amount received, and permit applicants to pay the basic fee later, without loss of the international filing date, provided that: (i) permission shall not be given to pay later than one month after the date of receipt of the international application; (ii) permission may not be subject to any extra charge.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From August 1, 1979 to December 31, 1984 (a) Subject to paragraph (c), the basic fee shall be due on the date of receipt of the international application.

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From January 1, 1985 to June 30, 1998 (a) The basic fee shall be paid within one month from the date of receipt of the international application.

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From July 1, 1998 to December 31, 2003 (a) The basic fee shall be paid within one month from the date of receipt of the international application. The amount payable shall be the amount applicable on that date of receipt.

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From January 1, 2004 to June 30, 2010 (a) [Deleted]

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From June 19, 1970 to July 31, 1979 (b) The designation fee may be paid on the date of receipt of the international application or on any later date but, at the latest, it must be paid before the expiration of one year from the priority date.

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From August 1, 1979 to December 31, 1984 (b) Subject to paragraph (c), the designation fee shall be paid on the date of receipt of the international application or on any later date prior to the expiration of one year from the priority date.

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From January 1, 1985 to June 30, 1998 (b) The designation fee shall be paid:

(i) where the international application does not contain a priority claim under Article 8, within one year from the date of receipt of the international application,

(ii) where the international application contains a priority claim under Article 8, within one year from the priority date or within one month from the date of receipt of the international application if that month expires after the expiration of one year from the priority date.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1998 to December 31, 2003

(b) The designation fee shall be paid within a time limit of:

- (i) one year from the priority date, or
- (ii) one month from the date of receipt of the international application if that one-month period expires later than one year from the priority date.

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From January 1, 2004 to June 30, 2010

(b) [Deleted]

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From August 1, 1979 to December 31, 1984

(c) The receiving Office may permit applicants to pay either the basic fee or the designation fee or both of the fees later than on the dates provided for in paragraphs (a) and (b), provided that:

- (i) permission shall not be given to pay the basic fee or the designation fee later than one month after the date of receipt of the international application;
- (ii) permission may not be subject to any extra charge.

Such later payment of the said fees shall be without loss, in the case of the basic fee, of the international filing date, or, in the case of the designation fee, of the designations to which the payment relates.

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From January 1, 1985 to June 30, 1998

(c) Where the basic fee or the designation fee is paid later than the date on which the international application was received and where the amount of that fee is, in the currency in which it is payable, higher on the date of payment (“the higher amount”) than it was on the date on which the international application was received (“the lower amount”),

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- (i) the lower amount shall be due if the fee is paid within one month from the date of receipt of the international application,
  - (ii) the higher amount shall be due if the fee is paid later than one month from the date of receipt of the international application.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1998 to December 31, 2003

(c) Where the designation fee is paid before the expiration of one month from the date of receipt of the international application, the amount payable shall be the amount applicable on that date of receipt. Where the time limit under paragraph (b)(i) applies and the designation fee is paid before the expiration of that time limit but later than one month from the date of receipt of the international application, the amount payable shall be the amount applicable on the date of payment.

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From July 1, 1998 to June 30, 2010

(i) and (ii) *[Deleted]*

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From January 1, 2004 to June 30, 2010

(c) *[Deleted]*

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From January 1, 1985 to June 30, 1992

(d) If, on February 3, 1984, paragraphs (a) and (b) are not compatible with the national law applied by the receiving Office and as long as they continue to be not compatible with that law, the basic fee shall be paid on the date of receipt of the international application and the designation fee shall be paid within one year from the priority date.

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From July 1, 1992 to June 30, 2010

(d) *[Deleted]*

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From January 1, 2004 to June 30, 2010

The international filing fee shall be paid within one month from the date of receipt of the international application. The amount payable shall be the amount applicable on that date of receipt.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 2010	The receiving Office shall refund the international filing fee to the applicant: <ul style="list-style-type: none"><li>(i) if the determination under Article 11(1) is negative,</li><li>(ii) if, before the transmittal of the record copy to the International Bureau, the international application is withdrawn or considered withdrawn, or</li><li>(iii) if, due to prescriptions concerning national security, the international application is not treated as such.</li></ul>
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From June 19, 1970 to September 30, 1980	15.5 <i>Partial Payment</i>
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From October 1, 1980 to June 30, 1992	15.5 <i>[Deleted]</i>
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From July 1, 1992 to December 31, 2003	15.5 <i>Fees under Rule 4.9(c)</i>
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From June 19, 1970 to July 31, 1979	(a) If the applicant specifies the States to which he wishes any amount paid to be applied as designation fee, the amount shall be applied accordingly to the number of States which are covered by the amount in the order specified by the applicant.
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From August 1, 1979 to September 30, 1980	(a) Where the amount of the international fee received by the receiving Office is not less than that of the basic fee and at least one designation fee but less than the amount required to cover the basic fee and all the designations made in the international application, the amount received shall be applied as follows: <ul style="list-style-type: none"><li>(i) to cover the basic fee, and</li><li>(ii) to cover as many designation fees as, after deduction of the basic fee, may be covered in full by the amount received in the order indicated in paragraph (b).</li></ul>
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From  
October 1,  
1980 to  
June 30, 1992

(a) [Deleted]

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From July 1,  
1992 to  
June 30, 1998

(a) Notwithstanding Rule 15.4(b), the confirmation under Rule 4.9(c) of any designations made under Rule 4.9(b) shall be subject to the payment to the receiving Office of as many designation fees (for the benefit of the International Bureau) as there are national patents and regional patents sought by the applicant by virtue of the confirmation, together with a confirmation fee (for the benefit of the receiving Office), as set out in the Schedule of Fees.

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From July 1,  
1998 to  
December 31,  
2003

(a) Notwithstanding Rule 15.4(b), the confirmation under Rule 4.9(c) of any designations made under Rule 4.9(b) shall be subject to the payment to the receiving Office of as many designation fees (for the benefit of the International Bureau) as there are national patents and regional patents sought by the applicant by virtue of the confirmation, together with a confirmation fee (for the benefit of the receiving Office) equal to 50% of the sum of the designation fees payable under this paragraph. Such fees shall be payable in respect of each designation so confirmed, even if the maximum number of designation fees referred to in item 2(a) of the Schedule of Fees is already payable or if a designation fee is already payable in respect of the designation under Rule 4.9(a) of the same State for a different purpose.

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From June 19,  
1970 to  
July 31, 1979

(b) If the applicant does not specify any such wish and if the amount or amounts received by the receiving Office are higher than the basic fee and one designation fee but lower than what is due according to the number of the designated States, any amount in excess of the basic fee and one designation fee shall be treated as designation fees for the States following the State first named in the request and in the order in which the States are designated in the request up to and including that designated State for which the total amount of the designation fee is covered by the amount or amounts received.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From August 1, 1979 to September 30, 1980

(b) The order in which the said amount shall be applied to the designations shall be established as follows:

(i) where the applicant indicates to which designation or designations the amount is to be applied, it shall be applied accordingly but, if the amount received is insufficient to cover the designations indicated, it shall be applied to as many designations as are covered by it in the order chosen by the applicant in indicating the designations;

(ii) to the extent that the applicant has not given the indications under item (i), the amount or the balance thereof shall be applied to the designations in the order in which they appear in the international application;

(iii) where the designation of a State is for the purposes of a regional patent and provided that the required designation fee is, under the preceding provisions, available for that designation, the designation of any further States for which the same regional patent is sought shall be considered as covered by that fee.

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From October 1, 1980 to June 30, 1992

(b) [Deleted]

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From July 1, 1992 to December 31, 2003

(b) Where moneys paid by the applicant within the time limit under Rule 4.9(b)(ii) are not sufficient to cover the fees due under paragraph (a), the receiving Office shall allocate any moneys paid as specified by the applicant or, in the absence of such specification, as prescribed by the Administrative Instructions.

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From June 19, 1970 to September 30, 1980

(c) The designation fee for the first mentioned State belonging to a group of States for which the same regional patent is sought and which is specified under paragraph (a) or which is reached under paragraph (b) shall, for the purposes of the said paragraphs, be considered as covering also the other States of the said group.

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Since October 1, 1980

(c) [Deleted]

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 2004	15.5	<i>[Deleted]</i>
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From June 19, 1970 to June 30, 2010	15.6	<i>Refund</i>
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Since July 1, 2010	15.6	<i>[Deleted]</i>
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From June 19, 1970 to June 30, 1992	(a) The international fee shall be refunded to the applicant if the determination under Article 11(1) is negative.	(b) In no other case shall the international fee be refunded.
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From July 1, 1992 to December 31, 2003	The receiving Office shall refund the international fee to the applicant:	
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From January 1, 2004 to June 30, 2010	The receiving Office shall refund the international filing fee to the applicant:	
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From July 1, 1992 to June 30, 1998	(i) if the determination under Article 11(1) is negative, or (ii) if, before the transmittal of the record copy to the International Bureau, the international application is withdrawn or considered withdrawn.	
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From July 1, 1998 to June 30, 2010	(i) if the determination under Article 11(1) is negative, (ii) if, before the transmittal of the record copy to the International Bureau, the international application is withdrawn or considered withdrawn, or (iii) if, due to prescriptions concerning national security, the international application is not treated as such.	
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Since June 19,  
1970

Rule 16  
The Search Fee

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Since June 19, 1970 16.1 *Right to Ask for a Fee*

(a) Each International Searching Authority may require that the applicant pay a fee (“search fee”) for its own benefit for carrying out the international search and for performing all other tasks entrusted to International Searching Authorities by the Treaty and these Regulations.

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From June 19,  
1970 to  
July 31, 1979

(b) The search fee shall be collected by the receiving Office. It shall be payable in the currency prescribed by that Office, it being understood that, if that currency is not the same as the currency of the State in which the International Searching Authority is located, the search fee, when transferred by the receiving Office to that Authority, shall be freely convertible into the currency of the said State. As to the time of payment of the search fee, Rule 15.4(a) shall apply.

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From  
August 1, 1979  
to June 30,  
1998

(b) The search fee shall be collected by the receiving Office. The said fee shall be payable in the currency or currencies prescribed by that Office (“the receiving Office currency”), it being understood that, if any receiving Office currency is not that, or one of those, in which the International Searching Authority has fixed the said fee (“the fixed currency or currencies”), it shall, when transferred by the receiving Office to the International Searching Authority, be freely convertible into the currency of the State in which the International Searching Authority has its headquarters (“the headquarters currency”). The amount of the search fee in any receiving Office currency, other than the fixed currency or currencies, shall be established by the Director General after consultation with that Office. The amounts so established shall be the equivalents, in round figures, of the amount established by the International Searching Authority in the headquarters currency. They shall be published in the Gazette.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1998 to June 30, 2010

(b) The search fee shall be collected by the receiving Office. The said fee shall be payable in the currency or one of the currencies prescribed by that Office (“receiving Office currency”), it being understood that, if any receiving Office currency is not that, or one of those, in which the International Searching Authority has fixed the said fee (“fixed currency”), it shall, when transferred by the receiving Office to the International Searching Authority, be freely convertible into the currency of the State in which the International Searching Authority has its headquarters (“headquarters currency”). The amount of the search fee in any receiving Office currency, other than the fixed currency, shall be established by the Director General after consultation with the receiving Office of, or acting under Rule 19.1(b) for, the State whose official currency is the same as the receiving Office currency. The amounts so established shall be the equivalents, in round figures, of the amount established by the International Searching Authority in the headquarters currency. They shall be notified by the International Bureau to each receiving Office prescribing payment in that receiving Office currency and shall be published in the Gazette.

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Since July 1, 2010

(b) The search fee shall be collected by the receiving Office. The said fee shall be payable in the currency prescribed by that Office (“prescribed currency”).

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From August 1, 1979 to June 30, 2010

(c) Where the amount of the search fee in the headquarters currency is changed, the corresponding amounts in the receiving Office currencies, other than the fixed currency or currencies, shall be applied from the same date as the changed amount in the headquarters currency.

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Since July 1, 2010

(c) Where the prescribed currency is the currency in which the International Searching Authority has fixed the said fee (“fixed currency”), the receiving Office shall promptly transfer the said fee to that Authority in that currency.

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From August 1, 1979 to June 30, 1998

(d) Where the exchange rate between the headquarters currency and any receiving Office currency, other than the fixed currency or currencies, becomes different from the exchange rate last applied, the Director General shall establish the new amount in the said receiving Office currency according to directives given by the Assembly. The newly established amount shall become applicable two months after its publication in the Gazette, provided that any interested receiving Office and the Director General may agree on a date falling during the said two-month period in which case the said amount shall become applicable for that Office from that date.

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From July 1, 1998 to June 30, 2010

(d) Where the exchange rate between the headquarters currency and any receiving Office currency, other than the fixed currency or currencies, becomes different from the exchange rate last applied, the Director General shall establish the new amount in the said receiving Office currency according to directives given by the Assembly. The newly established amount shall become applicable two months after its publication in the Gazette, provided that any receiving Office referred to in the third sentence of paragraph (b) and the Director General may agree on a date falling during the said two-month period, in which case the said amount shall become applicable for that Office from that date.

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Since July 1, 2010

(d) Where the prescribed currency is not the fixed currency and that currency:

(i) is freely convertible into the fixed currency, the Director General shall establish, for each receiving Office which prescribes such a currency for the payment of the search fee, an equivalent amount of that fee in the prescribed currency according to directives given by the Assembly, and the amount in that currency shall promptly be transferred by the receiving Office to the International Searching Authority;

(ii) is not freely convertible into the fixed currency, the receiving Office shall be responsible for the conversion of the search fee from the prescribed currency into the fixed currency and shall promptly transfer that fee in the fixed currency, in the amount fixed by the International Searching Authority, to the International Searching Authority.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From August 1, 1979 to June 30, 2010 (e) Where, in respect of the payment of the search fee in a receiving Office currency, other than the fixed currency or currencies, the amount actually received by the International Searching Authority in the headquarters currency is less than that fixed by it, the difference will be paid to the International Searching Authority by the International Bureau, whereas, if the amount actually received is more, the difference will belong to the International Bureau.

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Since July 1, 2010 (e) Where, in respect of the payment of the search fee in a prescribed currency, other than the fixed currency, the amount actually received under paragraph (d)(i) of this Rule by the International Searching Authority in the prescribed currency is, when converted by it into the fixed currency, less than that fixed by it, the difference will be paid to the International Searching Authority by the International Bureau, whereas, if the amount actually received is more, the difference will belong to the International Bureau.

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From August 1, 1979 to June 30, 1998 (f) As to the time of payment of the search fee, the provisions of Rule 15.4 relating to the basic fee shall apply.

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From July 1, 1998 to December 31, 2003 (f) As to the time limit for payment of the search fee and the amount payable, the provisions of Rule 15.4(a) relating to the basic fee shall apply *mutatis mutandis*.

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From January 1, 2004 to June 30, 2010 (f) As to the time limit for payment of the search fee and the amount payable, the provisions of Rule 15.4 relating to the international filing fee shall apply *mutatis mutandis*.

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Since July 1, 2010 (f) As to the time limit for payment of the search fee and the amount payable, the provisions of Rule 15.3 relating to the international filing fee shall apply *mutatis mutandis*.

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Since June 19, 1970 16.2 *Refund*

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to June 30, 1992

The search fee shall be refunded to the applicant if the determination under Article 11(1) is negative.

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From July 1, 1992 to June 30, 1998

The receiving Office shall refund the search fee to the applicant:

- (i) if the determination under Article 11(1) is negative, or
- (ii) if, before the transmittal of the search copy to the International Searching Authority, the international application is withdrawn or considered withdrawn.

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Since July 1, 1998

The receiving Office shall refund the search fee to the applicant:

- (i) if the determination under Article 11(1) is negative,
- (ii) if, before the transmittal of the search copy to the International Searching Authority, the international application is withdrawn or considered withdrawn, or
- (iii) if, due to prescriptions concerning national security, the international application is not treated as such.

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Since June 19, 1970

16.3 *Partial Refund*

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From June 19, 1970 to June 30, 2008

Where the international application claims the priority of an earlier international application which has been the subject of an international search by the same International Searching Authority, that Authority shall refund the search fee paid in connection with the later international application to the extent and under the conditions provided for in the agreement under Article 16(3)(b), if the international search report on the later international application could wholly or partly be based on the results of the international search effected on the earlier international application.

Since July 1, 2008

Where the International Searching Authority takes into account, under Rule 41.1, the results of an earlier search in carrying out the international search, that Authority shall refund the search fee paid in connection with the international application to the extent and under the conditions provided for in the agreement under Article 16(3)(b).

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From Rule 16*bis*  
October 1, Advancing Fees by the International Bureau  
1980 to  
June 30, 1992

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Since July 1, Rule 16*bis*  
1992 Extension of Time Limits for Payment of Fees

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From 16*bis.1 Guarantee by the International Bureau*  
October 1,  
1980 to  
June 30, 1992

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Since July 1, 16*bis.1 Invitation by the Receiving Office*  
1992

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From (a) Where, by the time they are due under Rule 14.1(b),  
October 1, Rule 15.4(a) or (c) and Rule 16.1(f), the receiving Office finds that in  
1980 to respect of an international application no fees were paid to it by the  
December 31, applicant, or that the amount paid to it by the applicant is less than  
1984 what is necessary to cover the transmittal fee, the basic fee and the  
search fee, the receiving Office shall charge the amount required to  
cover those fees, or the missing part thereof, to the International  
Bureau and shall consider the said amount as if it had been paid by  
the applicant at the due time.

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From (a) Where, by the time they are due under Rule 14.1(b),  
January 1, Rule 15.4(a) and Rule 16.1(f), the receiving Office finds that in  
1985 to respect of an international application no fees were paid to it by the  
June 30, 1992 applicant, or that the amount paid to it by the applicant is less than  
what is necessary to cover the transmittal fee, the basic fee and the  
search fee, the receiving Office shall charge the amount required to  
cover those fees, or the missing part thereof, to the International  
Bureau and shall consider the said amount as if it had been paid by  
the applicant at the due time.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1,  
1992 to  
June 30, 1998

(a) Where, by the time they are due under Rule 14.1(b), Rule 15.4(a) and Rule 16.1(f), the receiving Office finds that in respect of an international application no fees were paid to it by the applicant, or that the amount paid to it by the applicant is less than what is necessary to cover the transmittal fee, the basic fee and the search fee, the receiving Office shall invite the applicant to pay to it the amount required to cover those fees, together with, where applicable, the late payment fee under Rule 16*bis*.2, within one month from the date of the invitation.

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From July 1,  
1998 to  
December 31,  
2003

(a) Where, by the time they are due under Rules 14.1(c), 15.4(a) and 16.1(f), the receiving Office finds that no fees were paid to it, or that the amount paid to it is insufficient to cover the transmittal fee, the basic fee and the search fee, the receiving Office shall invite the applicant to pay to it the amount required to cover those fees, together with, where applicable, the late payment fee under Rule 16*bis*.2, within a time limit of one month from the date of the invitation.

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From  
January 1,  
2004 to  
March 31,  
2005

(a) Where, by the time they are due under Rules 14.1(c), 15.4 and 16.1(f), the receiving Office finds that no fees were paid to it, or that the amount paid to it is insufficient to cover the transmittal fee, the international filing fee and the search fee, the receiving Office shall invite the applicant to pay to it the amount required to cover those fees, together with, where applicable, the late payment fee under Rule 16*bis*.2, within a time limit of one month from the date of the invitation.

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From April 1,  
2005 to  
June 30, 2010

(a) Where, by the time they are due under Rules 14.1(c), 15.4 and 16.1(f), the receiving Office finds that no fees were paid to it, or that the amount paid to it is insufficient to cover the transmittal fee, the international filing fee and the search fee, the receiving Office shall, subject to paragraph (d), invite the applicant to pay to it the amount required to cover those fees, together with, where applicable, the late payment fee under Rule 16*bis*.2, within a time limit of one month from the date of the invitation.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 2010

(a) Where, by the time they are due under Rules 14.1(c), 15.3 and 16.1(f), the receiving Office finds that no fees were paid to it, or that the amount paid to it is insufficient to cover the transmittal fee, the international filing fee and the search fee, the receiving Office shall, subject to paragraph (d), invite the applicant to pay to it the amount required to cover those fees, together with, where applicable, the late payment fee under Rule 16*bis*.2, within a time limit of one month from the date of the invitation.

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From October 1, 1980 to December 31, 1984

(b) Where, by the time it or they are due under Rule 15.4(b) or (c), the receiving Office finds that in respect of an international application the payment made by the applicant is insufficient to cover the designation fees necessary to cover all the designations, the receiving Office shall charge the amount required to cover those fees to the International Bureau and shall consider that amount as if it had been paid by the applicant at the due time.

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From January 1, 1985 to June 30, 1992

(b) Where, by the time they are due under Rule 15.4(b), the receiving Office finds that in respect of an international application the payment made by the applicant is insufficient to cover the designation fees necessary to cover all the designations, the receiving Office shall charge the amount required to cover those fees to the International Bureau and shall consider that amount as if it had been paid by the applicant at the due time.

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From July 1, 1992 to June 30, 1998

(b) Where, by the time they are due under Rule 15.4(b), the receiving Office finds that in respect of an international application the payment made by the applicant is insufficient to cover the designation fees necessary to cover all the designations under Rule 4.9(a), the receiving Office shall invite the applicant to pay to it the amount required to cover those fees, together with, where applicable, the late payment fee under Rule 16*bis*.2, within one month from the date of the invitation.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1998 to December 31, 2003

(b) Where, by the time they are due under Rule 15.4(b), the receiving Office finds that no fees were paid to it, or that the amount paid to it is insufficient to cover the designation fees necessary to cover all the designations under Rule 4.9(a), the receiving Office shall invite the applicant to pay to it the amount required to cover those fees, together with, where applicable, the late payment fee under Rule 16*bis*.2, within a time limit of one month from the date of the invitation. The amount payable in respect of any designation fee shall be the amount applicable on the last day of the one-year period from the priority date if the time limit under Rule 15.4(b)(i) applies or the amount applicable on the date of receipt of the international application if the time limit under Rule 15.4(b)(ii) applies.

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Since January 1, 2004

(b) [Deleted]

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From October 1, 1980 to June 30, 1992

(c) The International Bureau shall transfer from time to time to each receiving Office an amount which is expected to be necessary for covering any charges that the receiving Office has to make under paragraphs (a) and (b). The amount and the time of such transfers shall be determined by each receiving Office according to its own wish. The charging of any amount under paragraphs (a) and (b) shall not require any advance notice to, or any agreement by, the International Bureau.

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From July 1, 1992 to June 30, 1998

(c) Where the receiving Office has sent to the applicant an invitation under paragraph (a) or (b) and the applicant has not, within one month from the date of the invitation, paid in full the amount due, including, where applicable, the late payment fee under Rule 16*bis*.2, the receiving Office shall:

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From July 1, 1998 to December 31, 2003

(c) Where the receiving Office has sent to the applicant an invitation under paragraph (a) or (b) and the applicant has not, within the time limit referred to in that paragraph, paid in full the amount due, including, where applicable, the late payment fee under Rule 16*bis*.2, the receiving Office shall, subject to paragraph (d):

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 2004 to March 31, 2005 (c) Where the receiving Office has sent to the applicant an invitation under paragraph (a) and the applicant has not, within the time limit referred to in that paragraph, paid in full the amount due, including, where applicable, the late payment fee under Rule 16*bis*.2, the receiving Office shall, subject to paragraph (d):

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Since April 1, 2005 (c) Where the receiving Office has sent to the applicant an invitation under paragraph (a) and the applicant has not, within the time limit referred to in that paragraph, paid in full the amount due, including, where applicable, the late payment fee under Rule 16*bis*.2, the receiving Office shall, subject to paragraph (e):

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From July 1, 1992 to December 31, 2003 (i) allocate any moneys paid as specified by the applicant or, in the absence of such specification, as prescribed by the Administrative Instructions,  
(ii) make the applicable declaration under Article 14(3), and  
(iii) proceed as provided in Rule 29.

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Since January 1, 2004 (i) make the applicable declaration under Article 14(3), and  
(ii) proceed as provided in Rule 29.

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From October 1, 1980 to December 31, 1984 (d) Each month, the receiving Office shall inform the International Bureau of the charges, if any, made under paragraphs (a) and (b).

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From January 1, 1985 to June 30, 1998 (d) [Deleted]

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From July 1, 1998 to December 31, 2003 (d) Any payment received by the receiving Office before that Office sends the invitation under paragraph (a) or (b) shall be considered to have been received before the expiration of the time limit under Rule 14.1(c), 15.4(a) or (b) or 16.1(f), as the case may be.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 2004 to June 30, 2010	(d) Any payment received by the receiving Office before that Office sends the invitation under paragraph (a) shall be considered to have been received before the expiration of the time limit under Rule 14.1(c), 15.4 or 16.1(f), as the case may be.
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Since July 1, 2010	(d) Any payment received by the receiving Office before that Office sends the invitation under paragraph (a) shall be considered to have been received before the expiration of the time limit under Rule 14.1(c), 15.3 or 16.1(f), as the case may be.
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From July 1, 1998 to December 31, 2003	(e) Any payment received by the receiving Office before that Office makes the applicable declaration under Article 14(3) shall be considered to have been received before the expiration of the time limit referred to in paragraph (a) or (b).
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Since January 1, 2004	(e) Any payment received by the receiving Office before that Office makes the applicable declaration under Article 14(3) shall be considered to have been received before the expiration of the time limit referred to in paragraph (a).
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From October 1, 1980 to June 30, 1992	<i>16bis.2 Obligations of the Applicant, Etc.</i>
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Since July 1, 1992	<i>16bis.2 Late Payment Fee</i>
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From October 1, 1980 to June 30, 1992	(a) The International Bureau shall promptly notify the applicant of any amount by which it was charged under Rule 16bis.1(a) and (b) and shall invite him to pay to it, within one month from the date of the notification, the said amount augmented by a surcharge of 50%, provided that the surcharge will not be less, and will not be more, than the amounts indicated in the Schedule of Fees. The notification may refer to the charges made both under Rule 16bis.1(a) and (b) or, at the discretion of the International Bureau, there may be two separate notifications, one referring to charges made under Rule 16bis.1(a), the other referring to charges made under Rule 16bis.1(b).
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1992 to June 30, 1998	(a) The payment of fees in response to an invitation under Rule 16 <i>bis</i> .1(a) or (b) may be subjected by the receiving Office to the payment to it of a late payment fee. The amount of that fee shall be:
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From July 1, 1998 to December 31, 2003	(a) The payment of fees in response to an invitation under Rule 16 <i>bis</i> .1(a) or (b) may be subjected by the receiving Office to the payment to it, for its own benefit, of a late payment fee. The amount of that fee shall be:
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Since January 1, 2004	(a) The payment of fees in response to an invitation under Rule 16 <i>bis</i> .1(a) may be subjected by the receiving Office to the payment to it, for its own benefit, of a late payment fee. The amount of that fee shall be:
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Since July 1, 1992	(i) 50% of the amount of unpaid fees which is specified in the invitation, or, (ii) if the amount calculated under item (i) is less than the transmittal fee, an amount equal to the transmittal fee.
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From October 1, 1980 to June 30, 1992	(b) If the applicant fails to pay, within the said time limit, to the International Bureau the amount claimed, or pays less than what is needed to cover the transmittal fee, the basic fee, the search fee, one designation fee and the surcharge, the International Bureau shall notify the receiving Office accordingly and the receiving Office shall declare the international application withdrawn under Article 14(3)(a) and the receiving Office and the International Bureau shall proceed as provided in Rule 29.
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From July 1, 1992 to June 30, 1998	(b) The amount of the late payment fee shall not, however, exceed the amount of the basic fee.
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From July 1, 1998 to December 31, 2003	(b) The amount of the late payment fee shall not, however, exceed the amount of the basic fee referred to in item 1(a) of the Schedule of Fees.
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Since January 1, 2004

(b) The amount of the late payment fee shall not, however, exceed the amount of 50% of the international filing fee referred to in item 1 of the Schedule of Fees, not taking into account any fee for each sheet of the international application in excess of 30 sheets.

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From October 1, 1980 to June 30, 1992

(c) If the applicant pays, within the said time limit, to the International Bureau an amount which is more than what is needed to cover the fees and surcharge referred to in paragraph (b) but less than what is needed to cover all the designations maintained, the International Bureau shall notify the receiving Office accordingly and the receiving Office shall apply the amount paid in excess of what is needed to cover the fees and surcharge referred to in paragraph (b) in an order which shall be established as follows:

(i) where the applicant indicates to which designation or designations the amount is to be applied, it shall be applied accordingly but, if the amount received is insufficient to cover the designations indicated, it shall be applied to as many designations as are covered by it in the order chosen by the applicant in indicating the designations;

(ii) to the extent that the applicant has not given the indications under item (i), the amount or the balance thereof shall be applied to the designations in the order in which they appear in the international application;

(iii) where the designation of a State is for the purposes of a regional patent and provided that the required designation fee is, under the preceding provisions, available for that designation, the designation of any further States for which the same regional patent is sought shall be considered as covered by that fee.

The receiving Office shall declare any designation not covered by the amount paid withdrawn under Article 14(3)(b) and the receiving Office and the International Bureau shall proceed as provided in Rule 29.

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Since July 1, 1992

(c) [Deleted]

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From October 1, 1980 to December 31, 1984

(d) The receiving Office shall not return to the International Bureau any amount that it has charged to that Bureau for covering the transmittal fee.

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Since  
January 1,  
1985

(d) [Deleted]

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From  
October 1,  
1980 to  
December 31,  
1984

(e) Where the international application is considered withdrawn, any amount charged to the International Bureau, other than the amount needed to cover the transmittal fee and the search fee transferred by the receiving Office to the International Searching Authority, shall be returned by the receiving Office to the International Bureau.

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Since  
January 1,  
1985

(e) [Deleted]

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From  
October 1,  
1980 to  
December 31,  
1984

(f) Where the international application is considered withdrawn, any search fee charged by the receiving Office and transferred to the International Searching Authority shall be transferred by that Authority to the International Bureau unless the said Authority has already started the International search.

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Since  
January 1,  
1985

(f) [Deleted]

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From  
October 1,  
1980 to  
December 31,  
1984

(g) Where paragraph (c) applies, the amount charged by the receiving Office to the International Bureau for designations which, as a consequence of the application of the order under that paragraph, are not maintained, shall be returned to the International Bureau by the receiving Office.

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Since  
January 1,  
1985

(g) [Deleted]

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From  
October 1,  
1980 to  
December 31,  
1984

*16bis.3 Notifications*

(a) Any receiving Office may exclude the application of Rules *16bis.1* and *16bis.2* by a written notification to that effect given to the International Bureau by September 1, 1980. Such notification may be withdrawn at any time. The International Bureau shall publish all such notifications and withdrawals in the Gazette.

(b) Former Rule 15.5 remains applicable in respect of any receiving Office giving a notification under paragraph (a).

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Since  
January 1,  
1985

*16bis.3 [Deleted]*

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Since June 19,  
1970

Rule 17  
The Priority Document

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From June 19,  
1970 to  
June 30, 1998

17.1 *Obligation to Submit Copy of Earlier National Application*

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Since July 1,  
1998

17.1 *Obligation to Submit Copy of Earlier National or International Application*

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From June 19,  
1970 to  
September 30,  
1980

(a) Where the priority of an earlier national application is claimed under Article 8 in the international application, a copy of the said national application, certified by the authority with which it was filed (“the priority document”), shall, unless already filed with the receiving Office, together with the international application, be submitted by the applicant to the International Bureau not later than 16 months after the priority date or, in the case referred to in Article 23(2), not later than at the time the processing or examination is requested.

From  
October 1,  
1980 to  
December 31,  
1984

(a) Where the priority of an earlier national application is claimed under Article 8 in the international application, a copy of the said national application, certified by the authority with which it was filed (“the priority document”), shall, unless already filed with the receiving Office together with the international application, be submitted by the applicant to the International Bureau or to the receiving Office not later than 16 months after the priority date or, in the case referred to in Article 23(2), not later than at the time the processing or examination is requested. Where submitted to the receiving Office, the priority document shall be transmitted by that Office to the International Bureau together with the record copy or promptly after having been received by that Office. In the latter case, the receiving Office shall indicate to the International Bureau the date on which it received the priority document.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1985 to June 30, 1998

(a) Where the priority of an earlier national application is claimed under Article 8 in the international application, a copy of the said national application, certified by the authority with which it was filed (“the priority document”), shall, unless already filed with the receiving Office together with the international application, be submitted by the applicant to the International Bureau or to the receiving Office not later than 16 months after the priority date or, in the case referred to in Article 23(2), not later than at the time the processing or examination is requested.

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From July 1, 1998 to December 31, 2003

(a) Where the priority of an earlier national or international application is claimed under Article 8, a copy of that earlier application, certified by the authority with which it was filed (“the priority document”), shall, unless already filed with the receiving Office together with the international application in which the priority claim is made, and subject to paragraph (b), be submitted by the applicant to the International Bureau or to the receiving Office not later than 16 months after the priority date, provided that any copy of the said earlier application which is received by the International Bureau after the expiration of that time limit shall be considered to have been received by that Bureau on the last day of that time limit if it reaches it before the date of international publication of the international application.

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Since January 1, 2004

(a) Where the priority of an earlier national or international application is claimed under Article 8, a copy of that earlier application, certified by the authority with which it was filed (“the priority document”), shall, unless that priority document has already been filed with the receiving Office together with the international application in which the priority claim is made, and subject to paragraphs (b) and (b-*bis*), be submitted by the applicant to the International Bureau or to the receiving Office not later than 16 months after the priority date, provided that any copy of the said earlier application which is received by the International Bureau after the expiration of that time limit shall be considered to have been received by that Bureau on the last day of that time limit if it reaches it before the date of international publication of the international application.

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From June 19, 1970 to September 30, 1980

(b) If the applicant fails to comply with the requirement under paragraph (a), any designated State may disregard the priority claim.

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From October 1, 1980 to December 31, 1984

(b) Where the priority document is issued by the receiving Office, the applicant may, instead of submitting the priority document, request the receiving Office to transmit the priority document to the International Bureau. Such request shall be made not later than the expiration of the applicable time limit referred to under paragraph (a) and may be subjected by the receiving Office to the payment of a fee. The receiving Office shall, promptly after receipt of such request, and, where applicable, the payment of such fee, transmit the priority document to the International Bureau with an indication of the date of receipt of such request.

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From January 1, 1985 to June 30, 1998

(b) Where the priority document is issued by the receiving Office, the applicant may, instead of submitting the priority document, request the receiving Office to transmit the priority document to the International Bureau. Such request shall be made not later than the expiration of the applicable time limit referred to under paragraph (a) and may be subjected by the receiving Office to the payment of a fee.

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Since July 1, 1998

(b) Where the priority document is issued by the receiving Office, the applicant may, instead of submitting the priority document, request the receiving Office to prepare and transmit the priority document to the International Bureau. Such request shall be made not later than 16 months after the priority date and may be subjected by the receiving Office to the payment of a fee.

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From January 1, 2004 to June 30, 2012

(b-*bis*) Where the priority document is, in accordance with the Administrative Instructions, available to the receiving Office or to the International Bureau from a digital library, the applicant may, as the case may be, instead of submitting the priority document:

- (i) request the receiving Office to obtain the priority document from such digital library and transmit it to the International Bureau; or
- (ii) request the International Bureau to obtain the priority document from such digital library.

Such request shall be made not later than 16 months after the priority date and may be subjected by the receiving Office or the International Bureau to the payment of a fee.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 2012

(b-*bis*) Where the priority document is, in accordance with the Administrative Instructions, made available to the International Bureau from a digital library prior to the date of international publication of the international application, the applicant may, instead of submitting the priority document, request the International Bureau, prior to the date of international publication, to obtain the priority document from such digital library.

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From June 19, 1970 to September 30, 1980

(c) The International Bureau shall record the date on which it received the priority document and shall notify the applicant and the designated Offices accordingly.

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From October 1, 1980 to June 30, 1998

(c) If the requirements of neither of the two preceding paragraphs are complied with, any designated State may disregard the priority claim.

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From July 1, 1998 to December 31, 2003

(c) If the requirements of neither of the two preceding paragraphs are complied with, any designated State may disregard the priority claim, provided that no designated Office shall disregard the priority claim before giving the applicant an opportunity to furnish the priority document within a time limit which shall be reasonable under the circumstances.

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Since January 1, 2004

(c) If the requirements of none of the three preceding paragraphs are complied with, any designated Office may, subject to paragraph (d), disregard the priority claim, provided that no designated Office shall disregard the priority claim before giving the applicant an opportunity to furnish the priority document within a time limit which shall be reasonable under the circumstances.

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From October 1, 1980 to December 31, 1984

(d) The International Bureau shall record the date on which the priority document has been received by it or by the receiving Office. Where applicable, the date of receipt by the receiving Office of a request referred to under paragraph (b) shall be recorded as the date of receipt of the priority document. The International Bureau shall notify the applicant and the designated Offices accordingly.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From  
January 1,  
1985 to  
December 31,  
2003

(d) [Deleted]

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Since  
January 1,  
2004

(d) No designated Office shall disregard the priority claim under paragraph (c) if the earlier application referred to in paragraph (a) was filed with it in its capacity as national Office or if the priority document is, in accordance with the Administrative Instructions, available to it from a digital library.

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Since June 19,  
1970

17.2 *Availability of Copies*

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From June 19,  
1970 to  
June 30, 1998

(a) The International Bureau shall, at the specific request of the designated Office, promptly but not before the expiration of the time limit fixed in Rule 17.1(a), furnish a copy of the priority document to that Office. No such Office shall ask the applicant himself to furnish it with a copy, except where it requires the furnishing of a copy of the priority document together with a certified translation thereof. The applicant shall not be required to furnish a certified translation to the designated Office before the expiration of the applicable time limit under Article 22.

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From July 1,  
1998 to  
December 31,  
2003

(a) Where the applicant has complied with Rule 17.1(a) or (b), the International Bureau shall, at the specific request of the designated Office, promptly but not prior to the international publication of the international application, furnish a copy of the priority document to that Office. No such Office shall ask the applicant himself to furnish it with a copy. The applicant shall not be required to furnish a translation to the designated Office before the expiration of the applicable time limit under Article 22. Where the applicant makes an express request to the designated Office under Article 23(2) prior to the international publication of the international application, the International Bureau shall, at the specific request of the designated Office, furnish a copy of the priority document to that Office promptly after receiving it.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 2004

(a) Where the applicant has complied with Rule 17.1(a), (b) or (b-*bis*) the International Bureau shall, at the specific request of the designated Office, promptly but not prior to the international publication of the international application, furnish a copy of the priority document to that Office. No such Office shall ask the applicant himself to furnish it with a copy. The applicant shall not be required to furnish a translation to the designated Office before the expiration of the applicable time limit under Article 22. Where the applicant makes an express request to the designated Office under Article 23(2) prior to the international publication of the international application, the International Bureau shall, at the specific request of the designated Office, furnish a copy of the priority document to that Office promptly after receiving it.

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Since June 19, 1970

(b) The International Bureau shall not make copies of the priority document available to the public prior to the international publication of the international application.

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From June 19, 1970 to June 30, 1992

(c) Paragraphs (a) and (b) shall apply also to any earlier international application whose priority is claimed in the subsequent international application.

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Since July 1, 1992

(c) Where the international application has been published under Article 21, the International Bureau shall furnish a copy of the priority document to any person upon request and subject to reimbursement of the cost unless, prior to that publication:

(i) the international application was withdrawn,

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From July 1, 1992 to June 30, 1998

(ii) the relevant priority claim was withdrawn or was considered, under Rule 4.10(b), not to have been made, or

Since July 1, 1998

(ii) the relevant priority claim was withdrawn or considered, under Rule 26*bis*.2(b), not to have been made.

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From July 1, 1992 to June 30, 1998

(iii) the relevant declaration under Article 8(1) was cancelled under Rule 4.10(d).

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Since July 1, 1998

(iii) [*Deleted*]

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1992 to June 30, 1998 (d) Paragraphs (a) to (c) shall apply also to any earlier international application whose priority is claimed in the subsequent international application.

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Since July 1, 1998 (d) [Deleted]

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Since June 19, 1970 Rule 18  
The Applicant

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From June 19, 1970 to December 31, 1993 18.1 *Residence*

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Since January 1, 1994 18.1 *Residence and Nationality*

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From June 19, 1970 to December 31, 1993 (a) Subject to the provisions of paragraph (b), the question whether an applicant is a resident of the Contracting State of which he claims to be a resident shall depend on the national law of that State and shall be decided by the receiving Office.

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Since January 1, 1994 (a) Subject to the provisions of paragraphs (b) and (c), the question whether an applicant is a resident or national of the Contracting State of which he claims to be a resident or national shall depend on the national law of that State and shall be decided by the receiving Office.

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From June 19, 1970 to December 31, 1993 (b) In any case, possession of a real and effective industrial or commercial establishment in a Contracting State shall be considered residence in that State.

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Since January 1, 1994

(b) In any case,

(i) possession of a real and effective industrial or commercial establishment in a Contracting State shall be considered residence in that State, and

(ii) a legal entity constituted according to the national law of a Contracting State shall be considered a national of that State.

(c) Where the international application is filed with the International Bureau as receiving Office, the International Bureau shall, in the circumstances specified in the Administrative Instructions, request the national Office of, or acting for, the Contracting State concerned to decide the question referred to in paragraph (a). The International Bureau shall inform the applicant of any such request. The applicant shall have an opportunity to submit arguments directly to the national Office. The national Office shall decide the said question promptly.

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From June 19, 1970 to December 31, 1993

18.2 *Nationality*

(a) Subject to the provisions of paragraph (b), the question whether an applicant is a national of the Contracting State of which he claims to be a national shall depend on the national law of that State and shall be decided by the receiving Office.

(b) In any case, a legal entity constituted according to the national law of a Contracting State shall be considered a national of that State.

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Since January 1, 1994

18.2 *[Deleted]*

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From June 19, 1970 to June 30, 1992

18.3 *Several Applicants: Same for All Designated States*

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Since July 1, 1992

18.3 *Two or More Applicants*

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to June 30, 1992	If all the applicants are applicants for the purposes of all designated States, the right to file an international application shall exist if at least one of them is entitled to file an international application according to Article 9.
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Since July 1, 1992	If there are two or more applicants, the right to file an international application shall exist if at least one of them is entitled to file an international application according to Article 9.
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From June 19, 1970 to June 30, 1992	18.4 <i>Several Applicants: Different for Different Designated States</i>
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Since July 1, 1992	18.4 <i>Information on Requirements under National Law as to Applicants</i>
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From June 19, 1970 to June 30, 1992	(a) The international application may indicate different applicants for the purposes of different designated States, provided that, in respect of each designated State, at least one of the applicants indicated for the purposes of that State is entitled to file an international application according to Article 9.
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(b) If the condition referred to in paragraph (a) is not fulfilled in respect of any designated State, the designation of that State shall be considered not to have been made.

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Since July 1, 1992	(a) and (b) <i>[Deleted]</i>
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Since June 19, 1970	(c) The International Bureau shall, from time to time, publish information on the various national laws in respect of the question who is qualified (inventor, successor in title of the inventor, owner of the invention, or other) to file a national application and shall accompany such information by a warning that the effect of the international application in any designated State may depend on whether the person designated in the international application as applicant for the purposes of that State is a person who, under the national law of that State, is qualified to file a national application.
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Since June 19, 1970

(b) Any Contracting State may agree with another Contracting State or any intergovernmental organization that the national Office of the latter State or the intergovernmental organization shall, for all or some purposes, act instead of the national Office of the former State as receiving Office for applicants who are residents or nationals of that former State. Notwithstanding such agreement, the national Office of the former State shall be considered the competent receiving Office for the purposes of Article 15(5).

(c) In connection with any decision made under Article 9(2), the Assembly shall appoint the national Office or the intergovernmental organization which will act as receiving Office for applications of residents or nationals of States specified by the Assembly. Such appointment shall require the previous consent of the said national Office or intergovernmental organization.

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From June 19, 1970 to June 30, 1992

19.2 *Several Applicants*

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Since July 1, 1992

19.2 *Two or More Applicants*

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From June 19, 1970 to September 30, 1980

(a) If there are several applicants and they have no common agent, their common representative within the meaning of Rule 4.8 shall, for the purposes of the application of Rule 19.1, be considered the applicant.

(b) If there are several applicants and they have a common agent, the applicant first named in the request who is entitled to file an international application according to Article 9 shall, for the purposes of the application of Rule 19.1, be considered the applicant.

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From October 1, 1980 to June 30, 1992

If there are several applicants, the requirements of Rule 19.1 shall be considered to be met if the national Office with which the international application is filed is the national Office of or acting for a Contracting State of which at least one of the applicants is a resident or national.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1992 to December 31, 1993

If there are two or more applicants, the requirements of Rule 19.1 shall be considered to be met if the national Office with which the international application is filed is the national Office of or acting for a Contracting State of which at least one of the applicants is a resident or national.

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Since January 1, 1994

If there are two or more applicants:

(i) the requirements of Rule 19.1 shall be considered to be met if the national Office with which the international application is filed is the national Office of or acting for a Contracting State of which at least one of the applicants is a resident or national;

(ii) the international application may be filed with the International Bureau under Rule 19.1(a)(iii) if at least one of the applicants is a resident or national of a Contracting State.

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Since June 19, 1970

19.3 *Publication of Fact of Delegation of Duties of Receiving Office*

(a) Any agreement referred to in Rule 19.1(b) shall be promptly notified to the International Bureau by the Contracting State which delegates the duties of the receiving Office to the national Office of or acting for another Contracting State or an intergovernmental organization.

(b) The International Bureau shall, promptly upon receipt, publish the notification in the Gazette.

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Since January 1, 1994

19.4 *Transmittal to the International Bureau as Receiving Office*

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From January 1, 1994 to June 30, 1998

(a) Where an international application is filed with a national Office which acts as a receiving Office under the Treaty by an applicant who is a resident or national of a Contracting State, but that national Office is not competent under Rule 19.1 or 19.2 to receive that international application, that international application shall, subject to paragraph (b), be considered to have been received by that Office on behalf of the International Bureau as receiving Office under Rule 19.1(a)(iii).

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Since July 1, 1998

(a) Where an international application is filed with a national Office which acts as a receiving Office under the Treaty but

- (i) that national Office is not competent under Rule 19.1 or 19.2 to receive that international application, or
- (ii) that international application is not in a language accepted under Rule 12.1(a) by that national Office but is in a language accepted under that Rule by the International Bureau as receiving Office, or
- (iii) that national Office and the International Bureau agree, for any reason other than those specified under items (i) and (ii), and with the authorization of the applicant, that the procedure under this Rule should apply,

that international application shall, subject to paragraph (b), be considered to have been received by that Office on behalf of the International Bureau as receiving Office under Rule 19.1(a)(iii).

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Since January 1, 1994

(b) Where, pursuant to paragraph (a), an international application is received by a national Office on behalf of the International Bureau as receiving Office under Rule 19.1(a)(iii), that national Office shall, unless prescriptions concerning national security prevent the international application from being so transmitted, promptly transmit it to the International Bureau. Such transmittal may be subjected by the national Office to the payment of a fee, for its own benefit, equal to the transmittal fee charged by that Office under Rule 14. The international application so transmitted shall be considered to have been received by the International Bureau as receiving Office under Rule 19.1(a)(iii) on the date of receipt of the international application by that national Office.

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From July 1, 1998 to December 31, 2003

(c) For the purposes of Rules 14.1(c), 15.4(a) to (c) and 16.1(f), where the international application was transmitted to the International Bureau under paragraph (b), the date of receipt of the international application shall be considered to be the date on which the international application was actually received by the International Bureau. For the purposes of this paragraph, the last sentence of paragraph (b) shall not apply.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 2004 to June 30, 2010

(c) For the purposes of Rules 14.1(c), 15.4 and 16.1(f), where the international application was transmitted to the International Bureau under paragraph (b), the date of receipt of the international application shall be considered to be the date on which the international application was actually received by the International Bureau. For the purposes of this paragraph, the last sentence of paragraph (b) shall not apply.

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Since July 1, 2010

(c) For the purposes of Rules 14.1(c), 15.3 and 16.1(f), where the international application was transmitted to the International Bureau under paragraph (b), the date of receipt of the international application shall be considered to be the date on which the international application was actually received by the International Bureau. For the purposes of this paragraph, the last sentence of paragraph (b) shall not apply.

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From June 19, 1970 to March 31, 2007

Rule 20  
Receipt of the International Application

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Since April 1, 2007

Rule 20  
International Filing Date

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From June 19, 1970 to March 31, 2007

20.1 *Date and Number*

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Since April 1, 2007

20.1 *Determination under Article 11(1)*

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From June 19, 1970 to June 30, 1992

(a) Upon receipt of papers purporting to be an international application, the receiving Office shall indelibly mark the date of actual receipt in the space provided for that purpose in the request form of each copy received and one of the numbers assigned by the International Bureau to that Office on each sheet of each copy received.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1992 to March 31, 2007

(a) Upon receipt of papers purporting to be an international application, the receiving Office shall indelibly mark the date of actual receipt on the request of each copy received and the international application number on each sheet of each copy received.

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Since April 1, 2007

(a) Promptly after receipt of the papers purporting to be an international application, the receiving Office shall determine whether the papers fulfill the requirements of Article 11(1).

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From June 19, 1970 to March 31, 2007

(b) The place on each sheet where the date or number shall be marked, and other details, shall be specified in the Administrative Instructions.

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Since April 1, 2007

(b) For the purposes of Article 11(1)(iii)(c), it shall be sufficient to indicate the name of the applicant in a way which allows the identity of the applicant to be established even if the name is misspelled, the given names are not fully indicated, or, in the case of legal entities, the indication of the name is abbreviated or incomplete.

(c) For the purposes of Article 11(1)(ii), it shall be sufficient that the part which appears to be a description (other than any sequence listing part thereof) and the part which appears to be a claim or claims be in a language accepted by the receiving Office under Rule 12.1(a).

(d) If, on October 1, 1997, paragraph (c) is not compatible with the national law applied by the receiving Office, paragraph (c) shall not apply to that receiving Office for as long as it continues not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by December 31, 1997. The information received shall be promptly published by the International Bureau in the Gazette.

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From June 19, 1970 to March 31, 2007

20.2 *Receipt on Different Days*

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Since April 1, 2007      20.2      *Positive Determination under Article 11(1)*

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From June 19, 1970 to March 31, 2007      (a) In cases where all the sheets pertaining to the same purported international application are not received on the same day by the receiving Office, that Office shall correct the date marked on the request (still leaving legible, however, the earlier date or dates already marked) so that it indicates the day on which the papers completing the international application were received, provided that

(i) where no invitation under Article 11(2)(a) to correct was sent to the applicant, the said papers are received within 30 days from the date on which sheets were first received;

(ii) where an invitation under Article 11(2)(a) to correct was sent to the applicant, the said papers are received within the applicable time limit under Rule 20.6;

(iii) in the case of Article 14(2), the missing drawings are received within 30 days from the date on which the incomplete papers were filed;

(iv) the absence or later receipt of any sheet containing the abstract or part thereof shall not, in itself, require any correction of the date marked on the request.

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Since April 1, 2007      (a) If the receiving Office determines that, at the time of receipt of the papers purporting to be an international application, the requirements of Article 11(1) were fulfilled, the receiving Office shall accord as the international filing date the date of receipt of the international application.

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From June 19, 1970 to March 31, 2007      (b) Any sheet received on a date later than the date on which sheets were first received shall be marked by the receiving Office with the date on which it was received.

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Since April 1, 2007      (b) The receiving Office shall stamp the request of the international application which it has accorded an international filing date as prescribed by the Administrative Instructions. The copy whose request has been so stamped shall be the record copy of the international application.

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Since April 1, 2007

(c) The receiving Office shall promptly notify the applicant of the international application number and the international filing date. At the same time, it shall send to the International Bureau a copy of the notification sent to the applicant, except where it has already sent, or is sending at the same time, the record copy to the International Bureau under Rule 22.1(a).

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From June 19, 1970 to March 31, 2007

20.3 *Corrected International Application*

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Since April 1, 2007

20.3 *Defects under Article 11(1)*

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From June 19, 1970 to March 31, 2007

In the case referred to in Article 11(2)(b), the receiving Office shall correct the date marked on the request (still leaving legible, however, the earlier date or dates already marked) so that it indicates the day on which the last required correction was received.

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Since April 1, 2007

(a) Where, in determining whether the papers purporting to be an international application fulfill the requirements of Article 11(1), the receiving Office finds that any of the requirements of Article 11(1) are not, or appear not to be, fulfilled, it shall promptly invite the applicant, at the applicant's option:

(i) to furnish the required correction under Article 11(2); or

(ii) where the requirements concerned are those relating to an element referred to in Article 11(1)(iii)(d) or (e), to confirm in accordance with Rule 20.6(a) that the element is incorporated by reference under Rule 4.18;

and to make observations, if any, within the applicable time limit under Rule 20.7. If that time limit expires after the expiration of 12 months from the filing date of any application whose priority is claimed, the receiving Office shall call that circumstance to the attention of the applicant.

---

(b) Where, following an invitation under paragraph (a) or otherwise:

(i) the applicant furnishes to the receiving Office the required correction under Article 11(2) after the date of receipt of the purported international application but on a later date falling within the applicable time limit under Rule 20.7, the receiving Office shall accord that later date as the international filing date and proceed as provided in Rule 20.2(b) and (c);

(ii) an element referred to in Article 11(1)(iii)(d) or (e) is, under Rule 20.6(b), considered to have been contained in the international application on the date on which one or more elements referred to in Article 11(1)(iii) were first received by the receiving Office, the receiving Office shall accord as the international filing date the date on which all of the requirements of Article 11(1) are fulfilled and proceed as provided in Rule 20.2(b) and (c).

(c) If the receiving Office later discovers, or on the basis of the applicant's reply realizes, that it has erred in issuing an invitation under paragraph (a) since the requirements of Article 11(1) were fulfilled when the papers were received, it shall proceed as provided in Rule 20.2.

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From  
October 1,  
1980 to  
June 30, 1992

*20.3bis Manner of Carrying Out Corrections*

The Administrative Instructions prescribe the manner in which corrections required under Article 11(2)(a) shall be presented by the applicant and the manner in which they shall be entered in the file of the international application.

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Since July 1,  
1992

*20.3bis [Deleted]*

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From June 19,  
1970 to  
March 31,  
2007

*20.4 Determination under Article 11(1)*

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Since April 1,  
2007

*20.4 Negative Determination under Article 11(1)*

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to March 31, 2007

(a) Promptly after receipt of the papers purporting to be an international application, the receiving Office shall determine whether the papers comply with the requirements of Article 11(1).

(b) For the purposes of Article 11(1)(iii)(c), it shall be sufficient to indicate the name of the applicant in a way which allows his identity to be established even if the name is misspelled, the given names are not fully indicated, or, in the case of legal entities, the indication of the name is abbreviated or incomplete.

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From July 1, 1992 to June 30, 1998

(c) For the purposes of Article 11(1)(ii), it shall be sufficient that the elements referred to in Article 11(1)(iii)(d) and (e) be in a language admitted under Rule 12.1(a) or (c).

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From July 1, 1998 to March 31, 2007

(c) For the purposes of Article 11(1)(ii), it shall be sufficient that the part which appears to be a description (other than any sequence listing part thereof) and the part which appears to be a claim or claims be in a language accepted by the receiving Office under Rule 12.1(a).

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From July 1, 1992 to June 30, 1998

(d) If, on July 12, 1991, paragraph (c) is not compatible with the national law applied by the receiving Office, paragraph (c) shall not apply to that receiving Office for as long as it continues not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by December 31, 1991. The information received shall be promptly published by the International Bureau in the Gazette.

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From July 1, 1998 to March 31, 2007

(d) If, on October 1, 1997, paragraph (c) is not compatible with the national law applied by the receiving Office, paragraph (c) shall not apply to that receiving Office for as long as it continues not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by December 31, 1997. The information received shall be promptly published by the International Bureau in the Gazette.

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Since April 1,  
2007

If the receiving Office does not receive, within the applicable time limit under Rule 20.7, a correction or confirmation referred to in Rule 20.3(a), or if a correction or confirmation has been received but the application still does not fulfill the requirements of Article 11(1), the receiving Office shall:

(i) promptly notify the applicant that the application is not and will not be treated as an international application and shall indicate the reasons therefor;

(ii) notify the International Bureau that the number it has marked on the papers will not be used as an international application number;

(iii) keep the papers constituting the purported international application and any correspondence relating thereto as provided in Rule 93.1; and

(iv) send a copy of the said papers to the International Bureau where, pursuant to a request by the applicant under Article 25(1), the International Bureau needs such a copy and specially asks for it.

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From June 19,  
1970 to  
March 31,  
2007

20.5 *Positive Determination*

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Since April 1,  
2007

20.5 *Missing Parts*

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From June 19,  
1970 to  
June 30, 1992

(a) If the determination under Article 11(1) is positive, the receiving Office shall stamp in the space provided for that purpose in the request form the name of the receiving Office and the words “PCT International Application,” or “Demande internationale PCT.” If the official language of the receiving Office is neither English nor French, the words “International Application” or “Demande internationale” may be accompanied by a translation of these words in the official language of the receiving Office.

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From July 1,  
1992 to  
March 31,  
2007

(a) If the determination under Article 11(1) is positive, the receiving Office shall stamp on the request the name of the receiving Office and the words “PCT International Application,” or “Demande internationale PCT.” If the official language of the receiving Office is neither English nor French, the words “International Application” or “Demande internationale” may be accompanied by a translation of these words in the official language of the receiving Office.

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Since April 1,  
2007

(a) Where, in determining whether the papers purporting to be an international application fulfill the requirements of Article 11(1), the receiving Office finds that a part of the description, claims or drawings is or appears to be missing, including the case where all of the drawings are or appear to be missing but not including the case where an entire element referred to in Article 11(1)(iii)(d) or (e) is or appears to be missing, it shall promptly invite the applicant, at the applicant’s option:

- (i) to complete the purported international application by furnishing the missing part; or
- (ii) to confirm, in accordance with Rule 20.6(a), that the part was incorporated by reference under Rule 4.18;

and to make observations, if any, within the applicable time limit under Rule 20.7. If that time limit expires after the expiration of 12 months from the filing date of any application whose priority is claimed, the receiving Office shall call that circumstance to the attention of the applicant.

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From June 19,  
1970 to  
June 30, 1992

(b) The copy whose request sheet has been so stamped shall be the record copy of the international application.

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From July 1,  
1992 to  
March 31,  
2007

(b) The copy whose request has been so stamped shall be the record copy of the international application.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since April 1, 2007

(b) Where, following an invitation under paragraph (a) or otherwise, the applicant furnishes to the receiving Office, on or before the date on which all of the requirements of Article 11(1) are fulfilled but within the applicable time limit under Rule 20.7, a missing part referred to in paragraph (a) so as to complete the international application, that part shall be included in the application and the receiving Office shall accord as the international filing date the date on which all of the requirements of Article 11(1) are fulfilled and proceed as provided in Rule 20.2(b) and (c).

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From June 19, 1970 to December 31, 1984

(c) The receiving Office shall promptly notify the applicant of the international application number and the international filing date.

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From January 1, 1985 to March 31, 2007

(c) The receiving Office shall promptly notify the applicant of the international application number and the international filing date. At the same time, it shall send to the International Bureau a copy of the notification sent to the applicant, except where it has already sent, or is sending at the same time, the record copy to the International Bureau under Rule 22.1(a).

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Since April 1, 2007

(c) Where, following an invitation under paragraph (a) or otherwise, the applicant furnishes to the receiving Office, after the date on which all of the requirements of Article 11(1) were fulfilled but within the applicable time limit under Rule 20.7, a missing part referred to in paragraph (a) so as to complete the international application, that part shall be included in the application, and the receiving Office shall correct the international filing date to the date on which the receiving Office received that part, notify the applicant accordingly and proceed as provided for in the Administrative Instructions.

(d) Where, following an invitation under paragraph (a) or otherwise, a part referred to in paragraph (a) is, under Rule 20.6(b), considered to have been contained in the purported international application on the date on which one or more elements referred to in Article 11(1)(iii) were first received by the receiving Office, the receiving Office shall accord as the international filing date the date on which all of the requirements of Article 11(1) are fulfilled and proceed as provided in Rule 20.2(b) and (c).

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(e) Where the international filing date has been corrected under paragraph (c), the applicant may, in a notice submitted to the receiving Office within one month from the date of the notification under paragraph (c), request that the missing part concerned be disregarded, in which case the missing part shall be considered not to have been furnished and the correction of the international filing date under that paragraph shall be considered not to have been made, and the receiving Office shall proceed as provided for in the Administrative Instructions.

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From June 19, 20.6 *Invitation to Correct*  
1970 to  
March 31,  
2007

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Since April 1, 20.6 *Confirmation of Incorporation by Reference of Elements and*  
2007 *Parts*

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From June 19, (a) The invitation to correct under Article 11(2) shall specify the  
1970 to requirement provided for under Article 11(1) which, in the opinion of  
March 31, the receiving Office, has not been fulfilled.  
2007

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Since April 1,  
2007

(a) The applicant may submit to the receiving Office, within the applicable time limit under Rule 20.7, a written notice confirming that an element or part is incorporated by reference in the international application under Rule 4.18, accompanied by:

(i) a sheet or sheets embodying the entire element as contained in the earlier application or embodying the part concerned;

(ii) where the applicant has not already complied with Rule 17.1(a), (b) or (b-*bis*) in relation to the priority document, a copy of the earlier application as filed;

(iii) where the earlier application is not in the language in which the international application is filed, a translation of the earlier application into that language or, where a translation of the international application is required under Rule 12.3(a) or 12.4(a), a translation of the earlier application into both the language in which the international application is filed and the language of that translation; and

(iv) in the case of a part of the description, claims or drawings, an indication as to where that part is contained in the earlier application and, where applicable, in any translation referred to in item (iii).

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From June 19,  
1970 to  
March 31,  
2007

(b) The receiving Office shall promptly mail the invitation to the applicant and shall fix a time limit, reasonable under the circumstances of the case, for filing the correction. The time limit shall not be less than 10 days, and shall not exceed one month, from the date of the invitation. If such time limit expires after the expiration of one year from the filing date of any application whose priority is claimed, the receiving Office may call this circumstance to the attention of the applicant.

---

Since April 1,  
2007

(b) Where the receiving Office finds that the requirements of Rule 4.18 and paragraph (a) have been complied with and that the element or part referred to in paragraph (a) is completely contained in the earlier application concerned, that element or part shall be considered to have been contained in the purported international application on the date on which one or more elements referred to in Article 11(1)(iii) were first received by the receiving Office.

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(c) Where the receiving Office finds that a requirement under Rule 4.18 or paragraph (a) has not been complied with or that the element or part referred to in paragraph (a) is not completely contained in the earlier application concerned, the receiving Office shall proceed as provided for in Rule 20.3(b)(i), 20.5(b) or 20.5(c), as the case may be.

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From June 19, 1970 to March 31, 2007      20.7      *Negative Determination*

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Since April 1, 2007      20.7      *Time Limit*

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From June 19, 1970 to March 31, 2007      If the receiving Office does not, within the prescribed time limit, receive a reply to its invitation to correct, or if the correction offered by the applicant still does not fulfill the requirements provided for under Article 11(1), it shall:

(i) promptly notify the applicant that his application is not and will not be treated as an international application and shall indicate the reasons therefor,

(ii) notify the International Bureau that the number it has marked on the papers will not be used as an international application number,

(iii) keep the papers constituting the purported international application and any correspondence relating thereto as provided in Rule 93.1, and

(iv) send a copy of the said papers to the International Bureau where, pursuant to a request by the applicant under Article 25(1), the International Bureau needs such a copy and specially asks for it.

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Since April 1, 2007      (a) The applicable time limit referred to in Rules 20.3(a) and (b), 20.4, 20.5(a), (b) and (c), and 20.6(a) shall be:

(i) where an invitation under Rule 20.3(a) or 20.5(a), as applicable, was sent to the applicant, two months from the date of the invitation;

(ii) where no such invitation was sent to the applicant, two months from the date on which one or more elements referred to in Article 11(1)(iii) were first received by the receiving Office.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From April 1, 2007 to June 30, 2012

(b) Where a correction under Article 11(2) or a notice under Rule 20.6(a) confirming the incorporation by reference of an element referred to in Article 11(1)(iii)(d) or (e) is received by the receiving Office after the expiration of the applicable time limit under paragraph (a) but before that Office sends a notification to the applicant under Rule 20.4(i), that correction or notice shall be considered to have been received within that time limit.

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Since July 1, 2012

(b) Where neither a correction under Article 11(2) nor a notice under Rule 20.6(a) confirming the incorporation by reference of an element referred to in Article 11(1)(iii)(d) or (e) is received by the receiving Office prior to the expiration of the applicable time limit under paragraph (a), any such correction or notice received by that Office after the expiration of that time limit but before it sends a notification to the applicant under Rule 20.4(i) shall be considered to have been received within that time limit.

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From June 19, 1970 to March 31, 2007

20.8 *Error by the Receiving Office*

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Since April 1, 2007

20.8 *Incompatibility with National Laws*

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From June 19, 1970 to March 31, 2007

If the receiving Office later discovers, or on the basis of the applicant's reply realizes, that it has erred in issuing an invitation to correct since the requirements provided for under Article 11(1) were fulfilled when the papers were received, it shall proceed as provided in Rule 20.5.

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Since April 1, 2007

(a) If, on October 5, 2005, any of Rules 20.3(a)(ii) and (b)(ii), 20.5(a)(ii) and (d), and 20.6 are not compatible with the national law applied by the receiving Office, the Rules concerned shall not apply to an international application filed with that receiving Office for as long as they continue not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by April 5, 2006. The information received shall be promptly published by the International Bureau in the Gazette.

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(a-bis) Where a missing element or part cannot be incorporated by reference in the international application under Rules 4.18 and 20.6 because of the operation of paragraph (a) of this Rule, the receiving Office shall proceed as provided for in Rule 20.3(b)(i), 20.5(b) or 20.5(c), as the case may be. Where the receiving Office proceeds as provided for in Rule 20.5(c), the applicant may proceed as provided for in Rule 20.5(e).

(b) If, on October 5, 2005, any of Rules 20.3(a)(ii) and (b)(ii), 20.5(a)(ii) and (d), and 20.6 are not compatible with the national law applied by the designated Office, the Rules concerned shall not apply in respect of that Office in relation to an international application in respect of which the acts referred to in Article 22 have been performed before that Office for as long as they continue not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by April 5, 2006. The information received shall be promptly published by the International Bureau in the Gazette.

(c) Where an element or part is considered to have been incorporated by reference in the international application by virtue of a finding of the receiving Office under Rule 20.6(b), but that incorporation by reference does not apply to the international application for the purposes of the procedure before a designated Office because of the operation of paragraph (b) of this Rule, the designated Office may treat the application as if the international filing date had been accorded under Rule 20.3(b)(i) or 20.5(b), or corrected under Rule 20.5(c), as the case may be, provided that Rule 82~~ter~~.1(c) and (d) shall apply *mutatis mutandis*.

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From June 19,  
1970 to April 1,  
2007

20.9 *Certified Copy for the Applicant*

Against payment of a fee, the receiving Office shall furnish to the applicant, on request, certified copies of the international application as filed and of any corrections thereto.

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Since April 1,  
2007

20.9 *[Deleted]*

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Since June 19,  
1970

Rule 21  
Preparation of Copies

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Since June 19, 21.1 *Responsibility of the Receiving Office*  
1970

(a) Where the international application is required to be filed in one copy, the receiving Office shall be responsible for preparing the home copy and the search copy required under Article 12(1).

(b) Where the international application is required to be filed in two copies, the receiving Office shall be responsible for preparing the home copy.

(c) If the international application is filed in less than the number of copies required under Rule 11.1(b), the receiving Office shall be responsible for the prompt preparation of the number of copies required, and shall have the right to fix a fee for performing that task and to collect such fee from the applicant.

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Since April 1, 21.2 *Certified Copy for the Applicant*  
2007

Against payment of a fee, the receiving Office shall furnish to the applicant, on request, certified copies of the international application as filed and of any corrections thereto.

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From June 19,  
1970 to  
June 30, 1998

Rule 22  
Transmittal of the Record Copy

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Since July 1,  
1998

Rule 22  
Transmittal of the Record Copy and Translation

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Since June 19, 1970 22.1 *Procedure*

(a) If the determination under Article 11(1) is positive, and unless prescriptions concerning national security prevent the international application from being treated as such, the receiving Office shall transmit the record copy to the International Bureau. Such transmittal shall be effected promptly after receipt of the international application or, if a check to preserve national security must be performed, as soon as the necessary clearance has been obtained. In any case, the receiving Office shall transmit the record copy in time for it to reach the International Bureau by the expiration of the 13<sup>th</sup> month from the priority date. If the transmittal is effected by mail, the receiving Office shall mail the record copy not later than five days prior to the expiration of the 13<sup>th</sup> month from the priority date.

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From June 19,  
1970 to  
December 31,  
1984

(b) If the applicant is not in possession of the notification of receipt sent by the International Bureau under Rule 24.2(a) by the expiration of 13 months and 10 days from the priority date, he shall have the right to ask the receiving Office to give him the record copy or, should the receiving Office allege that it has transmitted the record copy to the International Bureau, a certified copy based on the home copy.

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From  
January 1,  
1985 to  
March 31,  
2007

(b) If the International Bureau has received a copy of the notification under Rule 20.5(c) but is not, by the expiration of 13 months from the priority date, in possession of the record copy, it shall remind the receiving Office that it should transmit the record copy to the International Bureau promptly.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since April 1, 2007

(b) If the International Bureau has received a copy of the notification under Rule 20.2(c) but is not, by the expiration of 13 months from the priority date, in possession of the record copy, it shall remind the receiving Office that it should transmit the record copy to the International Bureau promptly.

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From June 19, 1970 to December 31, 1984

(c) The applicant may transmit the copy he has received under paragraph (b) to the International Bureau. Unless the record copy transmitted by the receiving Office has been received by the International Bureau before the receipt by that Bureau of the copy transmitted by the applicant, the latter copy shall be considered the record copy.

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From January 1, 1985 to March 31, 2007

(c) If the International Bureau has received a copy of the notification under Rule 20.5(c) but is not, by the expiration of 14 months from the priority date, in possession of the record copy, it shall notify the applicant and the receiving Office accordingly.

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Since April 1, 2007

(c) If the International Bureau has received a copy of the notification under Rule 20.2(c) but is not, by the expiration of 14 months from the priority date, in possession of the record copy, it shall notify the applicant and the receiving Office accordingly.

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Since  
January 1,  
1985

(d) After the expiration of 14 months from the priority date, the applicant may request the receiving Office to certify a copy of his international application as being identical with the international application as filed and may transmit such certified copy to the International Bureau.

(e) Any certification under paragraph (d) shall be free of charge and may be refused only on any of the following grounds:

(i) the copy which the receiving Office has been requested to certify is not identical with the international application as filed;

(ii) prescriptions concerning national security prevent the international application from being treated as such;

(iii) the receiving Office has already transmitted the record copy to the International Bureau and that Bureau has informed the receiving Office that it has received the record copy.

(f) Unless the International Bureau has received the record copy, or until it receives the record copy, the copy certified under paragraph (e) and received by the International Bureau shall be considered to be the record copy.

(g) If, by the expiration of the time limit applicable under Article 22, the applicant has performed the acts referred to in that Article but the designated Office has not been informed by the International Bureau of the receipt of the record copy, the designated Office shall inform the International Bureau. If the International Bureau is not in possession of the record copy, it shall promptly notify the applicant and the receiving Office unless it has already notified them under paragraph (c).

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From July 1,  
1998 to  
December 31,  
2002

(h) Where the international application is to be published in the language of a translation furnished under Rule 12.3, that translation shall be transmitted by the receiving Office to the International Bureau together with the record copy under paragraph (a) or, if the receiving Office has already transmitted the record copy to the International Bureau under that paragraph, promptly after receipt of the translation.

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Since  
January 1,  
2003

(h) Where the international application is to be published in the language of a translation furnished under Rule 12.3 or 12.4, that translation shall be transmitted by the receiving Office to the International Bureau together with the record copy under paragraph (a) or, if the receiving Office has already transmitted the record copy to the International Bureau under that paragraph, promptly after receipt of the translation.

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From June 19,  
1970 to  
December 31,  
1984

22.2 *Alternative Procedure*

(a) Notwithstanding the provisions of Rule 22.1, any receiving Office may provide that the record copy of any international application filed with it shall be transmitted, at the option of the applicant, by the receiving Office or through the applicant. The receiving Office shall inform the International Bureau of the existence of any such provision.

(b) The applicant shall exercise the option through a written notice, which he shall file together with the international application. If he fails to exercise the said option, the applicant shall be considered to have opted for transmittal by the receiving Office.

(c) Where the applicant opts for transmittal by the receiving Office, the procedure shall be the same as that provided for in Rule 22.1.

(d) Where the applicant opts for transmittal through him, he shall indicate in the notice referred to in paragraph (b) whether he wishes to collect the record copy at the receiving Office or wishes the receiving Office to mail the record copy to him. If the applicant expresses the wish to collect the record copy, the receiving Office shall hold that copy at the disposal of the applicant as soon as the clearance referred to in Rule 22.1(a) has been obtained and, in any case, including the case where a check for such clearance must be performed, not later than 10 days before the expiration of 13 months from the priority date. If, by the expiration of the time limit for receipt of the record copy by the International Bureau, the applicant has not collected that copy, the receiving Office shall notify the International Bureau accordingly. If the applicant expresses the wish that the receiving Office mail the record copy to him or fails to express the wish to collect the record copy, the receiving Office shall mail that copy to the applicant as soon as the clearance referred to in Rule 22.1(a) has been obtained and, in any case, including the case where a check for such clearance must be performed, not later than 15 days before the expiration of 13 months from the priority date.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to December 31, 1980

(e) Where the receiving Office does not hold the record copy at the disposal of the applicant by the date fixed in paragraph (d), or where, after having asked for the record copy to be mailed to him, the applicant has not received that copy at least 10 days before the expiration of 13 months from the priority date, the applicant may transmit a copy of his international application to the International Bureau. This copy (“provisional record copy”) shall be replaced by the record copy or, if the record copy has been lost, by a substitute record copy certified by the receiving Office on the basis of the home copy, as soon as practicable and, in any case, before the expiration of 14 months from the priority date.

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From January 1, 1981 to December 31, 1984

(e) Where the receiving Office does not hold the record copy at the disposal of the applicant by the date fixed in paragraph (d), or where, after having asked for the record copy to be mailed to him, the applicant has not received that copy at least 10 days before the expiration of 13 months from the priority date, the applicant may transmit a copy of his international application to the International Bureau. This copy (“provisional record copy”) shall be replaced by the record copy or, if the record copy has been lost, by a substitute record copy certified by the receiving Office on the basis of the home copy, as soon as practicable and, in any case, before the expiration of 15 months from the priority date.

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Since January 1, 1985

22.2 *[Deleted]*

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Since June 19, 1970

22.3 *Time Limit under Article 12(3)*

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From June 19, 1970 to December 31, 1980

(a) The time limit referred to in Article 12(3) shall be:

(i) where the procedure under Rule 22.1 or Rule 22.2(c) applies, 14 months from the priority date;

(ii) where the procedure under Rule 22.2(d) applies, 13 months from the priority date, except that, where a provisional record copy is filed under Rule 22.2(e), it shall be 13 months from the priority date for the filing of the provisional record copy, and 14 months from the priority date for the filing of the record copy.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1981 to December 31, 1984	(a) The time limit referred to in Article 12(3) shall be:  (i) where the procedure under Rule 22.1 or Rule 22.2(c) applies, 15 months from the priority date;  (ii) where the procedure under Rule 22.2(d) applies, 14 months from the priority date, except that, where a provisional record copy is filed under Rule 22.2(e), it shall be 14 months from the priority date for the filing of the provisional record copy, and 15 months from the priority date for the filing of the record copy.
Since January 1, 1985	The time limit referred to in Article 12(3) shall be three months from the date of the notification sent by the International Bureau to the applicant under Rule 22.1(c) or (g).
From June 19, 1970 to December 31, 1980	(b) Article 48(1) and Rule 82 shall not apply to the transmittal of the record copy. Article 48(2) remains applicable.
Since January 1, 1981	(b) [Deleted]
From June 19, 1970 to December 31, 1984	22.4 <i>Statistics Concerning Non-Compliance with Rules 22.1 and 22.2</i>  The number of instances in which, according to the knowledge of the International Bureau, any receiving Office has not complied with the requirements of Rules 22.1 and/or 22.2 shall be indicated, once a year, in the Gazette.
Since January 1, 1985	22.4 [Deleted]
From June 19, 1970 to December 31, 1984	22.5 <i>Documents Filed with the International Application</i>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to September 30, 1980

For the purposes of the present Rule, the term “record copy” shall include also any document filed with the international application referred to in Rule 3.3(a)(ii). If any document referred to in Rule 3.3(a)(ii) which is indicated in the check list as accompanying the international application is not, in fact, filed at the latest by the time the record copy leaves the receiving Office, that Office shall so note on the check list and the said indication shall be considered as if it had not been made.

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From October 1, 1980 to December 31, 1984

Any power of attorney and any priority document filed with the international application referred to in Rule 3.3(a)(ii) shall accompany the record copy; any other document referred to in that Rule shall be sent only at the specific request of the International Bureau. If any document referred to in Rule 3.3(a)(ii) which is indicated in the check list as accompanying the international application is not, in fact, filed at the latest by the time the record copy leaves the receiving Office, that Office shall so note on the check list and the said indication shall be considered as if it had not been made.

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Since January 1, 1985

22.5 *[Deleted]*

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From June 19, 1970 to June 30, 1998

Rule 23  
Transmittal of the Search Copy

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Since July 1, 1998

Rule 23  
Transmittal of the Search Copy, Translation and Sequence Listing

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Since June 19, 1970

23.1 *Procedure*

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From June 19, 1970 to December 31, 1984

(a) The search copy shall be transmitted by the receiving Office to the International Searching Authority at the latest on the same day as the record copy is transmitted to the International Bureau or, under Rule 22.2(d), to the applicant.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1985 to June 30, 1992

(a) The search copy shall be transmitted by the receiving Office to the International Searching Authority at the latest on the same day as the record copy is transmitted to the International Bureau.

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From July 1, 1992 to June 30, 1998

(a) The search copy shall be transmitted by the receiving Office to the International Searching Authority at the latest on the same day as the record copy is transmitted to the International Bureau unless no search fee has been paid. In the latter case, it shall be transmitted promptly after payment of the search fee.

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Since July 1, 1998

(a) Where no translation of the international application is required under Rule 12.3(a), the search copy shall be transmitted by the receiving Office to the International Searching Authority at the latest on the same day as the record copy is transmitted to the International Bureau unless no search fee has been paid. In the latter case, it shall be transmitted promptly after payment of the search fee.

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From June 19, 1970 to December 31, 1984

(b) If the International Bureau has not received, within 10 days from the receipt of the record copy, information from the International Searching Authority that that Authority is in possession of the search copy, the International Bureau shall promptly transmit a copy of the international application to the International Searching Authority. Unless the International Searching Authority has erred in alleging that it was not in possession of the search copy by the expiration of the 13<sup>th</sup> month from the priority date, the cost of making a copy for that Authority shall be reimbursed by the receiving Office to the International Bureau.

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From January 1, 1985 to June 30, 1992

(b) If the International Bureau has not received, within 10 days from the receipt of the record copy, information from the International Searching Authority that that Authority is in possession of the search copy, the International Bureau shall promptly transmit a copy of the international application to the International Searching Authority.

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From July 1, 1992 to June 30, 1998

(b) [Deleted]

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 1998 (b) Where a translation of the international application is furnished under Rule 12.3, a copy of that translation and of the request, which together shall be considered to be the search copy under Article 12(1), shall be transmitted by the receiving Office to the International Searching Authority, unless no search fee has been paid. In the latter case, a copy of the said translation and of the request shall be transmitted promptly after payment of the search fee.

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From June 19, 1970 to December 31, 1984 (c) The number of instances in which, according to the knowledge of the International Bureau, any receiving Office has not complied with the requirement of Rule 23.1(a) shall be indicated, once a year, in the Gazette.

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From January 1, 1985 to June 30, 1998 (c) [Deleted]

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From July 1, 1998 to March 31, 2005 (c) Any sequence listing in computer readable form which is furnished to the receiving Office shall be transmitted by that Office to the International Searching Authority.

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Since April 1, 2005 (c) Any sequence listing in electronic form which is furnished for the purposes of Rule 13~~ter~~ but submitted to the receiving Office instead of the International Searching Authority shall be promptly transmitted by that Office to that Authority.

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Since June 19, 1970 Rule 24  
Receipt of the Record Copy by the International Bureau

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From June 19, 1970 to December 31, 1984 24.1 *Recording of Date of Receipt of the Record Copy*  
The International Bureau shall, upon receipt of the record copy, mark on the request sheet the date of receipt and on all sheets of the international application the stamp of the International Bureau.

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Since January 1, 1985 24.1 [Deleted]

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Since June 19, 1970, 24.2 *Notification of Receipt of the Record Copy*

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From June 19, 1970 to June 30, 1992 (a) Subject to the provisions of paragraph (b), the International Bureau shall promptly notify the applicant, the receiving Office, the International Searching Authority, and all designated Offices, of the fact and the date of receipt of the record copy. The notification shall identify the international application by its number, the international filing date, the name of the applicant, and the name of the receiving Office, and shall indicate the filing date of any earlier application whose priority is claimed. The notification sent to the applicant shall also contain the list of the designated Offices which have been notified under this paragraph, and shall, in respect of each designated Office, indicate any applicable time limit under Article 22(3).

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Since July 1, 1992 (a) The International Bureau shall promptly notify:

- (i) the applicant,
- (ii) the receiving Office, and
- (iii) the International Searching Authority (unless it has informed the International Bureau that it wishes not to be so notified),

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From July 1, 1992 to December 31, 2003 of the fact and the date of receipt of the record copy. The notification shall identify the international application by its number, the international filing date and the name of the applicant, and shall indicate the filing date of any earlier application whose priority is claimed. The notification sent to the applicant shall also contain a list of the States designated under Rule 4.9(a) and, where applicable, of those States whose designations have been confirmed under Rule 4.9(c).

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Since January 1, 2004 of the fact and the date of receipt of the record copy. The notification shall identify the international application by its number, the international filing date and the name of the applicant, and shall indicate the filing date of any earlier application whose priority is claimed. The notification sent to the applicant shall also contain a list of the designated Offices and, in the case of a designated Office which is responsible for granting regional patents, of the Contracting States designated for such regional patent.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to June 30, 1992

(b) If the record copy is received after the expiration of the time limit fixed in Rule 22.3, the International Bureau shall promptly notify the applicant, the receiving Office, and the International Searching Authority, accordingly.

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From July 1, 1992 to December 31, 2003

(b) Each designated Office which has informed the International Bureau that it wishes to receive the notification under paragraph (a) prior to the communication under Rule 47.1 shall be so notified by the International Bureau:

(i) if the designation concerned was made under Rule 4.9(a), promptly after the receipt of the record copy;

(ii) if the designation concerned was made under Rule 4.9(b), promptly after the International Bureau has been informed by the receiving Office of the confirmation of that designation.

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Since January 1, 2004

(b) [Deleted]

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Since July 1, 1992

(c) If the record copy is received after the expiration of the time limit fixed in Rule 22.3, the International Bureau shall promptly notify the applicant, the receiving Office, and the International Searching Authority, accordingly.

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Since June 19,  
1970

Rule 25  
Receipt of the Search Copy  
by the International Searching Authority

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Since June 19,  
1970

25.1 *Notification of Receipt of the Search Copy*

The International Searching Authority shall promptly notify the International Bureau, the applicant, and – unless the International Searching Authority is the same as the receiving Office – the receiving Office, of the fact and the date of receipt of the search copy.

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From June 19,  
1970 to  
December 31,  
1984

Rule 26  
Checking and Correcting Certain Elements  
of the International Application

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Since  
January 1,  
1985

Rule 26  
Checking by, and Correcting before, the Receiving Office  
of Certain Elements of the International Application

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From June 19,  
1970 to  
March 31,  
2007

26.1 *Time Limit for Check*

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Since April 1,  
2007

26.1 *Invitation under Article 14(1)(b) to Correct*

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From June 19,  
1970 to  
March 31,  
2007

(a) The receiving Office shall issue the invitation to correct provided for in Article 14(1)(b) as soon as possible, preferably within one month from the receipt of the international application.

(b) If the receiving Office issues an invitation to correct the defect referred to in Article 14(1)(a)(iii) or (iv) (missing title or missing abstract), it shall notify the International Searching Authority accordingly.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since April 1, 2007	The receiving Office shall issue the invitation to correct provided for in Article 14(1)(b) as soon as possible, preferably within one month from the receipt of the international application. In the invitation, the receiving Office shall invite the applicant to furnish the required correction, and give the applicant the opportunity to make observations, within the time limit under Rule 26.2.
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Since June 19, 1970	26.2 <i>Time Limit for Correction</i>
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From June 19, 1970 to December 31, 1984	The time limit referred to in Article 14(1)(b) shall be reasonable under the circumstances of the particular case and shall be fixed in each case by the receiving Office. It shall not be less than one month and normally not more than two months from the date of the invitation to correct.
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From January 1, 1985 to March 31, 2007	The time limit referred to in Article 14(1)(b) shall be reasonable under the circumstances and shall be fixed in each case by the receiving Office. It shall not be less than one month from the date of the invitation to correct. It may be extended by the receiving Office at any time before a decision is taken.
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Since April 1, 2007	The time limit referred to in Rule 26.1 shall be two months from the date of the invitation to correct. It may be extended by the receiving Office at any time before a decision is taken.
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Since January 1, 2004	<p>26.2bis <i>Checking of Requirements under Article 14(1)(a)(i) and (ii)</i></p> <p>(a) For the purposes of Article 14(1)(a)(i), if there is more than one applicant, it shall be sufficient that the request be signed by one of them.</p> <p>(b) For the purposes of Article 14(1)(a)(ii), if there is more than one applicant, it shall be sufficient that the indications required under Rule 4.5(a)(ii) and (iii) be provided in respect of one of them who is entitled according to Rule 19.1 to file the international application with the receiving Office.</p>
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Since June 19, 1970	26.3 <i>Checking of Physical Requirements under Article 14(1)(a)(v)</i>
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to December 31, 1984

The physical requirements referred to in Rule 11 shall be checked to the extent that compliance therewith is necessary for the purpose of reasonably uniform international publication.

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From January 1, 1985 to June 30, 1998

The physical requirements referred to in Rule 11 shall be checked only to the extent that compliance therewith is necessary for the purpose of reasonably uniform international publication.

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Since July 1, 1998

(a) Where the international application is filed in a language of publication, the receiving Office shall check:

(i) the international application for compliance with the physical requirements referred to in Rule 11 only to the extent that compliance therewith is necessary for the purpose of reasonably uniform international publication;

(ii) any translation furnished under Rule 12.3 for compliance with the physical requirements referred to in Rule 11 to the extent that compliance therewith is necessary for the purpose of satisfactory reproduction.

(b) Where the international application is filed in a language which is not a language of publication, the receiving Office shall check:

(i) the international application for compliance with the physical requirements referred to in Rule 11 only to the extent that compliance therewith is necessary for the purpose of satisfactory reproduction;

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From July 1, 1998 to December 31, 2002

(ii) any translation furnished under Rule 12.3 and the drawings for compliance with the physical requirements referred to in Rule 11 to the extent that compliance therewith is necessary for the purpose of reasonably uniform international publication.

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Since January 1, 2003

(ii) any translation furnished under Rule 12.3 or 12.4 and the drawings for compliance with the physical requirements referred to in Rule 11 to the extent that compliance therewith is necessary for the purpose of reasonably uniform international publication.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1985 to June 30, 1998	<i>26.3bis Invitation to Correct Defects under Article 14(1)(b)</i>
Since July 1, 1998	<i>26.3bis Invitation under Article 14(1)(b) to Correct Defects under Rule 11</i>
From January 1, 1985 to June 30, 1998	The receiving Office shall not be required to issue the invitation to correct a defect under Article 14(1)(a)(v) where the physical requirements referred to in Rule 11 are complied with to the extent necessary for the purpose of reasonably uniform international publication.
Since July 1, 1998	The receiving Office shall not be required to issue the invitation under Article 14(1)(b) to correct a defect under Rule 11 where the physical requirements referred to in that Rule are complied with to the extent required under Rule 26.3.
Since July 1, 1992	<i>26.3ter Invitation to Correct Defects under Article 3(4)(i)</i>
From July 1, 1992 to June 30, 1998	(a) Where any element of the international application, other than those referred to in Article 11(1)(iii)(d) and (e), does not comply with Rule 12.1, the receiving Office shall invite the applicant to file the required correction. Rules 26.1(a), 26.2, 26.5 and 29.1 shall apply <i>mutatis mutandis</i> .
Since July 1, 1998	(a) Where the abstract or any text matter of the drawings is filed in a language which is different from the language of the description and the claims, the receiving Office shall, unless <ol style="list-style-type: none"><li>(i) a translation of the international application is required under Rule 12.3(a), or</li><li>(ii) the abstract or the text matter of the drawings is in the language in which the international application is to be published,</li></ol>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1998 to March 31, 2007

invite the applicant to furnish a translation of the abstract or the text matter of the drawings into the language in which the international application is to be published. Rules 26.1(a), 26.2, 26.3, 26.3*bis*, 26.5 and 29.1 shall apply *mutatis mutandis*.

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Since April 1, 2007

invite the applicant to furnish a translation of the abstract or the text matter of the drawings into the language in which the international application is to be published. Rules 26.1, 26.2, 26.3, 26.3*bis*, 26.5 and 29.1 shall apply *mutatis mutandis*.

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From July 1, 1992 to June 30, 1998

(b) If, on July 12, 1991, paragraph (a) is not compatible with the national law applied by the receiving Office, paragraph (a) shall not apply to that receiving Office for as long as it continues not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by December 31, 1991. The information received shall be promptly published by the International Bureau in the Gazette.

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Since July 1, 1998

(b) If, on October 1, 1997, paragraph (a) is not compatible with the national law applied by the receiving Office, paragraph (a) shall not apply to that receiving Office for as long as it continues not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by December 31, 1997. The information received shall be promptly published by the International Bureau in the Gazette.

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From July 1, 1998 to March 31, 2007

(c) Where the request does not comply with Rule 12.1(c), the receiving Office shall invite the applicant to file a translation so as to comply with that Rule. Rules 3, 26.1(a), 26.2, 26.5 and 29.1 shall apply *mutatis mutandis*.

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Since April 1, 2007

(c) Where the request does not comply with Rule 12.1(c), the receiving Office shall invite the applicant to file a translation so as to comply with that Rule. Rules 3, 26.1, 26.2, 26.5 and 29.1 shall apply *mutatis mutandis*.

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Since July 1, 1998 (d) If, on October 1, 1997, paragraph (c) is not compatible with the national law applied by the receiving Office, paragraph (c) shall not apply to that receiving Office for as long as it continues not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by December 31, 1997. The information received shall be promptly published by the International Bureau in the Gazette.

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Since June 19, 1970 26.4 *Procedure*

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From June 19, 1970 to June 30, 1998 (a) Any correction offered to the receiving Office may be stated in a letter addressed to that Office if the correction is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and the direct reproducibility of the sheet on to which the correction is to be transferred; otherwise, the applicant shall be required to submit a replacement sheet embodying the correction and the letter accompanying the replacement sheet shall draw attention to the differences between the replaced sheet and the replacement sheet.

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From June 19, 1970 to December 31, 1984 (b) The receiving Office shall mark on each replacement sheet the international application number, the date on which it was received, and the stamp identifying the Office. It shall keep in its files a copy of the letter containing the correction or, when the correction is contained in a replacement sheet, the replaced sheet, the letter accompanying the replacement sheet, and a copy of the replacement sheet.

(c) The receiving Office shall promptly transmit the letter and any replacement sheet to the International Bureau. The International Bureau shall transfer to the record copy the corrections requested in a letter, together with the indication of the date of its receipt by the receiving Office, and shall insert any replacement sheet in the record copy. The letter and any replaced sheet shall be kept in the files of the International Bureau.

(d) The receiving Office shall promptly transmit a copy of the letter and any replacement sheet to the International Searching Authority.

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Since January 1, 1985 (b), (c) and (d) *[Deleted]*

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From July 1, 1998 to March 31, 2007

Any correction offered to the receiving Office may be stated in a letter addressed to that Office if the correction is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and the direct reproducibility of the sheet on to which the correction is to be transferred; otherwise, the applicant shall be required to submit a replacement sheet embodying the correction and the letter accompanying the replacement sheet shall draw attention to the differences between the replaced sheet and the replacement sheet.

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Since April 1, 2007

A correction of the request offered to the receiving Office may be stated in a letter addressed to that Office if the correction is of such a nature that it can be transferred from the letter to the request without adversely affecting the clarity and the direct reproducibility of the sheet on to which the correction is to be transferred; otherwise, and in the case of a correction of any element of the international application other than the request, the applicant shall be required to submit a replacement sheet embodying the correction and the letter accompanying the replacement sheet shall draw attention to the differences between the replaced sheet and the replacement sheet.

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From June 19, 1970 to December 31, 1984

26.5 *Correction of Certain Elements*

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Since January 1, 1985

26.5 *Decision of the Receiving Office*

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From June 19, 1970 to December 31, 1984

(a) The receiving Office shall decide whether the applicant has submitted the correction within the prescribed time limit. If the correction has been submitted within the prescribed time limit, the receiving Office shall decide whether the international application so corrected is or is not to be considered withdrawn.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1985 to June 30, 1998

(a) The receiving Office shall decide whether the applicant has submitted the correction within the time limit under Rule 26.2 and, if the correction has been submitted within that time limit, whether the international application so corrected is or is not to be considered withdrawn, provided that no international application shall be considered withdrawn for lack of compliance with the physical requirements referred to in Rule 11 if it complies with those requirements to the extent necessary for the purpose of reasonably uniform international publication.

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From July 1, 1998 to March 31, 2007

The receiving Office shall decide whether the applicant has submitted the correction within the time limit under Rule 26.2 and, if the correction has been submitted within that time limit, whether the international application so corrected is or is not to be considered withdrawn, provided that no international application shall be considered withdrawn for lack of compliance with the physical requirements referred to in Rule 11 if it complies with those requirements to the extent necessary for the purpose of reasonably uniform international publication.

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Since April 1, 2007

The receiving Office shall decide whether the applicant has submitted the correction within the applicable time limit under Rule 26.2, and, if the correction has been submitted within that time limit, whether the international application so corrected is or is not to be considered withdrawn, provided that no international application shall be considered withdrawn for lack of compliance with the physical requirements referred to in Rule 11 if it complies with those requirements to the extent necessary for the purpose of reasonably uniform international publication.

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From June 19, 1970 to December 31, 1984

(b) The receiving Office shall mark on the papers containing the correction the date on which it received such papers.

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Since January 1, 1985

(b) [Deleted]

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to March 31, 2007      26.6      *Missing Drawings*

(a) If, as provided in Article 14(2), the international application refers to drawings which in fact are not included in that application, the receiving Office shall so indicate in the said application.

(b) The date on which the applicant receives the notification provided for in Article 14(2) shall have no effect on the time limit fixed under Rule 20.2(a)(iii).

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Since April 1, 2007      26.6      *[Deleted]*

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 1998	Rule 26bis Correction or Addition of Priority Claim
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Since July 1, 1998	<i>26bis.1 Correction or Addition of Priority Claim</i>
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From July 1, 1998 to March 31, 2007	(a) The applicant may correct or add a priority claim by a notice submitted to the receiving Office or the International Bureau within a time limit of 16 months from the priority date or, where the correction or addition would cause a change in the priority date, 16 months from the priority date as so changed, whichever 16-month period expires first, provided that such a notice may be submitted until the expiration of four months from the international filing date. The correction of a priority claim may include the addition of any indication referred to in Rule 4.10
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Since April 1, 2007	(a) The applicant may correct a priority claim or add a priority claim to the request by a notice submitted to the receiving Office or the International Bureau within a time limit of 16 months from the priority date or, where the correction or addition would cause a change in the priority date, 16 months from the priority date as so changed, whichever 16-month period expires first, provided that such a notice may be submitted until the expiration of four months from the international filing date. The correction of a priority claim may include the addition of any indication referred to in Rule 4.10.
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Since July 1, 1998	(b) Any notice referred to in paragraph (a) received by the receiving Office or the International Bureau after the applicant has made a request for early publication under Article 21(2)(b) shall be considered not to have been submitted, unless that request is withdrawn before the technical preparations for international publication have been completed.  (c) Where the correction or addition of a priority claim causes a change in the priority date, any time limit which is computed from the previously applicable priority date and which has not already expired shall be computed from the priority date as so changed.
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From July 1, 1998 to March 31, 2007	<i>26bis.2 Invitation to Correct Defects in Priority Claims</i>
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Since April 1, 2007	<i>26bis.2 Defects in Priority Claims</i>
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From July 1, 1998 to March 31, 2007

(a) Where the receiving Office or, if the receiving Office fails to do so, the International Bureau, finds that a priority claim does not comply with the requirements of Rule 4.10 or that any indication in a priority claim is not the same as the corresponding indication appearing in the priority document, the receiving Office or the International Bureau, as the case may be, shall invite the applicant to correct the priority claim.

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Since April 1, 2007

(a) Where the receiving Office or, if the receiving Office fails to do so, the International Bureau, finds in relation to a priority claim:

- (i) that the international application has an international filing date which is later than the date on which the priority period expired and that a request for restoration of the right of priority under Rule 26*bis*.3 has not been submitted;
- (ii) that the priority claim does not comply with the requirements of Rule 4.10; or
- (iii) that any indication in the priority claim is inconsistent with the corresponding indication appearing in the priority document;

the receiving Office or the International Bureau, as the case may be, shall invite the applicant to correct the priority claim. In the case referred to in item (i), where the international filing date is within two months from the date on which the priority period expired, the receiving Office or the International Bureau, as the case may be, shall also notify the applicant of the possibility of submitting a request for the restoration of the right of priority in accordance with Rule 26*bis*.3, unless the receiving Office has notified the International Bureau under Rule 26*bis*.3(j) of the incompatibility of Rule 26*bis*.3(a) to (i) with the national law applied by that Office.

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From July 1, 1998 to March 31, 2007

(b) If, in response to an invitation under paragraph (a), the applicant does not, before the expiration of the time limit under Rule 26*bis*.1(a), submit a notice correcting the priority claim so as to comply with the requirements of Rule 4.10, that priority claim shall, for the purposes of the procedure under the Treaty, be considered not to have been made and the receiving Office or the International Bureau, as the case may be, shall so declare and shall inform the applicant accordingly, provided that a priority claim shall not be considered not to have been made only because the indication of the number of the earlier application referred to in Rule 4.10(a)(ii) is missing or because an indication in the priority claim is not the same as the corresponding indication appearing in the priority document.

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Since April 1,  
2007

(b) If the applicant does not, before the expiration of the time limit under Rule 26*bis*.1(a), submit a notice correcting the priority claim, that priority claim shall, subject to paragraph (c), for the purposes of the procedure under the Treaty, be considered not to have been made (“considered void”) and the receiving Office or the International Bureau, as the case may be, shall so declare and shall inform the applicant accordingly. Any notice correcting the priority claim which is received before the receiving Office or the International Bureau, as the case may be, so declares and not later than one month after the expiration of that time limit shall be considered to have been received before the expiration of that time limit.

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From July 1,  
1998 to  
March 31,  
2006

(c) Where the receiving Office or the International Bureau has made a declaration under paragraph (b), the International Bureau shall, upon request made by the applicant and received by the International Bureau prior to the completion of the technical preparations for international publication, and subject to the payment of a special fee whose amount shall be fixed in the Administrative Instructions, publish, together with the international application, information concerning the priority claim which was considered not to have been made. A copy of that request shall be included in the communication under Article 20 where a copy of the pamphlet is not used for that communication or where the international application is not published by virtue of Article 64(3).

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From April 1,  
2006 to  
March 31,  
2007

(c) Where the receiving Office or the International Bureau has made a declaration under paragraph (b), the International Bureau shall, upon request made by the applicant and received by the International Bureau prior to the completion of the technical preparations for international publication, and subject to the payment of a special fee whose amount shall be fixed in the Administrative Instructions, publish, together with the international application, information concerning the priority claim which was considered not to have been made. A copy of that request shall be included in the communication under Article 20 where the international application is not published by virtue of Article 64(3).

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Since April 1,  
2007

(c) A priority claim shall not be considered void only because:

- (i) the indication of the number of the earlier application referred to in Rule 4.10(a)(ii) is missing;
- (ii) an indication in the priority claim is inconsistent with the corresponding indication appearing in the priority document; or

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(iii) the international application has an international filing date which is later than the date on which the priority period expired, provided that the international filing date is within the period of two months from that date.

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(d) Where the receiving Office or the International Bureau has made a declaration under paragraph (b) or where the priority claim has not been considered void only because paragraph (c) applies, the International Bureau shall publish, together with the international application, information concerning the priority claim as prescribed by the Administrative Instructions, as well as any information submitted by the applicant concerning such priority claim which is received by the International Bureau prior to the completion of the technical preparations for international publication. Such information shall be included in the communication under Article 20 where the international application is not published by virtue of Article 64(3).

(e) Where the applicant wishes to correct or add a priority claim but the time limit under Rule 26bis.1 has expired, the applicant may, prior to the expiration of 30 months from the priority date and subject to the payment of a special fee whose amount shall be fixed in the Administrative Instructions, request the International Bureau to publish information concerning the matter, and the International Bureau shall promptly publish such information.

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Since April 1,  
2007

*26bis.3 Restoration of Right of Priority by Receiving Office*

(a) Where the international application has an international filing date which is later than the date on which the priority period expired but within the period of two months from that date, the receiving Office shall, on the request of the applicant, and subject to paragraphs (b) to (g) of this Rule, restore the right of priority if the Office finds that a criterion applied by it (“criterion for restoration”) is satisfied, namely, that the failure to file the international application within the priority period:

- (i) occurred in spite of due care required by the circumstances having been taken; or
- (ii) was unintentional.

Each receiving Office shall apply at least one of those criteria and may apply both of them.

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	<p>(b) A request under paragraph (a) shall:</p> <p>(i) be filed with the receiving Office within the time limit applicable under paragraph (e);</p> <p>(ii) state the reasons for the failure to file the international application within the priority period; and</p> <p>(iii) preferably be accompanied by any declaration or other evidence required under paragraph (f).</p>
	<p>(c) Where a priority claim in respect of the earlier application is not contained in the international application, the applicant shall submit, within the time limit applicable under paragraph (e), a notice under Rule 26<i>bis</i>.1(a) adding the priority claim.</p>
<p>From April 1, 2007 to June 30, 2008</p>	<p>(d) The submission of a request under paragraph (a) may be subjected by the receiving Office to the payment to it, for its own benefit, of a fee for requesting restoration, payable within the time limit applicable under paragraph (e). The amount of that fee, if any, shall be fixed by the receiving Office.</p>
<p>Since July 1, 2008</p>	<p>(d) The submission of a request under paragraph (a) may be subjected by the receiving Office to the payment to it, for its own benefit, of a fee for requesting restoration, payable within the time limit applicable under paragraph (e). The amount of that fee, if any, shall be fixed by the receiving Office. The time limit for payment of the fee may be extended, at the option of the receiving Office, for a period of up to two months from the expiration of the time limit applicable under paragraph (e).</p>
<p>Since April 1, 2007</p>	<p>(e) The time limit referred to in paragraphs (b)(i), (c) and (d) shall be two months from the date on which the priority period expired, provided that, where the applicant makes a request for early publication under Article 21(2)(b), any request under paragraph (a) or any notice referred to in paragraph (c) submitted, or any fee referred to in paragraph (d) paid, after the technical preparations for international publication have been completed shall be considered as not having been submitted or paid in time.</p>
<p>From April 1, 2007 to June 30, 2016</p>	<p>(f) The receiving Office may require that a declaration or other evidence in support of the statement of reasons referred to in paragraph (b)(iii) be filed with it within a time limit which shall be reasonable under the circumstances. The applicant may furnish to the International Bureau a copy of any such declaration or other evidence filed with the receiving Office, in which case the International Bureau shall include such copy in its files.</p>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 2016	(f) The receiving Office may require that a declaration or other evidence in support of the statement of reasons referred to in paragraph (b)(ii) be filed with it within a time limit which shall be reasonable under the circumstances.
Since April 1, 2007	(g) The receiving Office shall not refuse, totally or in part, a request under paragraph (a) without giving the applicant the opportunity to make observations on the intended refusal within a time limit which shall be reasonable under the circumstances. Such notice of intended refusal by the receiving Office may be sent to the applicant together with any invitation to file a declaration or other evidence under paragraph (f).
	(h) The receiving Office shall promptly: (i) notify the International Bureau of the receipt of a request under paragraph (a); (ii) make a decision upon the request;
From April 1, 2007 to June 30, 2016	(iii) notify the applicant and the International Bureau of its decision and the criterion for restoration upon which the decision was based.
Since July 1, 2016	(iii) notify the applicant and the International Bureau of its decision and the criterion for restoration upon which the decision was based;
	(iv) subject to paragraph (h-bis), transmit to the International Bureau all documents received from the applicant relating to the request under paragraph (a) (including a copy of the request itself, any statement of reasons referred to in paragraph (b)(ii) and any declaration or other evidence referred to in paragraph (f)).
	(h-bis) The receiving Office shall, upon a reasoned request by the applicant or on its own decision, not transmit documents or parts thereof received in relation to the request under paragraph (a), if it finds that:
	(i) this document or part thereof does not obviously serve the purpose of informing the public about the international application;
	(ii) publication or public access to any such document or part thereof would clearly prejudice the personal or economic interests of any person; and
	(iii) there is no prevailing public interest to have access to that document or part thereof.

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Where the receiving Office decides not to transmit documents or parts thereof to the International Bureau, it shall notify the International Bureau accordingly.

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Since April 1,  
2007

(i) Each receiving Office shall inform the International Bureau of which of the criteria for restoration it applies and of any subsequent changes in that respect. The International Bureau shall promptly publish such information in the Gazette.

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(j) If, on October 5, 2005, paragraphs (a) to (i) are not compatible with the national law applied by the receiving Office, those paragraphs shall not apply in respect of that Office for as long as they continue not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by April 5, 2006. The information received shall be promptly published by the International Bureau in the Gazette.

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Since March 1, 2001	Rule 26 <i>ter</i> Correction or Addition of Declarations under Rule 4.17
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Since March 1, 2001	26 <i>ter.1</i> <i>Correction or Addition of Declarations</i>
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The applicant may correct or add to the request any declaration referred to in Rule 4.17 by a notice submitted to the International Bureau within a time limit of 16 months from the priority date, provided that any notice which is received by the International Bureau after the expiration of that time limit shall be considered to have been received on the last day of that time limit if it reaches it before the technical preparations for international publication have been completed.

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Since March 1, 2001	26 <i>ter.2</i> <i>Processing of Declarations</i>
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(a) Where the receiving Office or the International Bureau finds that any declaration referred to in Rule 4.17 is not worded as required or, in the case of the declaration of inventorship referred to in Rule 4.17(iv), is not signed as required, the receiving Office or the International Bureau, as the case may be, may invite the applicant to correct the declaration within a time limit of 16 months from the priority date.

(b) Where the International Bureau receives any declaration or correction under Rule 26*ter.1* after the expiration of the time limit under Rule 26*ter.1*, the International Bureau shall notify the applicant accordingly and shall proceed as provided for in the Administrative Instructions.

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Since June 19, 1970	Rule 27 Lack of Payment of Fees
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Since June 19, 1970	27.1 <i>Fees</i>
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From June 19, 1970 to June 30, 1992	(a) For the purposes of Article 14(3)(a), “fees prescribed under Article 3(4)(iv)” means: the transmittal fee (Rule 14), the basic fee part of the international fee (Rule 15.1(i)), and the search fee (Rule 16).
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From July 1, 1992 to December 31, 2003	(a) For the purposes of Article 14(3)(a), “fees prescribed under Article 3(4)(iv)” means: the transmittal fee (Rule 14), the basic fee part of the international fee (Rule 15.1(i)), the search fee (Rule 16), and, where required, the late payment fee (Rule 16 <i>bis</i> .2).
Since January 1, 2004	(a) For the purposes of Article 14(3)(a), “fees prescribed under Article 3(4)(iv)” means: the transmittal fee (Rule 14), the international filing fee (Rule 15.1), the search fee (Rule 16), and, where required, the late payment fee (Rule 16 <i>bis</i> .2).
From June 19, 1970 to June 30, 1992	(b) For the purposes of Article 14(3)(a) and (b), “the fee prescribed under Article 4(2)” means the designation fee part of the international fee (Rule 15.1(ii)).
From July 1, 1992 to December 31, 2003	(b) For the purposes of Article 14(3)(a) and (b), “the fee prescribed under Article 4(2)” means the designation fee part of the international fee (Rule 15.1(ii)) and, where required, the late payment fee (Rule 16 <i>bis</i> .2).
Since January 1, 2004	(b) For the purposes of Article 14(3)(a) and (b), “the fee prescribed under Article 4(2)” means the international filing fee (Rule 15.1) and, where required, the late payment fee (Rule 16 <i>bis</i> .2).
From June 19, 1970 to December 31, 1984	Rule 28 Defects Noted by the International Bureau or the International Searching Authority
Since January 1, 1985	Rule 28 Defects Noted by the International Bureau
Since June 19, 1970	28.1 <i>Note on Certain Defects</i>
From June 19, 1970 to December 31, 1984	(a) If, in the opinion of the International Bureau or of the International Searching Authority, the international application contains any of the defects referred to in Article 14(1)(a)(i), (ii), or (v), the International Bureau or the International Searching Authority, respectively, shall bring such defects to the attention of the receiving Office.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since January 1, 1985	(a) If, in the opinion of the International Bureau, the international application contains any of the defects referred to in Article 14(1)(a)(i), (ii) or (v), the International Bureau shall bring such defects to the attention of the receiving Office.
Since June 19, 1970	(b) The receiving Office shall, unless it disagrees with the said opinion, proceed as provided in Article 14(1)(b) and Rule 26.
From June 19, 1970 to June 30, 1998	Rule 29 International Applications or Designations Considered Withdrawn under Article 14(1), (3) or (4)
From July 1, 1998 to December 31, 2003	Rule 29 International Applications or Designations Considered Withdrawn
Since January 1, 2004	Rule 29 International Applications Considered Withdrawn
Since June 19, 1970	29.1 <i>Finding by Receiving Office</i>
From Jun 19, 1970 to June 30, 1998	(a) If the receiving Office declares, under Article 14(1)(b) and Rule 26.5 (failure to correct certain defects), or under Article 14(3)(a) (failure to pay the prescribed fees under Rule 27.1(a)), or under Article 14(4) (later finding of non-compliance with the requirements listed in items (i) to (iii) of Article 11(1)), that the international application is considered withdrawn:
From July 1, 1998 to December 31, 2002	(a) If the receiving Office declares, under Article 14(1)(b) and Rule 26.5 (failure to correct certain defects), or under Article 14(3)(a) (failure to pay the prescribed fees under Rule 27.1(a)), or under Article 14(4) (later finding of non-compliance with the requirements listed in items (i) to (iii) of Article 11(1)), or under Rule 12.3(d) (failure to furnish a required translation or, where applicable, to pay a late furnishing fee), or under Rule 92.4(g)(i) (failure to furnish the original of a document), that the international application is considered withdrawn:

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 2003 to December 30, 2003	(a) If the receiving Office declares, under Article 14(1)(b) and Rule 26.5 (failure to correct certain defects), or under Article 14(3)(a) (failure to pay the prescribed fees under Rule 27.1(a)), or under Article 14(4) (later finding of non-compliance with the requirements listed in items (i) to (iii) of Article 11(1)), or under Rule 12.3(d) or 12.4(d) (failure to furnish a required translation or, where applicable, to pay a late furnishing fee), or under Rule 92.4(g)(i) (failure to furnish the original of a document), that the international application is considered withdrawn:
From January 1, 2004 to June 30, 2008	If the receiving Office declares, under Article 14(1)(b) and Rule 26.5 (failure to correct certain defects), or under Article 14(3)(a) (failure to pay the prescribed fees under Rule 27.1(a)), or under Article 14(4) (later finding of non-compliance with the requirements listed in items (i) to (iii) of Article 11(1)), or under Rule 12.3(d) or 12.4(d) (failure to furnish a required translation or, where applicable, to pay a late furnishing fee), or under Rule 92.4(g)(i) (failure to furnish the original of a document), that the international application is considered withdrawn:
Since July 1, 2008	If the receiving Office declares, under Article 14(1)(b) and Rule 26.5 (failure to correct certain defects), or under Article 14(3)(a) (failure to pay the prescribed fees under Rule 27.1(a)), or under Article 14(4) (later finding of non-compliance with the requirements listed in items (i) to (iii) of Article 11(1)), or under Rule 12.3(d) or 12.4(d) (failure to furnish a required translation or, where applicable, to pay a late furnishing fee), or under Rule 92.4(g)(i) (failure to furnish the original of a document), that the international application is considered withdrawn:
Since June 19, 1970	(i) the receiving Office shall transmit the record copy (unless already transmitted), and any correction offered by the applicant, to the International Bureau;
From June 19, 1970 to June 30, 1992	(ii) the receiving Office shall promptly notify both the applicant and the International Bureau of the said declaration, and the International Bureau shall in turn notify the interested designated Offices;
Since July 1, 1992	(ii) the receiving Office shall promptly notify both the applicant and the International Bureau of the said declaration, and the International Bureau shall in turn notify each designated Office which has already been notified of its designation;

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

<p>Since June 19, 1970</p>	<p>(iii) the receiving Office shall not transmit the search copy as provided in Rule 23, or, if such copy has already been transmitted, it shall notify the International Searching Authority of the said declaration;</p>
<p>From June 19, 1970 to June 30, 2008</p>	<p>(iv) the International Bureau shall not be required to notify the applicant of the receipt of the record copy.</p>
<p>Since July 1, 2008</p>	<p>(iv) the International Bureau shall not be required to notify the applicant of the receipt of the record copy;</p> <p>(v) no international publication of the international application shall be effected if the notification of the said declaration transmitted by the receiving Office reaches the International Bureau before the technical preparations for international publication have been completed.</p>
<p>From June 19, 1970 to June 30, 1992</p>	<p>(b) The receiving Office declares under Article 14(3)(b) (failure to pay the prescribed designation fee under Rule 27.1(b)) that the designation of any given State is considered withdrawn, the receiving Office shall promptly notify both the applicant and the International Bureau of the said declaration. The International Bureau shall in turn notify the interested national Office.</p>
<p>From July 1, 1992 to December 31, 2003</p>	<p>(b) The receiving Office declares under Article 14(3)(b) (failure to pay the prescribed designation fee under Rule 27.1(b)) that the designation of any given State is considered withdrawn, the receiving Office shall promptly notify both the applicant and the International Bureau of the said declaration. The International Bureau shall in turn notify each designated Office which has already been notified of its designation.</p>
<p>Since January 1, 2004</p>	<p>(b) [Deleted]</p>
<p>From June 19, 1970 to December 31, 1984</p>	<p>29.2 <i>Finding by Designated Office</i></p> <p>Where the effect of the international application ceases in any designated State by virtue of Article 24(1)(iii), or where such effect is maintained in any designated State by virtue of Article 24(2), the competent designated Office shall promptly notify the International Bureau accordingly.</p>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 1985	29.2	<i>[Deleted]</i>
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Since June 19, 1970	29.3	<i>Calling Certain Facts to the Attention of the Receiving Office</i> If the International Bureau or the International Searching Authority considers that the receiving Office should make a finding under Article 14(4), it shall call the relevant facts to the attention of the receiving Office.
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Since June 19, 1970	29.4	<i>Notification of Intent to Make Declaration under Article 14(4)</i>
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From June 19, 1970 to June 30, 2009		Before the receiving Office issues any declaration under Article 14(4), it shall notify the applicant of its intent to issue such declaration and the reasons therefor. The applicant may, if he disagrees with the tentative finding of the receiving Office, submit arguments to that effect within one month from the notification.
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Since July 1, 2009		(a) Before the receiving Office issues any declaration under Article 14(4), it shall notify the applicant of its intent to issue such declaration and the reasons therefor. The applicant may, if he disagrees with the tentative finding of the receiving Office, submit arguments to that effect within two months from the date of the notification.  (b) Where the receiving Office intends to issue a declaration under Article 14(4) in respect of an element mentioned in Article 11(1)(iii)(d) or (e), the receiving Office shall, in the notification referred to in paragraph (a) of this Rule, invite the applicant to confirm in accordance with Rule 20.6(a) that the element is incorporated by reference under Rule 4.18. For the purposes of Rule 20.7(a)(i), the invitation sent to the applicant under this paragraph shall be considered to be an invitation under Rule 20.3(a)(ii).  (c) Paragraph (b) shall not apply where the receiving Office has informed the International Bureau in accordance with Rule 20.8(a) of the incompatibility of Rules 20.3(a)(ii) and (b)(ii) and 20.6 with the national law applied by that Office.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19, 1970		Rule 30 Time Limit under Article 14(4)
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Since June 19, 1970	30.1	<i>Time Limit</i>
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From June 19, 1970 to September 30, 1980		The time limit referred to in Article 14(4) shall be six months from the international filing date.
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Since October 1, 1980		The time limit referred to in Article 14(4) shall be four months from the international filing date.
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Since June 19, 1970		Rule 31 Copies Required under Article 13
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Since June 19, 1970	31.1	<i>Request for Copies</i>
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(a) Requests under Article 13(1) may relate to all, some kinds of, or individual international applications in which the national Office making the request is designated. Requests for all or some kinds of such international applications must be renewed for each year by means of a notification addressed by that Office before November 30 of the preceding year to the International Bureau.

(b) Requests under Article 13(2)(b) shall be subject to the payment of a fee covering the cost of preparing and mailing the copy.

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Since June 19, 1970	31.2	<i>Preparation of Copies</i>
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The preparation of copies required under Article 13 shall be the responsibility of the International Bureau.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From June 19, 1970 to June 30, 1992		Rule 32 Withdrawal of the International Application or of Designations
From July 1, 1992 to September 30, 1992		Rule 32 <i>[Deleted]</i>
Since October 1, 1992		Rule 32 Extension of Effects of International Application to Certain Successor States
From June 19, 1970 to June 30, 1992	32.1	<i>Withdrawals</i>
From July 1, 1992 to September 30, 1992	32.1	<i>[Deleted]</i>
From October 1, 1992 to December 30, 2003	32.1	<i>Request for Extension of International Application to Successor State</i>
Since January 1, 2004	32.1	<i>Extension of International Application to Successor State</i>
From June 19, 1970 to June 30, 1992		(a) The applicant may withdraw the international application prior to the expiration of 20 months from the priority date except as to any designated State in which national processing or examination has already started. He may withdraw the designation of any designated State prior to the date on which processing or examination may start in that State.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1,  
1992 to  
September 30,  
1992

(a) [Deleted]

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From  
October 1,  
1992 to  
December 30,  
2003

(a) The effects of any international application whose international filing date falls in the period defined in paragraph (b) may, subject to the performance by the applicant of the acts specified in paragraph (c), be extended to a State (“the successor State”) whose territory was, before the independence of that State, part of the territory of a Contracting State which subsequently ceased to exist (“the predecessor State”), provided that the successor State has become a Contracting State through the deposit, with the Director General, of a declaration of continuation the effect of which is that the Treaty is applied by the successor State.

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Since  
January 1,  
2004

(a) The effects of any international application whose international filing date falls in the period defined in paragraph (b) are extended to a State (“the successor State”) whose territory was, before the independence of that State, part of the territory of a Contracting State designated in the international application which subsequently ceased to exist (“the predecessor State”), provided that the successor State has become a Contracting State through the deposit, with the Director General, of a declaration of continuation the effect of which is that the Treaty is applied by the successor State.

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From June 19,  
1970 to  
June 30, 1992

(b) Withdrawal of the designation of all designated States shall be treated as withdrawal of the international application.

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From July 1,  
1992 to  
September 30,  
1992

(b) [Deleted]

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since October 1, 1992	(b) The period referred to in paragraph (a) starts on the day following the last day of the existence of the predecessor State and ends two months after the date on which the declaration referred to in paragraph (a) was notified by the Director General to the Governments of the States party to the Paris Convention for the Protection of Industrial Property. However, where the date of independence of the successor State is earlier than the date of the day following the last day of the existence of the predecessor State, the successor State may declare that the said period starts on the date of its independence; such a declaration shall be made together with the declaration referred to in paragraph (a) and shall specify the date of independence.
From June 19, 1970 to December 31, 1984	(c) Withdrawal shall be effected by a signed notice from the applicant to the International Bureau or, if the record copy has not yet been sent to the International Bureau, to the receiving Office. In the case of Rule 4.8(b), the notice shall require the signature of all the applicants.
From January 1, 1985 to June 30, 1992	(c) Withdrawal shall be effected by a signed notice from the applicant to the International Bureau or to the receiving Office. In the case of Rule 4.8(b), the notice shall require the signature of all the applicants.
From July 1, 1992 to September 30, 1992	(c) [Deleted]
From October 1, 1992 to December 31, 2003	(c) In respect of any international application whose filing date falls within the applicable period under paragraph (b), the International Bureau shall send the applicant a notification informing him that he may make a request for extension by performing, within three months from the date of that notification, the following acts:  (i) filing with the International Bureau the request for extension;  (ii) paying to the International Bureau an extension fee in Swiss francs, the amount of which shall be the same as the amount of the designation fee referred to in Rule 15.2(a).
Since January 1, 2004	(c) Information on any international application whose filing date falls within the applicable period under paragraph (b) and whose effect is extended to the successor State shall be published by the International Bureau in the Gazette.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From June 19, 1970 to December 31, 1984	(d) Where the record copy has already been sent to the International Bureau, the fact of withdrawal, together with the date of receipt of the notice effecting withdrawal, shall be recorded by the International Bureau and promptly notified by it to the receiving Office, the applicant, the designated Offices affected by the withdrawal, and, where the withdrawal concerns the international application and where the international search report or the declaration referred to in Article 17(2)(a) has not yet issued, the International Searching Authority.
From January 1, 1985 to September 30, 1992	(d) [Deleted]
From October 1, 1992 to December 31, 2003	(d) This Rule shall not apply to the Russian Federation.
Since January 1, 2004	(d) [Deleted]
From January 1, 1985 to June 30, 1992	(e) There shall be no international publication of the international application or of the designation, as the case may be, if the notice effecting withdrawal reaches the International Bureau before the technical preparations for publication have been completed.
Since July 1, 1992	(e) [Deleted]
Since October 1, 1992	32.2 <i>Effects of Extension to Successor State</i>
From October 1, 1992 to December 31, 2003	(a) Where a request for extension is made in accordance with Rule 32.1, (i) the successor State shall be considered as having been designated in the international application, and

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	(ii) the applicable time limit under Article 22 or 39(1) in relation to that State shall be extended until the expiration of at least three months from the date of the request for extension.
Since January 1, 2004	(a) Where the effects of the international application are extended to the successor State in accordance with Rule 32.1, (i) the successor State shall be considered as having been designated in the international application, and (ii) the applicable time limit under Article 22 or 39(1) in relation to that State shall be extended until the expiration of at least six months from the date of the publication of the information under Rule 32.1(c).
From October 1, 1992 to December 31, 2003	(b) Where, in the case of a successor State which is bound by Chapter II of the Treaty, the request for extension was made after, but the demand was made before, the expiration of the 19 <sup>th</sup> month from the priority date, and a later election is made of the successor State within three months from the date of the request for extension, the applicable time limit under paragraph (a)(ii) shall be at least 30 months from the priority date.
Since January 1, 2004	(b) The successor State may fix a time limit which expires later than that provided in paragraph (a)(ii). The International Bureau shall publish information on such time limits in the Gazette.
From October 1, 1992 to December 31, 2003	(c) The successor State may fix time limits which expire later than those provided in paragraphs (a)(ii) and (b). The International Bureau shall publish information on such time limits in the Gazette.
Since January 1, 2004	(c) [Deleted]

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From April 14, 1978 to June 30, 1992	Rule 32 <i>bis</i> Withdrawal of the Priority Claim
From April 14, 1978 to June 30, 1992	<p>32<i>bis</i>.1 <i>Withdrawals</i></p> <p>(a) The applicant may withdraw the priority claim made in the international application under Article 8(1) at any time before the international publication of the international application.</p> <p>(b) Where the international application contains more than one priority claim, the applicant may exercise the right provided for in paragraph (a) in respect of one or more or all of them.</p>
From April 14, 1978 to December 31, 1984	<p>(c) Where the withdrawal of the priority claim, or, in the case of more than one such claim, the withdrawal of any of them, causes a change in the priority date of the international application, any time limit which is computed from the original priority date and which has not already expired shall be computed from the priority date resulting from that change. In the case of the time limit of 18 months referred to in Article 21(2)(a), the International Bureau may nevertheless proceed with the international publication on the basis of the said time limit as computed from the original priority date if the withdrawal is effected during the period of 15 days preceding the expiration of that time limit.</p>
From January 1, 1985 to June 30, 1992	<p>(c) Where the withdrawal of the priority claim, or, in the case of more than one such claim, the withdrawal of any of them, causes a change in the priority date of the international application, any time limit which is computed from the original priority date and which has not already expired shall be computed from the priority date resulting from that change. In the case of the time limit of 18 months referred to in Article 21(2)(a), the International Bureau may nevertheless proceed with the international publication on the basis of the said time limit as computed from the original priority date if the notice effecting withdrawal reaches the International Bureau during the period of 15 days preceding the expiration of that time limit.</p>
From April 14, 1978 to December 31, 1984	<p>(d) For any withdrawal under paragraph (a), the provisions of Rule 32.1(c) and (d) and Rule 74<i>bis</i>.1 shall apply <i>mutatis mutandis</i>.</p>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1985 to June 30, 1992	(d) For any withdrawal under paragraph (a), the provisions of Rule 32.1(c) shall apply <i>mutatis mutandis</i> .
Since July 1, 1992	Rule 32bis [Deleted]
Since June 19, 1970	Rule 33 Relevant Prior Art for the International Search
Since June 19, 1970	33.1 <i>Relevant Prior Art for the International Search</i>  (a) For the purposes of Article 15(2), relevant prior art shall consist of everything which has been made available to the public anywhere in the world by means of written disclosure (including drawings and other illustrations) and which is capable of being of assistance in determining that the claimed invention is or is not new and that it does or does not involve an inventive step (i.e., that it is or is not obvious), provided that the making available to the public occurred prior to the international filing date.
From June 19, 1970 to June 30, 1992	(b) When any written disclosure refers to an oral disclosure, use, exhibition, or other means whereby the contents of the written disclosure were made available to the public, and such making available to the public occurred on a date prior to the international filing date, the international search report shall separately mention that fact and the date on which it occurred if the making available to the public of the written disclosure occurred on a date posterior to the international filing date.
Since July 1, 1992	(b) When any written disclosure refers to an oral disclosure, use, exhibition, or other means whereby the contents of the written disclosure were made available to the public, and such making available to the public occurred on a date prior to the international filing date, the international search report shall separately mention that fact and the date on which it occurred if the making available to the public of the written disclosure occurred on a date which is the same as, or later than, the international filing date.

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From June 19, 1970 to June 30, 1992

(c) Any published application or any patent whose publication date is later but whose filing date, or, where applicable, claimed priority date, is earlier than the international filing date of the international application searched, and which would constitute relevant prior art for the purposes of Article 15(2) had it been published prior to the international filing date, shall be specially mentioned in the international search report.

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Since July 1, 1992

(c) Any published application or any patent whose publication date is the same as, or later than, but whose filing date, or, where applicable, claimed priority date, is earlier than the international filing date of the international application searched, and which would constitute relevant prior art for the purposes of Article 15(2) had it been published prior to the international filing date, shall be specially mentioned in the international search report.

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Since June 19, 1970

33.2 *Fields to Be Covered by the International Search*

(a) The international search shall cover all those technical fields, and shall be carried out on the basis of all those search files, which may contain material pertinent to the invention.

(b) Consequently, not only shall the art in which the invention is classifiable be searched but also analogous arts regardless of where classified.

(c) The question what arts are, in any given case, to be regarded as analogous shall be considered in the light of what appears to be the necessary essential function or use of the invention and not only the specific functions expressly indicated in the international application.

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(d) The international search shall embrace all subject matter that is generally recognized as equivalent to the subject matter of the claimed invention for all or certain of its features, even though, in its specifics, the invention as described in the international application is different.

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Since June 19, 1970

33.3 *Orientation of the International Search*

(a) International search shall be made on the basis of the claims, with due regard to the description and the drawings (if any) and with particular emphasis on the inventive concept towards which the claims are directed.

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	(b) In so far as possible and reasonable, the international search shall cover the entire subject matter to which the claims are directed or to which they might reasonably be expected to be directed after they have been amended.
Since June 19, 1970	Rule 34 Minimum Documentation
Since June 19, 1970	34.1 <i>Definition</i> (a) The definitions contained in Article 2(i) and (ii) shall not apply for the purposes of this Rule.
Since June 19, 1970	(b) The documentation referred to in Article 15(4) (“minimum documentation”) shall consist of: (i) the “national patent documents” as specified in paragraph (c), (ii) the published international (PCT) applications, the published regional applications for patents and inventors’ certificates, and the published regional patents and inventors’ certificates, (iii) such other published items of non-patent literature as the International Searching Authorities shall agree upon and which shall be published in a list by the International Bureau when agreed upon for the first time and whenever changed.
From June 19, 1970 to June 30, 2012	(c) Subject to paragraphs (d) and (e), the “national patent documents” shall be the following:
Since July 1, 2012	(c) Subject to paragraphs (d) and (e), the “national patent documents” shall be the following:
From June 19, 1970 to June 30, 1998	(i) the patents issued in and after 1920 by France, the former <i>Reichspatentamt</i> of Germany, Japan, the Soviet Union, Switzerland (in French and German languages only), the United Kingdom, and the United States of America,
Since July 1, 1998	(i) the patents issued in and after 1920 by France, the former <i>Reichspatentamt</i> of Germany, Japan, the former Soviet Union, Switzerland (in the French and German languages only), the United Kingdom, and the United States of America,

History of the PCT Regulations – June 19, 1970 - July 1, 2016

From June 19, 1970 to June 30, 1998	(ii) the patents issued by the Federal Republic of Germany,
From July 1, 1998 to March 31, 2007	(ii) the patents issued by the Federal Republic of Germany and the Russian Federation,
From April 1, 2007 to June 30, 2012	(ii) the patents issued by the Federal Republic of Germany, the Republic of Korea and the Russian Federation,
Since July 1, 2012	(ii) the patents issued by the Federal Republic of Germany, the People's Republic of China, the Republic of Korea and the Russian Federation,
Since June 19, 1970	(iii) the patent applications, if any, published in and after 1920 in the countries referred to in items (i) and (ii),
From June 19, 1970 to June 30, 1998	(iv) the inventors' certificates issued by the Soviet Union,
Since July 1, 1998	(iv) the inventors' certificates issued by the former Soviet Union,
Since June 19, 1970	(v) the utility certificates issued by, and the published applications for utility certificates of, France,
From June 19, 1970 to December 31, 1984	(vi) such patents issued by, and such patent applications published in, any other country after 1920 as are in the English, French, or German language and in which no priority is claimed, provided that the national Office of the interested country sorts out these documents and places them at the disposal of each International Searching Authority.
Since January 1, 1985	(vi) such patents issued by, and such patent applications published in, any other country after 1920 as are in the English, French, German or Spanish language and in which no priority is claimed, provided that the national Office of the interested country sorts out these documents and places them at the disposal of each International Searching Authority.

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Since June 19, 1970

(d) Where an application is republished once (for example, an *Offenlegungsschrift* as an *Auslegeschrift*) or more than once, no International Searching Authority shall be obliged to keep all versions in its documentation; consequently, each such Authority shall be entitled not to keep more than one version. Furthermore, where an application is granted and is issued in the form of a patent or a utility certificate (France), no International Searching Authority shall be obliged to keep both the application and the patent or utility certificate (France) in its documentation; consequently, each such Authority shall be entitled to keep either the application only or the patent or utility certificate (France) only.

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From June 19, 1970 to December 31, 1984

(e) Any International Searching Authority whose official language, or one of whose official languages, is not Japanese or Russian is entitled not to include in its documentation those patent documents of Japan and the Soviet Union, respectively, for which no abstracts in the English language are generally available. English abstracts becoming generally available after the date of entry into force of these Regulations shall require the inclusion of the patent documents to which the abstracts refer no later than six months after such abstracts become generally available. In case of the interruption of abstracting services in English in technical fields in which English abstracts were formerly generally available, the Assembly shall take appropriate measures to provide for the prompt restoration of such services in the said fields.

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From January 1, 1985 to June 30, 1998

(e) Any International Searching Authority whose official language, or one of whose official languages, is not Japanese, Russian or Spanish is entitled not to include in its documentation those patent documents of Japan and the Soviet Union as well as those patent documents in the Spanish language, respectively, for which no abstracts in the English language are generally available. English abstracts becoming generally available after the date of entry into force of these Regulations shall require the inclusion of the patent documents to which the abstracts refer no later than six months after such abstracts become generally available. In case of the interruption of abstracting services in English in technical fields in which English abstracts were formerly generally available, the Assembly shall take appropriate measures to provide for the prompt restoration of such services in the said fields.

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From July 1,  
1998 to  
March 31,  
2007

(e) Any International Searching Authority whose official language, or one of whose official languages, is not Japanese, Russian or Spanish is entitled not to include in its documentation those patent documents of Japan, the Russian Federation and the former Soviet Union as well as those patent documents in the Spanish language, respectively, for which no abstracts in the English language are generally available. English abstracts becoming generally available after the date of entry into force of these Regulations shall require the inclusion of the patent documents to which the abstracts refer no later than six months after such abstracts become generally available. In case of the interruption of abstracting services in English in technical fields in which English abstracts were formerly generally available, the Assembly shall take appropriate measures to provide for the prompt restoration of such services in the said fields.

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From April 1,  
2007 to June  
30, 2012

(e) Any International Searching Authority whose official language, or one of whose official languages, is not Japanese, Korean, Russian or Spanish is entitled not to include in its documentation those patent documents of Japan, the Republic of Korea, the Russian Federation and the former Soviet Union as well as those patent documents in the Spanish language, respectively, for which no abstracts in the English language are generally available. English abstracts becoming generally available after the date of entry into force of these Regulations shall require the inclusion of the patent documents to which the abstracts refer no later than six months after such abstracts become generally available. In case of the interruption of abstracting services in English in technical fields in which English abstracts were formerly generally available, the Assembly shall take appropriate measures to provide for the prompt restoration of such services in the said fields.

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Since July 1, 2012	<p>(e) Any International Searching Authority whose official language, or one of whose official languages, is not Chinese, Japanese, Korean, Russian or Spanish is entitled not to include in its documentation those patent documents of the People’s Republic of China, Japan, the Republic of Korea, the Russian Federation and the former Soviet Union as well as those patent documents in the Spanish language, respectively, for which no abstracts in the English language are generally available. English abstracts becoming generally available after the date of entry into force of these Regulations shall require the inclusion of the patent documents to which the abstracts refer no later than six months after such abstracts become generally available. In case of the interruption of abstracting services in English in technical fields in which English abstracts were formerly generally available, the Assembly shall take appropriate measures to provide for the prompt restoration of such services in the said fields.</p>
Since June 19, 1970	<p>(f) For the purposes of this Rule, applications which have only been laid open for public inspection are not considered published applications.</p>
Since June 19, 1970	<p style="text-align: center;">Rule 35 The Competent International Searching Authority</p>
Since June 19, 1970	<p>35.1 <i>When Only One International Searching Authority Is Competent</i></p> <p>Each receiving Office shall, in accordance with the terms of the applicable agreement referred to in Article 16(3)(b), inform the International Bureau which International Searching Authority is competent for the searching of the international applications filed with it, and the International Bureau shall promptly publish such information.</p>
Since June 19, 1970	<p>35.2 <i>When Several International Searching Authorities Are Competent</i></p> <p>(a) Any receiving Office may, in accordance with the terms of the applicable agreement referred to in Article 16(3)(b), specify several International Searching Authorities:</p> <p>(i) by declaring all of them competent for any international application filed with it, and leaving the choice to the applicant, or</p>

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(ii) by declaring one or more competent for certain kinds of international applications filed with it, and declaring one or more others competent for other kinds of international applications filed with it, provided that, for those kinds of international applications for which several International Searching Authorities are declared to be competent, the choice shall be left to the applicant.

(b) Any receiving Office availing itself of the faculty provided in paragraph (a) shall promptly inform the International Bureau, and the International Bureau shall promptly publish such information.

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Since January 1, 1994

35.3 *When the International Bureau Is Receiving Office under Rule 19.1(a)(iii)*

(a) Where the international application is filed with the International Bureau as receiving Office under Rule 19.1(a)(iii), an International Searching Authority shall be competent for the searching of that international application if it would have been competent had that international application been filed with a receiving Office competent under Rule 19.1(a)(i) or (ii), (b) or (c) or Rule 19.2(i).

(b) Where two or more International Searching Authorities are competent under paragraph (a), the choice shall be left to the applicant.

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(c) Rules 35.1 and 35.2 shall not apply to the International Bureau as receiving Office under Rule 19.1(a)(iii).

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Since June 19, 1970

Rule 36  
Minimum Requirements for International Searching Authorities

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Since June 19, 1970

36.1 *Definition of Minimum Requirements*

The minimum requirements referred to in Article 16(3)(c) shall be the following:

(i) the national Office or intergovernmental organization must have at least 100 full-time employees with sufficient technical qualifications to carry out searches;

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From June 19, 1970 to June 30, 1992

(ii) that Office or organization must have in its possession at least the minimum documentation referred to in Rule 34, properly arranged for search purposes;

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since July 1, 1992	(ii) that Office or organization must have in its possession, or have access to, at least the minimum documentation referred to in Rule 34, properly arranged for search purposes, on paper, in microform or stored on electronic media;
From June 19, 1970 to December 31, 2003	(iii) that Office or organization must have a staff which is capable of searching the required technical fields and which has the language facilities to understand at least those languages in which the minimum documentation referred to in Rule 34 is written or is translated.
Since January 1, 2004	(iii) that Office or organization must have a staff which is capable of searching the required technical fields and which has the language facilities to understand at least those languages in which the minimum documentation referred to in Rule 34 is written or is translated;
From January 1, 2004 to March 31, 2007	(iv) that Office or organization must hold an appointment as an International Preliminary Examining Authority.
Since April 1, 2007	(iv) that Office or organization must have in place a quality management system and internal review arrangements in accordance with the common rules of international search; (v) that Office or organization must hold an appointment as an International Preliminary Examining Authority.
Since June 19, 1970	Rule 37 Missing or Defective Title
Since June 19, 1970	37.1 <i>Lack of Title</i>  If the international application does not contain a title and the receiving Office has notified the International Searching Authority that it has invited the applicant to correct such defect, the International Searching Authority shall proceed with the international search unless and until it receives notification that the said application is considered withdrawn.
Since June 19, 1970	37.2 <i>Establishment of Title</i>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to December 31, 1992	If the international application does not contain a title and the International Searching Authority has not received a notification from the receiving Office to the effect that the applicant has been invited to furnish a title, or if the said Authority finds that the title does not comply with Rule 4.3, it shall itself establish a title.
From January 1, 1993 to June 30, 1998	If the international application does not contain a title and the International Searching Authority has not received a notification from the receiving Office to the effect that the applicant has been invited to furnish a title, or if the said Authority finds that the title does not comply with Rule 4.3, it shall itself establish a title. Such title shall be established in the language in which the international application is published or, if a translation was transmitted under Rule 12.1(c) and the International Searching Authority so wishes, in the language of that translation.
Since July 1, 1998	If the international application does not contain a title and the International Searching Authority has not received a notification from the receiving Office to the effect that the applicant has been invited to furnish a title, or if the said Authority finds that the title does not comply with Rule 4.3, it shall itself establish a title. Such title shall be established in the language in which the international application is to be published or, if a translation into another language was transmitted under Rule 23.1(b) and the International Searching Authority so wishes, in the language of that translation.
From June 19, 1970 to June 30, 1992	Rule 38 Missing Abstract
Since July 1, 1992	Rule 38 Missing or Defective Abstract
Since June 19, 1970	38.1 <i>Lack of Abstract</i> If the international application does not contain an abstract and the receiving Office has notified the International Searching Authority that it has invited the applicant to correct such defect, the International Searching Authority shall proceed with the international search unless and until it receives notification that the said application is considered withdrawn.
Since June 19, 1970	38.2 <i>Establishment of Abstract</i>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to June 30, 1992

(a) If the international application does not contain an abstract and the International Searching Authority has not received a notification from the receiving Office to the effect that the applicant has been invited to furnish an abstract, or if the said Authority finds that the abstract does not comply with Rule 8, it shall itself establish an abstract (in the language in which the international application is published). In the latter case, it shall invite the applicant to comment on the abstract established by it within one month from the date of the invitation.

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From July 1, 1992 to December 31, 1992

(a) If the international application does not contain an abstract and the International Searching Authority has not received a notification from the receiving Office to the effect that the applicant has been invited to furnish an abstract, or if the said Authority finds that the abstract does not comply with Rule 8, it shall itself establish an abstract (in the language in which the international application is published).

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From January 1, 1993 to June 30, 1998

(a) If the international application does not contain an abstract and the International Searching Authority has not received a notification from the receiving Office to the effect that the applicant has been invited to furnish an abstract, or if the said Authority finds that the abstract does not comply with Rule 8, it shall itself establish an abstract. Such abstract shall be established in the language in which the international application is published or, if a translation was transmitted under Rule 12.1(c) and the International Searching Authority so wishes, in the language of that translation.

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From July 1, 1998 to March 31, 2007

(a) If the international application does not contain an abstract and the International Searching Authority has not received a notification from the receiving Office to the effect that the applicant has been invited to furnish an abstract, or if the said Authority finds that the abstract does not comply with Rule 8, it shall itself establish an abstract. Such abstract shall be established in the language in which the international application is to be published or, if a translation into another language was transmitted under Rule 23.1(b) and the International Searching Authority so wishes, in the language of that translation.

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From June 19, 1970 to June 30, 1992

(b) The definitive contents of the abstract shall be determined by the International Searching Authority.

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From July 1, 1992 to March 31, 2007	(b) The applicant may, within one month from the date of mailing of the international search report, submit comments on the abstract established by the International Searching Authority. Where that Authority amends the abstract established by it, it shall notify the amendment to the International Bureau.
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Since April 1, 2007	If the international application does not contain an abstract and the International Searching Authority has not received a notification from the receiving Office to the effect that the applicant has been invited to furnish an abstract, or if the said Authority finds that the abstract does not comply with Rule 8, it shall itself establish an abstract. Such abstract shall be established in the language in which the international application is to be published or, if a translation into another language was transmitted under Rule 23.1(b) and the International Searching Authority so wishes, in the language of that translation.
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Since April 1, 2007	<p>38.3 <i>Modification of Abstract</i></p> <p>The applicant may, until the expiration of one month from the date of mailing of the international search report, submit to the International Searching Authority:</p> <ul style="list-style-type: none"><li>(i) proposed modifications of the abstract; or</li><li>(ii) where the abstract has been established by the Authority, proposed modifications of, or comments on, that abstract, or both modifications and comments;</li></ul> <p>and the Authority shall decide whether to modify the abstract accordingly. Where the Authority modifies the abstract, it shall notify the modification to the International Bureau.</p>
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19, 1970		Rule 39 Subject Matter under Article 17(2)(a)(i)
Since June 19, 1970	39.1	<i>Definition</i> <p>No International Searching Authority shall be required to search an international application if, and to the extent to which, its subject matter is any of the following:</p> <ul style="list-style-type: none"><li>(i) scientific and mathematical theories,</li><li>(ii) plant or animal varieties or essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes,</li><li>(iii) schemes, rules or methods of doing business, performing purely mental acts or playing games,</li><li>(iv) methods for treatment of the human or animal body by surgery or therapy, as well as diagnostic methods,</li><li>(v) mere presentations of information,</li><li>(vi) computer programs to the extent that the International Searching Authority is not equipped to search prior art concerning such programs.</li></ul>
Since June 19, 1970		Rule 40 Lack of Unity of Invention (International Search)
From June 19, 1970 to March 31, 2005	40.1	<i>Invitation to Pay</i>
Since April 1, 2005	40.1	<i>Invitation to Pay Additional Fees; Time Limit</i>
From June 19, 1970 to March 31, 2005		<p>The invitation to pay additional fees provided for in Article 17(3)(a) shall specify the reasons for which the international application is not considered as complying with the requirement of unity of invention and shall indicate the amount to be paid.</p>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since April 1, 2005	<p>The invitation to pay additional fees provided for in Article 17(3)(a) shall:</p> <ul style="list-style-type: none"><li>(i) specify the reasons for which the international application is not considered as complying with the requirement of unity of invention;</li><li>(ii) invite the applicant to pay the additional fees within one month from the date of the invitation, and indicate the amount of those fees to be paid; and</li><li>(iii) invite the applicant to pay, where applicable, the protest fee referred to in Rule 40.2(e) within one month from the date of the invitation, and indicate the amount to be paid.</li></ul>
Since June 19, 1970	40.2 <i>Additional Fees</i>
From June 19, 1970 to March 31, 2005	(a) The amount of the additional fee due for searching under Article 17(3)(a) shall be determined by the competent International Searching Authority.
Since April 1, 2005	(a) The amount of the additional fees due for searching under Article 17(3)(a) shall be determined by the competent International Searching Authority.
From June 19, 1970 to March 31, 2005	(b) The additional fee due for searching under Article 17(3)(a) shall be payable direct to the International Searching Authority.
Since April 1, 2005	(b) The additional fees due for searching under Article 17(3)(a) shall be payable direct to the International Searching Authority.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to March 31, 2005

(c) Any applicant may pay the additional fee under protest, that is, accompanied by a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fee is excessive. Such protest shall be examined by a three-member board or other special instance of the International Searching Authority or any competent higher authority, which, to the extent that it finds the protest justified, shall order the total or partial reimbursement to the applicant of the additional fee. On the request of the applicant, the text of both the protest and the decision thereon shall be notified to the designated Offices together with the international search report. The applicant shall submit any translation thereof with the furnishing of the translation of the international application required under Article 22.

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Since April 1, 2005

(c) Any applicant may pay the additional fees under protest, that is, accompanied by a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fees is excessive. Such protest shall be examined by a review body constituted in the framework of the International Searching Authority, which, to the extent that it finds the protest justified, shall order the total or partial reimbursement to the applicant of the additional fees. On the request of the applicant, the text of both the protest and the decision thereon shall be notified to the designated Offices together with the international search report. The applicant shall submit any translation thereof with the furnishing of the translation of the international application required under Article 22.

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From June 19, 1970 to March 31, 2005

(d) The three-member board, special instance or competent higher authority, referred to in paragraph (c), shall not comprise any person who made the decision which is the subject of the protest.

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Since April 1, 2005

(d) The membership of the review body referred to in paragraph (c) may include, but shall not be limited to, the person who made the decision which is the subject of the protest.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1992 to March 31, 2005	(e) Where the applicant has, under paragraph (c), paid an additional fee under protest, the International Searching Authority may, after a prior review of the justification for the invitation to pay an additional fee, require that the applicant pay a fee for the examination of the protest (“protest fee”). The protest fee shall be paid within one month from the date of the notification to the applicant of the result of the review. If the protest fee is not so paid, the protest shall be considered withdrawn. The protest fee shall be refunded to the applicant where the three-member board, special instance or higher authority referred to in paragraph (c) finds that the protest was entirely justified.
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Since April 1, 2005	(e) The examination of a protest referred to in paragraph (c) may be subjected by the International Searching Authority to the payment to it, for its own benefit, of a protest fee. Where the applicant has not, within the time limit under Rule 40.1(iii), paid any required protest fee, the protest shall be considered not to have been made and the International Searching Authority shall so declare. The protest fee shall be refunded to the applicant where the review body referred to in paragraph (c) finds that the protest was entirely justified.
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From June 19, 1970 to March 31, 2005	<b>40.3 <i>Time Limit</i></b> The time limit provided for in Article 17(3)(a) shall be fixed, in each case, according to the circumstances of the case, by the International Searching Authority; it shall not be shorter than 15 or 30 days, respectively, depending on whether the applicant’s address is in the same country as or in a different country from that in which the International Searching Authority is located, and it shall not be longer than 45 days, from the date of the invitation.
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Since April 1, 2005	<b>40.3 <i>[Deleted]</i></b>
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19,  
1970 to  
September 30,  
1980

Rule 41  
The International-Type Search

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From  
October 1,  
1980 to  
June 30, 2008

Rule 41  
Earlier Search Other than International Search

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Since July 1,  
2008

Rule 41  
Taking into Account Results of Earlier Search

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From June 19,  
1970 to  
June 30, 2008

41.1 *Obligation to Use Results; Refund of Fee*

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Since July 1,  
2008

41.1 *Taking into Account Results of Earlier Search*

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From June 19,  
1970 to  
September 30,  
1980

If reference has been made in the request, in the form provided for in Rule 4.11, to an international-type search carried out under the conditions set out in Article 15(5), the International Searching Authority shall, to the extent possible, use the results of the said search in establishing the international search report on the international application. The International Searching Authority shall refund the search fee, to the extent and under the conditions provided for in the agreement under Article 16(3)(b), if the international search report could wholly or partly be based on the results of the international-type search.

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From  
October 1,  
1980 to  
June 30, 2008

If reference has been made in the request, in the form provided for in Rule 4.11, to an international-type search carried out under the conditions set out in Article 15(5) or to a search other than an international or international-type search, the International Searching Authority shall, to the extent possible, use the results of the said search in establishing the international search report on the international application. The International Searching Authority shall refund the search fee, to the extent and under the conditions provided for in the agreement under Article 16(3)(b) or in a communication addressed to and published in the Gazette by the International Bureau, if the international search report could wholly or partly be based on the results of the said search.

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Since July 1,  
2008

Where the applicant has, under Rule 4.12, requested the International Searching Authority to take into account the results of an earlier search and has complied with Rule 12*bis*.1 and:

(i) the earlier search was carried out by the same International Searching Authority, or by the same Office as that which is acting as the International Searching Authority, the International Searching Authority shall, to the extent possible, take those results into account in carrying out the international search;

(ii) the earlier search was carried out by another International Searching Authority, or by an Office other than that which is acting as the International Searching Authority, the International Searching Authority may take those results into account in carrying out the international search.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19, 1970		Rule 42 Time Limit for International Search
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Since June 19, 1970	42.1	<i>Time Limit for International Search</i>
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From June 19, 1970 to December 31, 1984		All agreements concluded with International Searching Authorities shall provide for the same time limit for establishing the international search report or the declaration referred to in Article 17(2)(a). This time limit shall not exceed three months from the receipt of the search copy by the International Searching Authority, or nine months from the priority date, whichever time limit expires later. For a transitional period of three years from the entry into force of the Treaty, time limits for the agreement with any International Searching Authority may be individually negotiated, provided that such time limits shall not extend by more than two months the time limits referred to in the preceding sentence and in any case shall not go beyond the expiration of the 18 <sup>th</sup> month after the priority date.
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Since January 1, 1985		The time limit for establishing the international search report or the declaration referred to in Article 17(2)(a) shall be three months from the receipt of the search copy by the International Searching Authority, or nine months from the priority date, whichever time limit expires later.
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Since June 19, 1970		Rule 43 The International Search Report
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Since June 19, 1970	43.1	<i>Identifications</i>
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From June 19, 1970 to June 30, 1992		The international search report shall identify the International Searching Authority which established it by indicating the name of such Authority, and the international application by indicating the international application number, the name of the applicant, the name of the receiving Office, and the international filing date.
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Since July 1, 1992		The international search report shall identify the International Searching Authority which established it by indicating the name of such Authority, and the international application by indicating the international application number, the name of the applicant, and the international filing date.
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## History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19, 1970	43.2	<i>Dates</i>	
From June 19, 1970 to June 30, 1992			The international search report shall be dated and shall indicate the date on which the international search was actually completed. It shall also indicate the filing date of any earlier application whose priority is claimed.
Since July 1, 1992			The international search report shall be dated and shall indicate the date on which the international search was actually completed. It shall also indicate the filing date of any earlier application whose priority is claimed or, if the priority of more than one earlier application is claimed, the filing date of the earliest among them.
Since June 19, 1970	43.3	<i>Classification</i>	
			(a) The international search report shall contain the classification of the subject matter at least according to the International Patent Classification.
			(b) Such classification shall be effected by the International Searching Authority.
Since June 19, 1970	43.4	<i>Language</i>	
From June 19, 1970 to December 31, 1992			Every international search report and any declaration made under Article 17(2)(a) shall be in the language in which the international application to which it relates is published.
From January 1, 1993 to June 30, 1998			Every international search report and any declaration made under Article 17(2)(a) shall be in the language in which the international application to which it relates is published or, if a translation was transmitted under Rule 12.1(c) and the International Searching Authority so wishes, in the language of that translation.
From July 1, 1998 to March 31, 2007			Every international search report and any declaration made under Article 17(2)(a) shall be in the language in which the international application to which it relates is to be published or, if a translation into another language was transmitted under Rule 23.1(b) and the International Searching Authority so wishes, in the language of that translation.

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Since April 1, 2007	<p>Every international search report and any declaration made under Article 17(2)(a) shall be in the language in which the international application to which it relates is to be published, provided that:</p>
	<p>(i) if a translation of the international application into another language was transmitted under Rule 23.1(b) and the International Searching Authority so wishes, the international search report and any declaration made under Article 17(2)(a) may be in the language of that translation;</p>
	<p>(ii) if the international application is to be published in the language of a translation furnished under Rule 12.4 which is not accepted by the International Searching Authority and that Authority so wishes, the international search report and any declaration made under Article 17(2)(a) may be in a language which is both a language accepted by that Authority and a language of publication referred to in Rule 48.3(a).</p>
Since June 19, 1970	<p>43.5 <i>Citations</i></p> <p>(a) The international search report shall contain the citations of the documents considered to be relevant.</p> <p>(b) The method of identifying any cited document shall be regulated by the Administrative Instructions.</p> <p>(c) Citations of particular relevance shall be specially indicated.</p> <p>(d) Citations which are not relevant to all the claims shall be cited in relation to the claim or claims to which they are relevant.</p>
From June 19, 1970 to June 30, 1992	<p>(e) If only certain passages of the cited document are relevant or particularly relevant, they shall be identified, for example, by indicating the page, the column, or the lines, where the passage appears.</p>
Since July 1, 1992	<p>(e) If only certain passages of the cited document are relevant or particularly relevant, they shall be identified, for example, by indicating the page, the column, or the lines, where the passage appears. If the entire document is relevant but some passages are of particular relevance, such passages shall be identified unless such identification is not practicable.</p>

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Since June 19, 1970 43.6 *Fields Searched*

(a) The international search report shall list the classification identification of the fields searched. If that identification is effected on the basis of a classification other than the International Patent Classification, the International Searching Authority shall publish the classification used.

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(b) If the international search extended to patents, inventors' certificates, utility certificates, utility models, patents or certificates of addition, inventors' certificates of addition, utility certificates of addition, or published applications for any of those kinds of protection, of States, periods, or languages, not included in the minimum documentation as defined in Rule 34, the international search report shall, when practicable, identify the kinds of documents, the States, the periods, and the languages to which it extended. For the purposes of this paragraph, Article 2(ii) shall not apply.

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Since July 1, 1992

(c) If the international search was based on, or was extended to, any electronic data base, the international search report may indicate the name of the data base and, where considered useful to others and practicable, the search terms used.

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Since April 1, 2007

43.6bis *Consideration of Rectifications of Obvious Mistakes*

(a) A rectification of an obvious mistake that is authorized under Rule 91.1 shall, subject to paragraph (b), be taken into account by the International Searching Authority for the purposes of the international search and the international search report shall so indicate.

(b) A rectification of an obvious mistake need not be taken into account by the International Searching Authority for the purposes of the international search if it is authorized by or notified to that Authority, as applicable, after it has begun to draw up the international search report, in which case the report shall, if possible, so indicate, failing which the International Searching Authority shall notify the International Bureau accordingly and the International Bureau shall proceed as provided for in the Administrative Instructions.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since June 19, 1970	43.7	<i>Remarks Concerning Unity of Invention</i>
From June 19, 1970 to June 30, 1992		If the applicant paid additional fees for the international search, the international search report shall so indicate. Furthermore, where the international search was made on the main invention only (Article 17(3)(a)), the international search report shall indicate what parts of the international application were and what parts were not searched.
Since July 1, 1992		If the applicant paid additional fees for the international search, the international search report shall so indicate. Furthermore, where the international search was made on the main invention only or on less than all the inventions (Article 17(3)(a)), the international search report shall indicate what parts of the international application were and what parts were not searched.
From June 19, 1970 to June 30, 1992	43.8	<i>Signature</i>
Since July 1, 1992	43.8	<i>Authorized Officer</i>
From June 19, 1970 to June 30, 1992		The international search report shall be signed by an authorized officer of the International Searching Authority.
Since July 1, 1992		The international search report shall indicate the name of the officer of the International Searching Authority responsible for that report.
From June 19, 1970 to June 30, 1992	43.9	<i>No Other Matter</i>
Since July 1, 1992	43.9	<i>Additional Matter</i>
From June 19, 1970 to June 30, 1992		The international search report shall contain no matter other than that enumerated in Rules 33.1(b) and (c), 43.1, 2, 3, 5, 6, 7 and 8, and 44.2(a) and (b), and the indication referred to in Article 17(2)(b). In particular, it shall contain no expressions of opinion, reasoning, arguments, or explanations.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1992 to June 30, 1998	<p>The international search report shall contain no matter other than that specified in Rules 33.1(b) and (c), 43.1 to 43.3, 43.5 to 43.8, and 44.2(a), and the indication referred to in Article 17(2)(b), provided that the Administrative Instructions may permit the inclusion in the international search report of any additional matter specified in the Administrative Instructions. The international search report shall not contain, and the Administrative Instructions shall not permit the inclusion of, any expressions of opinion, reasoning, arguments, or explanations.</p>
Since July 1, 1998	<p>The international search report shall contain no matter other than that specified in Rules 33.1(b) and (c), 43.1 to 43.3, 43.5 to 43.8, and 44.2, and the indication referred to in Article 17(2)(b), provided that the Administrative Instructions may permit the inclusion in the international search report of any additional matter specified in the Administrative Instructions. The international search report shall not contain, and the Administrative Instructions shall not permit the inclusion of, any expressions of opinion, reasoning, arguments, or explanations.</p>
Since June 19, 1970	<p>43.10 <i>Form</i></p> <p>The physical requirements as to the form of the international search report shall be prescribed by the Administrative Instructions.</p>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 2004	Rule 43 <i>bis</i> Written Opinion of the International Searching Authority
Since January 1, 2004	43 <i>bis</i> .1 <i>Written Opinion</i>
From January 1, 2004 to March 31, 2005	(a) Subject to Rule 69.1(b- <i>bis</i> ), the International Searching Authority shall, at the same time as it establishes the international search report, establish a written opinion as to:
Since April 1, 2005	(a) Subject to Rule 69.1(b- <i>bis</i> ), the International Searching Authority shall, at the same time as it establishes the international search report or the declaration referred to in Article 17(2)(a), establish a written opinion as to:
Since January 1, 2004	(i) whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), and to be industrially applicable;  (ii) whether the international application complies with the requirements of the Treaty and these Regulations in so far as checked by the International Searching Authority.  The written opinion shall also be accompanied by such other observations as these Regulations provide for.
From January 1, 2004 to March 31, 2007	(b) For the purposes of establishing the written opinion, Articles 33(2) to (6), 35(2) and 35(3) and Rules 43.4, 64, 65, 66.1(e), 66.7, 67, 70.2(b) and (d), 70.3, 70.4(ii), 70.5(a), 70.6 to 70.10, 70.12, 70.14 and 70.15(a) shall apply <i>mutatis mutandis</i> .
Since April 1, 2007	(b) For the purposes of establishing the written opinion, Articles 33(2) to (6) and 35(2) and (3) and Rules 43.4, 43.6 <i>bis</i> , 64, 65, 66.1(e), 66.7, 67, 70.2(b) and (d), 70.3, 70.4(ii), 70.5(a), 70.6 to 70.10, 70.12, 70.14 and 70.15(a) shall apply <i>mutatis mutandis</i> .

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since January 1, 2004	(c) The written opinion shall contain a notification informing the applicant that, if a demand for international preliminary examination is made, the written opinion shall, under Rule 66.1 <b>bis</b> (a) but subject to Rule 66.1 <b>bis</b> (b), be considered to be a written opinion of the International Preliminary Examining Authority for the purposes of Rule 66.2(a), in which case the applicant is invited to submit to that Authority, before the expiration of the time limit under Rule 54 <b>bis</b> .1(a), a written reply together, where appropriate, with amendments.
From June 19, 1970 to December 31, 2003	Rule 44 Transmittal of the International Search Report, Etc.
Since January 1, 2004	Rule 44 Transmittal of the International Search Report, Written Opinion, Etc.
From June 19, 1970 to December 31, 2003	44.1 <i>Copies of Report or Declaration</i>
Since January 1, 2004	44.1 <i>Copies of Report or Declaration and Written Opinion</i>
From June 19, 1970 to December 31, 2003	The International Searching Authority shall, on the same day, transmit one copy of the international search report or the declaration referred to in Article 17(2)(a) to the International Bureau and one copy to the applicant.
From January 1, 2004 to March 31, 2005	The International Searching Authority shall, on the same day, transmit one copy of the international search report and the written opinion established under Rule 43 <b>bis</b> .1, or of the declaration referred to in Article 17(2)(a) to the International Bureau and one copy to the applicant.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

<p>Since April 1, 2005</p>	<p>The International Searching Authority shall, on the same day, transmit one copy of the international search report or of the declaration referred to in Article 17(2)(a), and one copy of the written opinion established under Rule 43<i>bis</i>.1 to the International Bureau and one copy to the applicant.</p>
<p>Since June 19, 1970</p>	<p>44.2 <i>Title or Abstract</i></p>
<p>From June 19, 1970 to June 30, 1992</p>	<p>(a) Subject to paragraphs (b) and (c), the international search report shall either state that the International Searching Authority approves the title and the abstract as submitted by the applicant or be accompanied by the text of the title and/or abstract as established by the International Searching Authority under Rules 37 and 38.</p>
<p>From July 1, 1992 to June 30, 1998</p>	<p>(a) The international search report shall either state that the International Searching Authority approves the title and the abstract as submitted by the applicant or be accompanied by the text of the title and/or abstract as established by the International Searching Authority under Rules 37 and 38.</p>
<p>Since July 1, 1998</p>	<p>The international search report shall either state that the International Searching Authority approves the title and the abstract as submitted by the applicant or be accompanied by the text of the title and/or abstract as established by the International Searching Authority under Rules 37 and 38.</p>
<p>From June 19, 1970 to June 30, 1992</p>	<p>(b) If, at the time the international search is completed, the time limit allowed for the applicant to comment on any suggestion of the International Searching Authority in respect of the abstract has not expired, the international search report shall indicate that it is incomplete as far as the abstract is concerned.</p>
<p>Since July 1, 1992</p>	<p>(b) [Deleted]</p>
<p>From June 19, 1970 to June 30, 1992</p>	<p>(c) As soon as the time limit referred to in paragraph (b) has expired, the International Searching Authority shall notify the abstract approved or established by it to the International Bureau and to the applicant.</p>
<p>Since July 1, 1992</p>	<p>(c) [Deleted]</p>

<p>Since June 19, 1970</p>	<p>44.3 <i>Copies of Cited Documents</i></p> <p>(a) The request referred to in Article 20(3) may be presented any time during seven years from the international filing date of the international application to which the international search report relates.</p> <p>(b) The International Searching Authority may require that the party (applicant or designated Office) presenting the request pay to it the cost of preparing and mailing the copies. The level of the cost of preparing copies shall be provided for in the agreements referred to in Article 16(3)(b) between the International Searching Authorities and the International Bureau.</p>
<p>From June 19, 1970 to June 30, 1992</p>	<p>(c) Any International Searching Authority not wishing to send copies direct to any designated Office shall send a copy to the International Bureau and the International Bureau shall then proceed as provided in paragraphs (a) and (b).</p>
<p>Since July 1, 1992</p>	<p>(c) [Deleted]</p>
<p>From June 19, 1970 to June 30, 1992</p>	<p>(d) Any International Searching Authority may perform the obligations referred to in (a) to (c) through another agency responsible to it.</p>
<p>Since July 1, 1992</p>	<p>(d) Any International Searching Authority may perform the obligations referred to in paragraphs (a) and (b) through another agency responsible to it.</p>
<p>Since January 1, 2004</p>	<p style="text-align: center;">Rule 44<i>bis</i> International Preliminary Report on Patentability by the International Searching Authority</p>
<p>Since January 1, 2004</p>	<p>44<i>bis.1 Issuance of Report; Transmittal to the Applicant</i></p> <p>(a) Unless an international preliminary examination report has been or is to be established, the International Bureau shall issue a report on behalf of the International Searching Authority (in this Rule referred to as “the report”) as to the matters referred to in Rule 43<i>bis.1</i>(a). The report shall have the same contents as the written opinion established under Rule 43<i>bis.1</i>.</p>

(b) The report shall bear the title “international preliminary report on patentability (Chapter I of the Patent Cooperation Treaty)” together with an indication that it is issued under this Rule by the International Bureau on behalf of the International Searching Authority.

(c) The International Bureau shall promptly transmit one copy of the report issued under paragraph (a) to the applicant.

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Since  
January 1,  
2004

*44bis.2 Communication to Designated Offices*

(a) Where a report has been issued under Rule 44bis.1, the International Bureau shall communicate it to each designated Office in accordance with Rule 93bis.1 but not before the expiration of 30 months from the priority date.

(b) Where the applicant makes an express request to a designated Office under Article 23(2), the International Bureau shall communicate a copy of the written opinion established by the International Searching Authority under Rule 43bis.1 to that Office promptly upon the request of that Office or of the applicant.

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Since  
January 1,  
2004

*44bis.3 Translation for Designated Offices*

(a) Any designated State may, where a report has been issued under Rule 44bis.1 in a language other than the official language, or one of the official languages, of its national Office, require a translation of the report into English. Any such requirement shall be notified to the International Bureau, which shall promptly publish it in the Gazette.

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(b) If a translation is required under paragraph (a), it shall be prepared by or under the responsibility of the International Bureau.

(c) The International Bureau shall transmit a copy of the translation to any interested designated Office and to the applicant at the same time as it communicates the report to that Office.

(d) In the case referred to in Rule 44bis.2(b), the written opinion established under Rule 43bis.1 shall, upon request of the designated Office concerned, be translated into English by or under the responsibility of the International Bureau. The International Bureau shall transmit a copy of the translation to the designated Office concerned within two months from the date of receipt of the request for translation, and shall at the same time transmit a copy to the applicant.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 2004	<i>44bis.4 Observations on the Translation</i>  The applicant may make written observations as to the correctness of the translation referred to in Rule <i>44bis.3(b)</i> or (d) and shall send a copy of the observations to each of the interested designated Offices and to the International Bureau.
From January 1, 2004 to June 30, 2014	Rule <i>44ter</i> Confidential Nature of Written Opinion, Report, Translation and Observations
Since July 1, 2014	Rule <i>44ter</i> <i>[Deleted]</i>
From January 1, 2004 to June 30, 2014	<i>44ter.1 Confidential Nature</i>  (a) The International Bureau and the International Searching Authority shall not, unless requested or authorized by the applicant, allow access by any person or authority before the expiration of 30 months from the priority date:  (i) to the written opinion established under Rule <i>43bis.1</i> , to any translation thereof prepared under Rule <i>44bis.3(d)</i> or to any written observations on such translation sent by the applicant under Rule <i>44bis.4</i> ;  (ii) if a report is issued under Rule <i>44bis.1</i> , to that report, to any translation of it prepared under Rule <i>44bis.3(b)</i> or to any written observations on that translation sent by the applicant under Rule <i>44bis.4</i> .  (b) For the purposes of paragraph (a), the term “access” covers any means by which third parties may acquire cognizance, including individual communication and general publication.
Since July 1, 2014	<i>[Deleted]</i>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19,  
1970

Rule 45  
Translation of the International Search Report

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Since June 19,  
1970

45.1 *Languages*

International search reports and declarations referred to in Article 17(2)(a) shall, when not in English, be translated into English.

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Since  
January 1,  
2009

Rule 45*bis*  
Supplementary International Searches

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Since  
January 1,  
2009

*45bis.1 Supplementary Search Request*

(a) The applicant may, at any time prior to the expiration of 19 months from the priority date, request that a supplementary international search be carried out in respect of the international application by an International Searching Authority that is competent to do so under Rule 45*bis*.9. Such requests may be made in respect of more than one such Authority.

(b) A request under paragraph (a) (“supplementary search request”) shall be submitted to the International Bureau and shall indicate:

(i) the name and address of the applicant and of the agent (if any), the title of the invention, the international filing date and the international application number;

(ii) the International Searching Authority that is requested to carry out the supplementary international search (“Authority specified for supplementary search”); and

(iii) where the international application was filed in a language which is not accepted by that Authority, whether any translation furnished to the receiving Office under Rule 12.3 or 12.4 is to form the basis of the supplementary international search.

(c) The supplementary search request shall, where applicable, be accompanied by:

(i) where neither the language in which the international application was filed nor that in which a translation (if any) has been furnished under Rule 12.3 or 12.4 is accepted by the Authority specified for supplementary search, a translation of the international application into a language which is accepted by that Authority;

(ii) preferably, a copy of a sequence listing in electronic form complying with the standard provided for in the Administrative Instructions, if required by the Authority specified for supplementary search.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 2009 to June 30, 2010	(d) Where the International Searching Authority has found that the international application does not comply with the requirement of unity of invention, the supplementary search request may contain an indication of the wish of the applicant to limit the supplementary international search to one of the inventions as identified by the International Searching Authority other than the main invention referred to in Article 17(3)(a).
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Since July 1, 2010	(d) Where the International Searching Authority has found that the international application does not comply with the requirement of unity of invention, the supplementary search request may contain an indication of the wish of the applicant to restrict the supplementary international search to one of the inventions as identified by the International Searching Authority other than the main invention referred to in Article 17(3)(a).
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Since January 1, 2009	(e) The supplementary search request shall be considered not to have been submitted, and the International Bureau shall so declare: <ul style="list-style-type: none"><li>(i) if it is received after the expiration of the time limit referred to in paragraph (a); or</li><li>(ii) if the Authority specified for supplementary search has not stated, in the applicable agreement under Article 16(3)(b), its preparedness to carry out such searches or is not competent to do so under Rule 45bis.9(b).</li></ul>
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Since January 1, 2009	<p><i>45bis.2 Supplementary Search Handling Fee</i></p> <p>(a) The supplementary search request shall be subject to the payment of a fee for the benefit of the International Bureau (“supplementary search handling fee”) as set out in the Schedule of Fees.</p> <p>(b) The supplementary search handling fee shall be paid in the currency in which the fee is set out in the Schedule of Fees or in any other currency prescribed by the International Bureau. The amount in such other currency shall be the equivalent, in round figures, as established by the International Bureau, of the amount as set out in the Schedule of Fees, and shall be published in the Gazette.</p>
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	(c) The supplementary search handling fee shall be paid to the International Bureau within one month from the date of receipt of the supplementary search request. The amount payable shall be the amount applicable on the date of payment.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 2009 to June 30, 2010	(d) The International Bureau shall refund the supplementary search handling fee to the applicant if, before the documents referred to in Rule 45 <i>bis</i> .4(e)(i) to (iv) are transmitted to the Authority specified for supplementary search, the international application is withdrawn or considered withdrawn, or the supplementary search request is withdrawn or considered not to have been submitted.
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Since July 1, 2010	(d) The International Bureau shall refund the supplementary search handling fee to the applicant if, before the documents referred to in Rule 45 <i>bis</i> .4(e)(i) to (iv) are transmitted to the Authority specified for supplementary search, the international application is withdrawn or considered withdrawn, or the supplementary search request is withdrawn or is considered not to have been submitted under Rule 45 <i>bis</i> .1(e).
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Since January 1, 2009	<p>45<i>bis</i>.3 <i>Supplementary Search Fee</i></p> <p>(a) Each International Searching Authority carrying out supplementary international searches may require that the applicant pay a fee (“supplementary search fee”) for its own benefit for carrying out such a search.</p> <p>(b) The supplementary search fee shall be collected by the International Bureau. Rules 16.1(b) to (e) shall apply <i>mutatis mutandis</i>.</p> <p>(c) As to the time limit for payment of the supplementary search fee and the amount payable, the provisions of Rule 45<i>bis</i>.2(c) shall apply <i>mutatis mutandis</i>.</p>
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From January 1, 2009 to June 30, 2010	(d) The International Bureau shall refund the supplementary search fee to the applicant if, before the documents referred to in Rule 45 <i>bis</i> .4(e)(i) to (iv) are transmitted to the Authority specified for supplementary search, the international application is withdrawn or considered withdrawn, or the supplementary search request is withdrawn or considered not to have been submitted.
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Since July 1, 2010	(d) The International Bureau shall refund the supplementary search fee to the applicant if, before the documents referred to in Rule 45 <i>bis</i> .4(e)(i) to (iv) are transmitted to the Authority specified for supplementary search, the international application is withdrawn or considered withdrawn, or the supplementary search request is withdrawn or is considered not to have been submitted under Rules 45 <i>bis</i> .1(e) or 45 <i>bis</i> .4(d).
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 2009 to June 30, 2010	(e) The Authority specified for supplementary search shall, to the extent and under the conditions provided for in the applicable agreement under Article 16(3)(b), refund the supplementary search fee if, before it has started the supplementary international search in accordance with Rule 45 <i>bis</i> .5(a), the supplementary search request is considered not to have been submitted.
Since July 1, 2010	(e) The Authority specified for supplementary search shall, to the extent and under the conditions provided for in the applicable agreement under Article 16(3)(b), refund the supplementary search fee if, before it has started the supplementary international search in accordance with Rule 45 <i>bis</i> .5(a), the supplementary search request is considered not to have been submitted under Rule 45 <i>bis</i> .5(g).
From January 1, 2009 to June 30, 2010	<i>45bis.4 Checking of Supplementary Search Request; Correction of Defects; Late Payment of Fees; Transmittal to International Searching Authority</i>
Since July 1, 2010	<i>45bis.4 Checking of Supplementary Search Request; Correction of Defects; Late Payment of Fees; Transmittal to Authority Specified for Supplementary Search</i>
Since January 1, 2009	(a) Promptly after receipt of a supplementary search request, the International Bureau shall check whether it complies with the requirements of Rule 45 <i>bis</i> .1(b) and (c)(i) and shall invite the applicant to correct any defects within a time limit of one month from the date of the invitation.  (b) Where, by the time they are due under Rules 45 <i>bis</i> .2(c) and 45 <i>bis</i> .3(c), the International Bureau finds that the supplementary search handling fee and the supplementary search fee have not been paid in full, it shall invite the applicant to pay to it the amount required to cover those fees, together with the late payment fee under paragraph (c), within a time limit of one month from the date of the invitation.  (c) The payment of fees in response to an invitation under paragraph (b) shall be subject to the payment to the International Bureau, for its own benefit, of a late payment fee whose amount shall be 50% of the supplementary search handling fee.

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(d) If the applicant does not furnish the required correction or does not pay the amount in full of the fees due, including the late payment fee, before the expiration of the time limit applicable under paragraph (a) or (b), respectively, the supplementary search request shall be considered not to have been submitted and the International Bureau shall so declare and shall inform the applicant accordingly.

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(e) On finding that the requirements of Rule 45*bis*.1(b) and (c)(i), 45*bis*.2(c) and 45*bis*.3(c) have been complied with, the International Bureau shall promptly, but not before the date of receipt by it of the international search report or the expiration of 17 months from the priority date, whichever occurs first, transmit to the Authority specified for supplementary search a copy of each of the following:

- (i) the supplementary search request;
- (ii) the international application;
- (iii) any sequence listing furnished under Rule 45*bis*.1(c)(ii);  
and
- (iv) any translation furnished under Rule 12.3, 12.4 or 45*bis*.1(c)(i) which is to be used as the basis of the supplementary international search;

and, at the same time, or promptly after their later receipt by the International Bureau:

- (v) the international search report and the written opinion established under Rule 43*bis*.1;
  - (vi) any invitation by the International Searching Authority to pay additional fees referred to in Article 17(3)(a); and
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- (vii) any protest by the applicant under Rule 40.2(c) and the decision thereon by the review body constituted in the framework of the International Searching Authority.

(f) Upon request of the Authority specified for supplementary search, the written opinion referred to in paragraph (e)(v) shall, when not in English or in a language accepted by that Authority, be translated into English by or under the responsibility of the International Bureau. The International Bureau shall transmit a copy of the translation to that Authority within two months from the date of receipt of the request for translation, and shall at the same time transmit a copy to the applicant.

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Since January 1, 2009

*45bis.5 Start, Basis and Scope of Supplementary International Search*

(a) The Authority specified for supplementary search shall start the supplementary international search promptly after receipt of the documents specified in Rule 45bis.4(e)(i) to (iv), provided that the Authority may, at its option, delay the start of the search until it has also received the documents specified in Rule 45bis.4(e)(v) or until the expiration of 22 months from the priority date, whichever occurs first.

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From January 1, 2009 to June 30, 2010

(b) The supplementary international search shall be carried out on the basis of the international application as filed or of a translation referred to in Rule 45bis.1(b)(iii) or 45bis.1(c)(i), taking due account of the international search report and the written opinion established under Rule 43bis.1 where they are available to the Authority specified for supplementary search before it starts the search. Where the supplementary search request contains an indication under Rule 45bis.1(d), the supplementary international search may be limited to the invention specified by the applicant under Rule 45bis.1(d) and those parts of the international application which relate to that invention.

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Since July 1, 2010

(b) The supplementary international search shall be carried out on the basis of the international application as filed or of a translation referred to in Rule 45bis.1(b)(iii) or 45bis.1(c)(i), taking due account of the international search report and the written opinion established under Rule 43bis.1 where they are available to the Authority specified for supplementary search before it starts the search. Where the supplementary search request contains an indication under Rule 45bis.1(d), the supplementary international search may be restricted to the invention specified by the applicant under Rule 45bis.1(d) and those parts of the international application which relate to that invention.

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Since January 1, 2009

(c) For the purposes of the supplementary international search, Article 17(2) and Rules 13ter.1, 33 and 39 shall apply *mutatis mutandis*.

(d) Where the international search report is available to the Authority specified for supplementary search before it starts the search under paragraph (a), that Authority may exclude from the supplementary search any claims which were not the subject of the international search.

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(e) Where the International Searching Authority has made the declaration referred to in Article 17(2)(a) and that declaration is available to the Authority specified for supplementary search before it starts the search under paragraph (a), that Authority may decide not to establish a supplementary international search report, in which case it shall so declare and promptly notify the applicant and the International Bureau accordingly.

(f) The supplementary international search shall cover at least the documentation indicated for that purpose in the applicable agreement under Article 16(3)(b).

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From  
January 1,  
2009 to  
June 30, 2010

(g) If the Authority specified for supplementary search finds that carrying out the search is excluded by a limitation or condition referred to in Rule 45*bis*.9(a), the supplementary search request shall be considered not to have been submitted, and the Authority shall so declare and shall promptly notify the applicant and the International Bureau accordingly.

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Since July 1,  
2010

(g) If the Authority specified for supplementary search finds that carrying out the search is entirely excluded by a limitation or condition referred to in Rule 45*bis*.9(a), other than a limitation under Article 17(2) as applicable by virtue of Rule 45*bis*.5(c), the supplementary search request shall be considered not to have been submitted, and the Authority shall so declare and shall promptly notify the applicant and the International Bureau accordingly.

(h) The Authority specified for supplementary search may, in accordance with a limitation or condition referred to in Rule 45*bis*.9(a), decide to restrict the search to certain claims only, in which case the supplementary international search report shall so indicate.

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Since  
January 1,  
2009

*45bis.6 Unity of Invention*

(a) If the Authority specified for supplementary search finds that the international application does not comply with the requirement of unity of invention, it shall:

(i) establish the supplementary international search report on those parts of the international application which relate to the invention first mentioned in the claims (“main invention”);

(ii) notify the applicant of its opinion that the international application does not comply with the requirement of unity of invention and specify the reasons for that opinion; and

(iii) inform the applicant of the possibility of requesting, within the time limit referred to in paragraph (c), a review of the opinion.

(b) In considering whether the international application complies with the requirement of unity of invention, the Authority shall take due account of any documents received by it under Rule 45*bis*.4(e)(vi) and (vii) before it starts the supplementary international search.

(c) The applicant may, within one month from the date of the notification under paragraph (a)(ii), request the Authority to review the opinion referred to in paragraph (a). The request for review may be subjected by the Authority to the payment to it, for its own benefit, of a review fee whose amount shall be fixed by it.

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(d) If the applicant, within the time limit under paragraph (c), requests a review of the opinion by the Authority and pays any required review fee, the opinion shall be reviewed by the Authority. The review shall not be carried out only by the person who made the decision which is the subject of the review. Where the Authority:

(i) finds that the opinion was entirely justified, it shall notify the applicant accordingly;

(ii) finds that the opinion was partially unjustified but still considers that the international application does not comply with the requirement of unity of invention, it shall notify the applicant accordingly and, where necessary, proceed as provided for in paragraph (a)(i);

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(iii) finds that the opinion was entirely unjustified, it shall notify the applicant accordingly, establish the supplementary international search report on all parts of the international application and refund the review fee to the applicant.

(e) On the request of the applicant, the text of both the request for review and the decision thereon shall be communicated to the designated Offices together with the supplementary international search report. The applicant shall submit any translation thereof with the furnishing of the translation of the international application required under Article 22.

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From  
January 1,  
2009 to  
June 30, 2010

(f) Paragraphs (a) to (e) shall apply *mutatis mutandis* where the Authority specified for supplementary search decides to limit the supplementary international search in accordance with the second sentence of Rule 45bis.5(b), provided that any reference in the said paragraphs to the “international application” shall be construed as a reference to those parts of the international application which relate to the invention specified by the applicant under Rule 45bis.1(d).

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Since July 1,  
2010

(f) Paragraphs (a) to (e) shall apply *mutatis mutandis* where the Authority specified for supplementary search decides to restrict the supplementary international search in accordance with the second sentence of Rule 45bis.5(b) or with Rule 45bis.5(h), provided that any reference in the said paragraphs to the “international application” shall be construed as a reference to those parts of the international application which relate to the invention specified by the applicant under Rule 45bis.1(d) or which relate to the claims and those parts of the international application for which the Authority will carry out a supplementary international search, respectively.

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Since  
January 1,  
2009

*45bis.7 Supplementary International Search Report*

(a) The Authority specified for supplementary search shall, within 28 months from the priority date, establish the supplementary international search report, or make the declaration referred to in Article 17(2)(a) as applicable by virtue of Rule 45bis.5(c) that no supplementary international search report will be established.

(b) Every supplementary international search report, any declaration referred to in Article 17(2)(a) as applicable by virtue of Rule 45bis.5(c) and any declaration under Rule 45bis.5(e) shall be in a language of publication.

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(c) For the purposes of establishing the supplementary international search report, Rules 43.1, 43.2, 43.5, 43.6, 43.6*bis*, 43.8 and 43.10 shall, subject to paragraphs (d) and (e), apply *mutatis mutandis*. Rule 43.9 shall apply *mutatis mutandis*, except that the references therein to Rules 43.3, 43.7 and 44.2 shall be considered non-existent. Article 20(3) and Rule 44.3 shall apply *mutatis mutandis*.

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(d) The supplementary international search report need not contain the citation of any document cited in the international search report, except where the document needs to be cited in conjunction with other documents that were not cited in the international search report.

(e) The supplementary international search report may contain explanations:

(i) with regard to the citations of the documents considered to be relevant;

(ii) with regard to the scope of the supplementary international search.

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Since  
January 1,  
2009

*45bis.8 Transmittal and Effect of the Supplementary International Search Report*

(a) The Authority specified for supplementary search shall, on the same day, transmit one copy of the supplementary international search report or the declaration that no supplementary international search report shall be established, as applicable, to the International Bureau and one copy to the applicant.

(b) Subject to paragraph (c), Article 20(1) and Rules 45.1, 47.1(d) and 70.7(a) shall apply as if the supplementary international search report were part of the international search report.

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(c) A supplementary international search report need not be taken into account by the International Preliminary Examining Authority for the purposes of a written opinion or the international preliminary examination report if it is received by that Authority after it has begun to draw up that opinion or report.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 2009	<p><i>45bis.9 International Searching Authorities Competent to Carry Out Supplementary International Search</i></p> <p>(a) An International Searching Authority shall be competent to carry out supplementary international searches if its preparedness to do so is stated in the applicable agreement under Article 16(3)(b), subject to any limitations and conditions set out in that agreement.</p> <p>(b) The International Searching Authority carrying out the international search under Article 16(1) in respect of an international application shall not be competent to carry out a supplementary international search in respect of that application.</p>
From January 1, 2009 to June 30, 2010	<p>(c) The limitations referred to in paragraph (a) may, for example, include limitations as to the subject matter for which supplementary international searches will be carried out, beyond those which would apply under Article 17(2) to the international search, and limitations as to the total number of supplementary international searches which will be carried out in a given period.</p>
Since July 1, 2010	<p>(c) The limitations referred to in paragraph (a) may, for example, include limitations as to the subject matter for which supplementary international searches will be carried out, other than limitations under Article 17(2) as applicable by virtue of Rule 45bis.5(c), limitations as to the total number of supplementary international searches which will be carried out in a given period, and limitations to the effect that the supplementary international searches will not extend to any claim beyond a certain number of claims.</p>
Since June 19, 1970	<p style="text-align: center;">Rule 46</p> <p style="text-align: center;">Amendment of Claims before the International Bureau</p>
Since June 19, 1970	<p>46.1 <i>Time Limit</i></p>
From June 19, 1970 to December 31, 1984	<p>The time limit referred to in Article 19 shall be two months from the date of transmittal of the international search report to the International Bureau and to the applicant by the International Searching Authority or, when such transmittal takes place before the expiration of 14 months from the priority date, three months from the date of such transmittal.</p>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since January 1, 1985		The time limit referred to in Article 19 shall be two months from the date of transmittal of the international search report to the International Bureau and to the applicant by the International Searching Authority or 16 months from the priority date, whichever time limit expires later, provided that any amendment made under Article 19 which is received by the International Bureau after the expiration of the applicable time limit shall be considered to have been received by that Bureau on the last day of that time limit if it reaches it before the technical preparations for international publication have been completed.
From June 19, 1970 to December 31, 1984	46.2	<i>Dating of Amendments</i>
From January 1, 1985 to June 30, 1992	46.2	<i>[Deleted]</i>
Since July 1, 1992	46.2	<i>Where to File</i>
From June 19, 1970 to September 30, 1980		The date of receipt of any amendment shall be recorded by the International Bureau and shall be indicated by it in any publication or copy issued by it.
From October 1, 1980 to December 31, 1984		The date of filing of any amendment shall be recorded by the International Bureau, which shall also notify the applicant of the date and indicate the date in any publication or copy issued by it.
From January 1, 1985 to June 30, 1992		<i>[Deleted]</i>
Since July 1, 1992		Amendments made under Article 19 shall be filed directly with the International Bureau.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19, 1970	46.3	<i>Language of Amendments</i>
From June 19, 1970 to December 31, 1984		If the international application has been filed in a language other than the language in which it is published by the International Bureau, any amendment made under Article 19 shall be both in the language in which the international application has been filed and in that in which it is published.
Since January 1, 1985		If the international application has been filed in a language other than the language in which it is published, any amendment made under Article 19 shall be in the language of publication.
Since June 19, 1970	46.4	<i>Statement</i>
From June 19, 1970 to December 31, 1984		(a) The statement referred to in Article 19(1) shall be in the language in which the international application is published and shall not exceed 500 words if in the English language or if translated into that language.
Since January 1, 1985		(a) The statement referred to in Article 19(1) shall be in the language in which the international application is published and shall not exceed 500 words if in the English language or if translated into that language. The statement shall be identified as such by a heading, preferably by using the words “Statement under Article 19(1)” or their equivalent in the language of the statement.
From June 19, 1970 to December 31, 1984		(b) The statement shall contain no comments on the international search report or the relevance of the citations contained in that report. The statement may refer to a citation contained in the international search report only in order to indicate that a specific amendment of the claims is intended to avoid the document cited.
Since January 1, 1985		(b) The statement shall contain no disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19, 1970 46.5 *Form of Amendments*

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From June 19, 1970 to June 30, 1998 (a) The applicant shall be required to submit a replacement sheet for every sheet of the claims which, on account of an amendment or amendments under Article 19, differs from the sheet originally filed. The letter accompanying the replacement sheets shall draw attention to the differences between the replaced sheets and the replacement sheets. To the extent that any amendment results in the cancellation of an entire sheet, that amendment shall be communicated in a letter.

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From July 1, 1998 to June 30, 2009 The applicant shall be required to submit a replacement sheet for every sheet of the claims which, on account of an amendment or amendments under Article 19, differs from the sheet originally filed. The letter accompanying the replacement sheets shall draw attention to the differences between the replaced sheets and the replacement sheets. To the extent that any amendment results in the cancellation of an entire sheet, that amendment shall be communicated in a letter.

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Since July 1, 2009 (a) The applicant, when making amendments under Article 19, shall be required to submit a replacement sheet or sheets containing a complete set of claims in replacement of all the claims originally filed.

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From June 19, 1970 to December 31, 1984 (b) The International Bureau shall mark on each replacement sheet the international application number, the date on which it was received, and the stamp identifying the International Bureau. It shall keep in its files any replaced sheet, the letter accompanying the replacement sheet or sheets, and any letter referred to in the last sentence of paragraph (a).

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From January 1, 1985 to June 30, 2009 (b) [Deleted]

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Since July 1, 2009 (b) The replacement sheet or sheets shall be accompanied by a letter which:  
(i) shall identify the claims which, on account of the amendments, differ from the claims originally filed, and shall draw attention to the differences between the claims originally filed and the claims as amended;

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From July 1, 2009 to June 30, 2010	(ii) shall identify the claims originally filed which, on account of the amendments, are cancelled.
Since July 1, 2010	(ii) shall identify the claims originally filed which, on account of the amendments, are cancelled; (iii) shall indicate the basis for the amendments in the application as filed.
From June 19, 1970 to December 31, 1984	(c) The International Bureau shall insert any replacement sheet in the record copy and, in the case referred to in the last sentence of paragraph (a), shall indicate the cancellations in the record copy.
Since January 1, 1985	(c) [Deleted]
Since June 19, 1970	Rule 47 Communication to Designated Offices
Since June 19, 1970	47.1 <i>Procedure</i>
From June 19, 1970 to December 31, 2003	(a) The communication provided for in Article 20 shall be effected by the International Bureau.
Since January 1, 2004	(a) The communication provided for in Article 20 shall be effected by the International Bureau to each designated Office in accordance with Rule 93 <i>bis</i> .1 but, subject to Rule 47.4, not prior to the international publication of the international application.
From July 1, 1992 to December 31, 2003	(a- <i>bis</i> ) The International Bureau shall notify each designated Office, at the time of the communication provided for in Article 20, of the fact and date of receipt of the record copy and of the fact and date of receipt of any priority document. Such notification shall also be sent to any designated Office which has waived the communication provided for in Article 20, unless such Office has also waived the notification of its designation.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 2004	(a- <i>bis</i> ) The International Bureau shall notify each designated Office, in accordance with Rule 93 <i>bis</i> .1, of the fact and date of receipt of the record copy and of the fact and date of receipt of any priority document.
From March 1, 2001 to March 31, 2006	(a- <i>ter</i> ) The notification under paragraph (a- <i>bis</i> ) shall include any declaration referred to in Rule 4.17(i) to (iv), and any correction thereof under Rule 26 <i>ter</i> .1, which was received by the International Bureau before the expiration of the time limit under Rule 26 <i>ter</i> .1, provided that the designated Office has informed the International Bureau that the applicable national law requires the furnishing of documents or evidence relating to the matter to which the declaration relates.
Since April 1, 2006	(a- <i>ter</i> ) [Deleted]
From June 19, 1970 to July 31, 1979	(b) Such communication shall be effected promptly after the International Bureau has received amendments from the applicant, or a declaration that the applicant does not wish to make amendments before the International Bureau, or, in any case, when the time limit provided for in Rule 46.1 has expired. Where, under Article 17(2)(a), the International Searching Authority has made a declaration that no international search report will be established, the communication provided for in Article 20 shall be effected, unless the international application is withdrawn, within one month from the date on which the International Bureau has been notified of the said declaration by the International Searching Authority; such communication shall be accompanied by an indication of the date of the notification sent to the applicant under Article 17(2)(a).

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From August 1, 1979 to December 31, 1984	(b) Such communication shall be effected promptly after the international publication of the international application and, in any event, by the end of the 19 <sup>th</sup> month after the priority date. Where the time limit under Rule 46.1 has not expired when the communication is effected and the International Bureau has neither received amendments from the applicant nor a declaration that the applicant does not wish to make amendments before the International Bureau, the International Bureau shall, at the time of the communication, notify the applicant and the designated Offices accordingly; it shall, immediately after receipt, communicate any amendment received subsequently to the designated Offices and notify the applicant accordingly. Where, under Article 17(2)(a), the International Searching Authority has made a declaration that no international search report will be established, the communication shall be effected, unless the international application is withdrawn, within one month from the date on which the International Bureau has been notified of the said declaration by the International Searching Authority; such communication shall be accompanied by an indication of the date of the notification sent to the applicant under Article 17(2)(a).
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From January 1, 1985 to December 31, 2003	(b) Such communication shall be effected promptly after the international publication of the international application and, in any event, by the end of the 19 <sup>th</sup> month after the priority date. Any amendment received by the International Bureau within the time limit under Rule 46.1 which was not included in the communication shall be communicated promptly to the designated Offices by the International Bureau, and the latter shall notify the applicant accordingly.
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Since January 1, 2004	(b) Any amendment received by the International Bureau within the time limit under Rule 46.1 which was not included in the communication provided for in Article 20 shall be communicated promptly to the designated Offices by the International Bureau, and the latter shall notify the applicant accordingly.
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From June 19, 1970 to September 30, 1980	(c) The International Bureau shall send a notice to the applicant indicating the designated Offices to which the communication has been effected and the date of such communication. Such notice shall be sent on the same day as the communication.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From October 1, 1980 to December 31, 2003	(c) The International Bureau shall send a notice to the applicant indicating the designated Offices to which the communication has been effected and the date of such communication. Such notice shall be sent on the same day as the communication. Each designated Office shall be informed, separately from the communication, about the sending and the date of mailing of the notice. The notice shall be accepted by all designated Offices as conclusive evidence that the communication has duly taken place on the date specified in the notice.
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Since January 1, 2004	(c) The International Bureau shall, promptly after the expiration of 28 months from the priority date, send a notice to the applicant indicating:
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(i) the designated Offices which have requested that the communication provided for in Article 20 be effected under Rule 93*bis*.1 and the date of such communication to those Offices; and

(ii) the designated Offices which have not requested that the communication provided for in Article 20 be effected under Rule 93*bis*.1.

(c-*bis*) The notice referred to in paragraph (c) shall be accepted by designated Offices:

(i) in the case of a designated Office referred to in paragraph (c)(i), as conclusive evidence that the communication provided for in Article 20 was effected on the date specified in the notice;

(ii) in the case of a designated Office referred to in paragraph(c)(ii), as conclusive evidence that the Contracting State for which that Office acts as designated Office does not require the furnishing, under Article 22, by the applicant of a copy of the international application.

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Since June 19, 1970	(d) Each designated Office shall, when it so requires, receive the international search reports and the declarations referred to in Article 17(2)(a) also in the translation referred to in Rule 45.1.
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From June 19, 1970 to December 31, 2003	(e) Where any designated Office has waived the requirement provided under Article 20, the copies of the documents which otherwise would have been sent to that Office shall, at the request of that Office or the applicant, be sent to the applicant at the time of the notice referred to in paragraph (c).
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 2004	(e) Where any designated Office has not, before the expiration of 28 months from the priority date, requested the International Bureau to effect the communication provided for in Article 20 in accordance with Rule 93 <i>bis</i> .1, the Contracting State for which that Office acts as designated Office shall be considered to have notified the International Bureau, under Rule 49.1(a- <i>bis</i> ), that it does not require the furnishing, under Article 22, by the applicant of a copy of the international application.
Since June 19, 1970	47.2 <i>Copies</i>
From June 19, 1970 to December 31, 2003	(a) The copies required for communication shall be prepared by the International Bureau.
Since January 1, 2004	The copies required for communication shall be prepared by the International Bureau. Further details concerning the copies required for communication may be provided for in the Administrative Instructions.
From June 19, 1970 to December 31, 2003	(b) They shall be on sheets of A4 size.
Since January 1, 2004	(b) [Deleted]
From August 1, 1979 to December 31, 2003	(c) Except to the extent that any designated Office notifies the International Bureau otherwise, copies of the pamphlet under Rule 48 may be used for the purposes of the communication of the international application under Article 20.
Since January 1, 2004	(c) [Deleted]

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19, 1970	47.3	<i>Languages</i>
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From June 19, 1970 to June 30, 1998		The international application communicated under Article 20 shall be in the language in which it is published provided that if that language is different from the language in which it was filed it shall, on the request of the designated Office, be communicated in either or both of these languages.
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Since July 1, 1998		(a) The international application communicated under Article 20 shall be in the language in which it is published.  (b) Where the language in which the international application is published is different from the language in which it was filed, the International Bureau shall furnish to any designated Office, upon the request of that Office, a copy of that application in the language in which it was filed.
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From July 1, 1992 to December 31, 2003	47.4	<i>Express Request under Article 23(2)</i>
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Since January 1, 2004	47.4	<i>Express Request under Article 23(2) Prior to International Publication</i>
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From July 1, 1992 to December 31, 2003		Where the applicant makes an express request to a designated Office under Article 23(2) before the communication provided for in Article 20 has taken place, the International Bureau shall, upon request of the applicant or the designated Office, promptly effect that communication to that Office.
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Since January 1, 2004		Where the applicant makes an express request to a designated Office under Article 23(2) prior to the international publication of the international application, the International Bureau shall, upon request of the applicant or the designated Office, promptly effect the communication provided for in Article 20 to that Office.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19, 1970		Rule 48 International Publication
From June 19, 1970 to March 31, 2006	48.1	<i>Form</i> (a) The international application shall be published in the form of a pamphlet.  (b) The particulars regarding the form of the pamphlet and the method of reproduction shall be governed by the Administrative Instructions.
Since April 1, 2006	48.1	<i>Form and Means</i> The form in which and the means by which international applications are published shall be governed by the Administrative Instructions.
Since June 19, 1970	48.2	<i>Contents</i>
From June 19, 1970 to March 31, 2006	(a)	The pamphlet shall contain: (i) a standardized front page, (ii) the description, (iii) the claims, (iv) the drawings, if any,
Since April 1, 2006	(a)	The publication of the international application shall contain: (i) a standardized front page; (ii) the description; (iii) the claims; (iv) the drawings, if any;
From June 19, 1970 to April 13, 1978	(v)	subject to paragraph (g), the international search report or the declaration under Article 17(2)(a),
From April 14, 1978 to March 31, 2006	(v)	subject to paragraph (g), the international search report or the declaration under Article 17(2)(a); the publication of the international search report in the pamphlet shall, however, not be required to include the part of the international search report which contains only matter referred to in Rule 43 already appearing on the front page of the pamphlet,

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since April 1, 2006	(v) subject to paragraph (g), the international search report or the declaration under Article 17(2)(a);
From June 19, 1970 to December 31, 1984	(vi) any statement filed under Article 19(1), unless the International Bureau finds that the statement does not comply with the provisions of Rule 46.4.
From January 1, 1985 to March 31, 2006	(vi) any statement filed under Article 19(1), unless the International Bureau finds that the statement does not comply with the provisions of Rule 46.4,
Since April 1, 2006	(vi) any statement filed under Article 19(1), unless the International Bureau finds that the statement does not comply with the provisions of Rule 46.4;
From January 1, 1985 to June 30, 1992	(vii) any request for rectification referred to in the third sentence of Rule 91.1(f).
From July 1, 1992 to March 31, 2006	(vii) any request for rectification referred to in the third sentence of Rule 91.1(f),
From April 1, 2006 to March 31, 2007	(vii) any request for rectification referred to in the third sentence of Rule 91.1(f);
Since April 1, 2007	(vii) where the request for publication under Rule 91.3(d) was received by the International Bureau before the completion of the technical preparations for international publication, any request for rectification of an obvious mistake, any reasons and any comments referred to in Rule 91.3(d);
From July 1, 1992 to June 30, 1998	(viii) any indications in relation to a deposited microorganism furnished under Rule 13 <i>bis</i> separately from the description, together with an indication of the date on which the International Bureau received such indications.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1998 to March 31, 2006	(viii) the relevant data from any indications in relation to deposited biological material furnished under Rule 13 <i>bis</i> separately from the description, together with an indication of the date on which the International Bureau received such indications,
Since April 1, 2006	(viii) the indications in relation to deposited biological material furnished under Rule 13 <i>bis</i> separately from the description, together with an indication of the date on which the International Bureau received such indications;
From July 1, 1998 to February 28, 2001	(ix) any information concerning a priority claim considered not to have been made under Rule 26 <i>bis</i> .2(b), the publication of which is requested under Rule 26 <i>bis</i> .2(c).
From March 1, 2001 to March 31, 2006	(ix) any information concerning a priority claim considered not to have been made under Rule 26 <i>bis</i> .2(b), the publication of which is requested under Rule 26 <i>bis</i> .2(c), (x) any declaration referred to in Rule 4.17(v), and any correction thereof under Rule 26 <i>ter</i> .1, which was received by the International Bureau before the expiration of the time limit under Rule 26 <i>ter</i> .1.
From April 1, 2006 to March 31, 2007	(ix) any information concerning a priority claim considered not to have been made under Rule 26 <i>bis</i> .2(b), the publication of which is requested under Rule 26 <i>bis</i> .2(c); (x) any declaration referred to in Rule 4.17, and any correction thereof under Rule 26 <i>ter</i> .1, which was received by the International Bureau before the expiration of the time limit under Rule 26 <i>ter</i> .1.
Since April 1, 2007	(ix) any information concerning a priority claim referred to in Rule 26 <i>bis</i> .2(d); (x) any declaration referred to in Rule 4.17, and any correction thereof under Rule 26 <i>ter</i> .1, which was received by the International Bureau before the expiration of the time limit under Rule 26 <i>ter</i> .1; (xi) any information concerning a request under Rule 26 <i>bis</i> .3 for restoration of the right of priority and the decision of the receiving Office upon such request, including information as to the criterion for restoration upon which the decision was based.
Since June 19, 1970	(b) Subject to paragraph (c), the front page shall include:

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to March 31, 2007	(i) data taken from the request sheet and such other data as are prescribed by the Administrative Instructions,
Since April 1, 2007	(i) data taken from the request sheet and such other data as are prescribed by the Administrative Instructions;
From June 19, 1970 to December 31, 1984	(ii) a figure or figures where the international application contains drawings,
From January 1, 1985 to March 31, 2007	(ii) a figure or figures where the international application contains drawings, unless Rule 8.2(b) applies,
Since April 1, 2007	(ii) a figure or figures where the international application contains drawings, unless Rule 8.2(b) applies;
From June 19, 1970 to February 28, 2001	(iii) the abstract; if the abstract is both in English and in another language, the English text shall appear first.
From March 1, 2001 to March 31, 2007	(iii) the abstract; if the abstract is both in English and in another language, the English text shall appear first,
Since April 1, 2007	(iii) the abstract; if the abstract is both in English and in another language, the English text shall appear first;
From March 1, 2001 to March 31, 2007	(iv) an indication that the request contains any declaration referred to in Rule 4.17 which was received by the International Bureau before the expiration of the time limit under Rule 26 <i>ter</i> .1.
Since April 1, 2007	(iv) where applicable, an indication that the request contains a declaration referred to in Rule 4.17 which was received by the International Bureau before the expiration of the time limit under Rule 26 <i>ter</i> .1;

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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	<p>(v) where the international filing date has been accorded by the receiving Office under Rule 20.3(b)(ii) or 20.5(d) on the basis of the incorporation by reference under Rules 4.18 and 20.6 of an element or part, an indication to that effect, together with an indication as to whether the applicant, for the purposes of Rule 20.6(a)(ii), relied on compliance with Rule 17.1(a), (b) or (b-bis) in relation to the priority document or on a separately submitted copy of the earlier application concerned;</p> <p>(vi) where applicable, an indication that the published international application contains information under Rule 26bis.2(d);</p>
From April 1, 2007 to June 30, 2016	<p>(vii) where applicable, an indication that the published international application contains information concerning a request under Rule 26bis.3 for restoration of the right of priority and the decision of the receiving Office upon such request;</p>
Since July 1, 2016	<p>(vii) where applicable, an indication that the published international application contains information concerning a request under Rule 26bis.3 for restoration of the right of priority and the decision of the receiving Office upon such request.</p>
From April 1, 2007 to June 30, 2016	<p>(viii) where applicable, an indication that the applicant has, under Rule 26bis.3(f), furnished copies of any declaration or other evidence to the International Bureau.</p>
Since July 1, 2016	<p>(viii) [Deleted]</p>
Since June 19, 1970	<p>(c) Where a declaration under Article 17(2)(a) has issued, the front page shall conspicuously refer to that fact and need include neither a drawing nor an abstract.</p>
Since June 19, 1970	<p>(d) The figure or figures referred to in paragraph (b)(ii) shall be selected as provided in Rule 8.2. Reproduction of such figure or figures on the front page may be in a reduced form.</p> <p>(e) If there is not enough room on the front page for the totality of the abstract referred to in paragraph (b)(iii), the said abstract shall appear on the back of the front page. The same shall apply to the translation of the abstract when such translation is required to be published under Rule 48.3(c).</p>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to March 31, 2006	(f) If the claims have been amended under Article 19, the publication shall contain either the full text of the claims both as filed and as amended or the full text of the claims as filed and specify the amendments. Any statement referred to in Article 19(1) shall be included as well, unless the International Bureau finds that the statement does not comply with the provisions of Rule 46.4. The date of receipt of the amended claims by the International Bureau shall be indicated.
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Since April 1, 2006	(f) If the claims have been amended under Article 19, the publication of the international application shall contain the full text of the claims both as filed and as amended. Any statement referred to in Article 19(1) shall be included as well, unless the International Bureau finds that the statement does not comply with the provisions of Rule 46.4. The date of receipt of the amended claims by the International Bureau shall be indicated.
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From June 19, 1970 to December 31, 1984	(g) If, at the time when publication is due, the international search report is not yet available (for example, because of publication on the request of the applicant as provided in Articles 21(2)(b) and 64(3)(c)(i)), the pamphlet shall contain, in place of the international search report, an indication to the effect that that report was not available and that either the pamphlet (then also including the international search report) will be republished or the international search report (when it becomes available) will be separately published.
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From January 1, 1985 to March 31, 2006	(g) If, at the time of the completion of the technical preparations for international publication, the international search report is not yet available (for example, because of publication on the request of the applicant as provided in Articles 21(2)(b) and 64(3)(c)(i)), the pamphlet shall contain, in place of the international search report, an indication to the effect that that report was not available and that either the pamphlet (then also including the international search report) will be republished or the international search report (when it becomes available) will be separately published.
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Since April 1, 2006	(g) If, at the time of the completion of the technical preparations for international publication, the international search report is not yet available, the front page shall contain an indication to the effect that that report was not available and that the international search report (when it becomes available) will be separately published together with a revised front page.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to December 31, 1984	(h) If, at the time when publication is due, the time limit for amending the claims under Article 19 has not expired, the pamphlet shall refer to that fact and indicate that, should the claims be amended under Article 19, then, promptly after such amendments, either the pamphlet (containing the claims as amended) will be republished or a statement reflecting all the amendments will be published. In the latter case, at least the front page and the claims shall be republished and, if a statement under Article 19(1) has been filed, that statement shall be published as well, unless the International Bureau finds that the statement does not comply with the provisions of Rule 46.4.
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From January 1, 1985 to March 31, 2006	(h) If, at the time of the completion of the technical preparations for international publication, the time limit for amending the claims under Article 19 has not expired, the pamphlet shall refer to that fact and indicate that, should the claims be amended under Article 19, then, promptly after such amendments, either the pamphlet (containing the claims as amended) will be republished or a statement reflecting all the amendments will be published. In the latter case, at least the front page and the claims shall be republished and, if a statement under Article 19(1) has been filed, that statement shall be published as well, unless the International Bureau finds that the statement does not comply with the provisions of Rule 46.4.
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Since April 1, 2006	(h) If, at the time of the completion of the technical preparations for international publication, the time limit for amending the claims under Article 19 has not expired, the front page shall refer to that fact and indicate that, should the claims be amended under Article 19, then, promptly after receipt by the International Bureau of such amendments within the time limit under Rule 46.1, the full text of the claims as amended will be published together with a revised front page. If a statement under Article 19(1) has been filed, that statement shall be published as well, unless the International Bureau finds that the statement does not comply with the provisions of Rule 46.4.
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From June 19, 1970 to March 31, 2006	(i) The Administrative Instructions shall determine the cases in which the various alternatives referred to in paragraphs (g) and (h) shall apply. Such determination shall depend on the volume and complexity of the amendments and/or the volume of the international application and the cost factors.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From April 1, 2006 to March 31, 2007

(i) [Deleted]

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From April 1, 2007 to June 30, 2011

(i) If the authorization of a rectification of an obvious mistake in the international application referred to in Rule 91.1 is received by or, where applicable, given by the International Bureau after completion of the technical preparations for international publication, a statement reflecting all the rectifications shall be published, together with the sheets containing the rectifications, or the replacement sheets and the letter furnished under Rule 91.2, as the case may be, and the front page shall be republished.

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Since July 1, 2011

(i) If the authorization by the receiving Office, the International Searching Authority or the International Bureau of a rectification of an obvious mistake in the international application under Rule 91.1 is received by or, where applicable, given by the International Bureau after completion of the technical preparations for international publication, a statement reflecting all the rectifications shall be published, together with the sheets containing the rectifications, or the replacement sheets and the letter furnished under Rule 91.2, as the case may be, and the front page shall be republished.

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Since April 1, 2006

(j) If, at the time of completion of the technical preparations for international publication, a request under Rule 26*bis*.3 for restoration of the right of priority is still pending, the published international application shall contain, in place of the decision by the receiving Office upon that request, an indication to the effect that such decision was not available and that the decision, when it becomes available, will be separately published.

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(k) If a request for publication under Rule 91.3(d) was received by the International Bureau after the completion of the technical preparations for international publication, the request for rectification, any reasons and any comments referred to in that Rule shall be promptly published after the receipt of such request for publication, and the front page shall be republished.

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Since July 1, 2016

(l) The International Bureau shall, upon a reasoned request by the applicant received by the International Bureau prior to the completion of the technical preparations for international publication, omit from publication any information, if it finds that:

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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(i) this information does not obviously serve the purpose of informing the public about the international application;

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(ii) publication of such information would clearly prejudice the personal or economic interests of any person; and

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(iii) there is no prevailing public interest to have access to that information.

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Rule 26.4 shall apply mutatis mutandis as to the manner in which the applicant shall present the information which is the subject of a request made under this paragraph.

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(m) Where the receiving Office, the International Searching Authority, the Authority specified for supplementary search or the International Bureau notes any information meeting the criteria set out under paragraph (l), that Office, Authority or Bureau may suggest to the applicant to request the omission from international publication in accordance with paragraph (l).

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(n) Where the International Bureau has omitted information from international publication in accordance with paragraph (l) and that information is also contained in the file of the international application held by the receiving Office, the International Searching Authority, the Authority specified for supplementary search or the International Preliminary Examining Authority, the International Bureau shall promptly notify that Office and Authority accordingly.

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From June 19, 1970 to December 31, 1984

48.3 *Language*

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From January 1, 1985 to June 30, 1998

48.3 *Languages*

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Since July 1, 1998

48.3 *Languages of Publication*

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From June 19, 1970 to December 31, 1984

(a) If the international application is filed in English, French, German, Japanese, or Russian, that application shall be published in the language in which it was filed.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1985 to December 31, 1993	(a) If the international application is filed in English, French, German, Japanese, Russian or Spanish, that application shall be published in the language in which it was filed.
From January 1, 1994 to June 30, 1998	(a) If the international application is filed in Chinese, English, French, German, Japanese, Russian or Spanish, that application shall be published in the language in which it was filed.
From July 1, 1998 to March 31, 2006	(a) If the international application is filed in Chinese, English, French, German, Japanese, Russian or Spanish (“languages of publication”), that application shall be published in the language in which it was filed.
From April 1, 2006 to December 31, 2008	(a) If the international application is filed in Arabic, Chinese, English, French, German, Japanese, Russian or Spanish (“languages of publication”), that application shall be published in the language in which it was filed.
Since January 1, 2009	(a) If the international application is filed in Arabic, Chinese, English, French, German, Japanese, Korean, Portuguese, Russian or Spanish (“languages of publication”), that application shall be published in the language in which it was filed.
From July 1, 1998 to December 31, 2002	(a-bis) If the international application is not filed in a language of publication and a translation into a language of publication has been furnished under Rule 12.3, that application shall be published in the language of that translation.
Since January 1, 2003	(a-bis) [Deleted]

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From June 19,  
1970 to  
December 31,  
1984

(b) If the international application is filed in a language other than English, French, German, Japanese, or Russian, that application shall be published in English translation. The translation shall be prepared under the responsibility of the International Searching Authority, which shall be obliged to have it ready in time to permit the communication under Article 20 by the prescribed date, or, if the international publication is due at an earlier date than the said communication, to permit international publication by the prescribed date. Notwithstanding Rule 16.1(a), the International Searching Authority may charge a fee for the translation to the applicant. The International Searching Authority shall give the applicant an opportunity to comment on the draft translation. The International Searching Authority shall fix a time limit reasonable under the circumstances of the case for such comments. If there is no time to take the comments of the applicant into account before the translation is communicated or if there is a difference of opinion between the applicant and the said Authority as to the correct translation, the applicant may send a copy of his comments, or what remains of them, to the International Bureau and each designated Office to which the translation was communicated. The International Bureau shall publish the essence of the comments together with the translation of the International Searching Authority or subsequently to the publication of such translation.

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From  
January 1,  
1985 to  
December 31,  
1993

(b) If the international application is filed in a language other than English, French, German, Japanese, Russian or Spanish, that application shall be published in English translation. The translation shall be prepared under the responsibility of the International Searching Authority, which shall be obliged to have it ready in time to permit international publication by the prescribed date, or, where Article 64(3)(b) applies, to permit the communication under Article 20 by the end of the 19<sup>th</sup> month after the priority date. Notwithstanding Rule 16.1(a), the International Searching Authority may charge a fee for the translation to the applicant. The International Searching Authority shall give the applicant an opportunity to comment on the draft translation. The International Searching Authority shall fix a time limit reasonable under the circumstances of the case for such comments. If there is no time to take the comments of the applicant into account before the translation is communicated or if there is a difference of opinion between the applicant and the said Authority as to the correct translation, the applicant may send a copy of his comments, or what remains of them, to the International Bureau and each designated Office to which the translation was communicated. The International Bureau shall publish the essence of the comments together with the translation of the International Searching Authority or subsequently to the publication of such translation.

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From January 1, 1994 to June 30, 1998	<p>(b) If the international application is filed in a language other than Chinese, English, French, German, Japanese, Russian or Spanish, that application shall be published in English translation. The translation shall be prepared under the responsibility of the International Searching Authority, which shall be obliged to have it ready in time to permit international publication by the prescribed date, or, where Article 64(3)(b) applies, to permit the communication under Article 20 by the end of the 19<sup>th</sup> month after the priority date. Notwithstanding Rule 16.1(a), the International Searching Authority may charge a fee for the translation to the applicant. The International Searching Authority shall give the applicant an opportunity to comment on the draft translation. The International Searching Authority shall fix a time limit reasonable under the circumstances of the case for such comments. If there is no time to take the comments of the applicant into account before the translation is communicated or if there is a difference of opinion between the applicant and the said Authority as to the correct translation, the applicant may send a copy of his comments, or what remains of them, to the International Bureau and each designated Office to which the translation was communicated. The International Bureau shall publish the essence of the comments together with the translation of the International Searching Authority or subsequently to the publication of such translation.</p>
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1998 to December 31, 2002	<p>(b) If the international application is filed in a language which is not a language of publication and no translation into a language of publication is required under Rule 12.3(a), that application shall be published in English translation. The translation shall be prepared under the responsibility of the International Searching Authority, which shall be obliged to have it ready in time to permit international publication by the prescribed date, or, where Article 64(3)(b) applies, to permit the communication under Article 20 by the end of the 19<sup>th</sup> month after the priority date. Notwithstanding Rule 16.1(a), the International Searching Authority may charge a fee for the translation to the applicant. The International Searching Authority shall give the applicant an opportunity to comment on the draft translation. The International Searching Authority shall fix a time limit reasonable under the circumstances of the case for such comments. If there is no time to take the comments of the applicant into account before the translation is communicated or if there is a difference of opinion between the applicant and the said Authority as to the correct translation, the applicant may send a copy of his comments, or what remains of them, to the International Bureau and each designated Office to which the translation was communicated. The International Bureau shall publish the relevant portions of the comments together with the translation of the International Searching Authority or subsequently to the publication of such translation.</p>
Since January 1, 2003	<p>(b) If the international application is not filed in a language of publication and a translation into a language of publication has been furnished under Rule 12.3 or 12.4, that application shall be published in the language of that translation.</p>
From June 19, 1970 to April 13, 1978	<p>(c) If the international application is published in a language other than English, the international search report, or the declaration referred to in Article 17(2)(a), and the abstract shall be published both in that language and in English. The translations shall be prepared under the responsibility of the International Bureau.</p>
From April 14, 1978 to December 31, 1984	<p>(c) If the international application is published in a language other than English, the international search report to the extent that it is published under Rule 48.2(a)(v), or the declaration referred to in Article 17(2)(a), and the abstract shall be published both in that language and in English. The translations shall be prepared under the responsibility of the International Bureau.</p>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1985 to March 31, 2007	(c) If the international application is published in a language other than English, the international search report to the extent that it is published under Rule 48.2(a)(v), or the declaration referred to in Article 17(2)(a), the title of the invention, the abstract and any text matter pertaining to the figure or figures accompanying the abstract shall be published both in that language and in English. The translations shall be prepared under the responsibility of the International Bureau.
Since April 1, 2007	(c) If the international application is published in a language other than English, the international search report to the extent that it is published under Rule 48.2(a)(v), or the declaration referred to in Article 17(2)(a), the title of the invention, the abstract and any text matter pertaining to the figure or figures accompanying the abstract shall be published both in that language and in English. The translations, if not furnished by the applicant under Rule 12.3, shall be prepared under the responsibility of the International Bureau.
Since June 19, 1970	48.4 <i>Earlier Publication on the Applicant's Request</i>  (a) Where the applicant asks for publication under Articles 21(2)(b) and 64(3)(c)(i) and the international search report, or the declaration referred to in Article 17(2)(a), is not yet available for publication together with the international application, the International Bureau shall collect a special publication fee whose amount shall be fixed in the Administrative Instructions.
	(b) Publication under Articles 21(2)(b) and 64(3)(c)(i) shall be effected by the International Bureau promptly after the applicant has asked for it and, where a special fee is due under paragraph (a), after receipt of such fee.
Since June 19, 1970	48.5 <i>Notification of National Publication</i>  Where the publication of the international application by the International Bureau is governed by Article 64(3)(c)(ii), the national Office concerned shall, promptly after effecting the national publication referred to in the said provision, notify the International Bureau of the fact of such national publication.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19, 1970	48.6 <i>Announcing of Certain Facts</i>
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From June 19, 1970 to December 31, 2003	(a) If any notification under Rule 29.1(a)(ii) reaches the International Bureau at a time later than that at which it was able to prevent the international publication of the international application, the International Bureau shall promptly publish a notice in the Gazette reproducing the essence of such notification.
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Since January 1, 2004	(a) If any notification under Rule 29.1(ii) reaches the International Bureau at a time later than that at which it was able to prevent the international publication of the international application, the International Bureau shall promptly publish a notice in the Gazette reproducing the essence of such notification.
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From June 19, 1970 to December 31, 1984	(b) The essence of any notification under Rule 29.2 or 51.4 shall be published in the Gazette and, if the notification reaches the International Bureau before preparations for the publication of the pamphlet have been completed, also in the pamphlet.
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Since January 1, 1985	(b) [Deleted]
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From June 19, 1970 to December 31, 1984	(c) If the international application is withdrawn after its international publication, this fact shall be published in the Gazette.
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From January 1, 1985 to June 30, 1992	(c) If the international application or the designation of any designated State is withdrawn under Rule 32.1, or if the priority claim is withdrawn under Rule 32 <i>bis</i> .1, after the technical preparations for international publication have been completed, this fact shall be published in the Gazette.
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Since July 1, 1992	(c) If the international application, the designation of any designated State or the priority claim is withdrawn under Rule 90 <i>bis</i> after the technical preparations for international publication have been completed, notice of the withdrawal shall be published in the Gazette.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19,  
1970 to  
December 31,  
1984

Rule 49  
Languages of Translations and Amounts of Fees  
under Article 22(1) and (2)

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Since  
January 1,  
1985

Rule 49  
Copy, Translation and Fee under Article 22

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Since June 19,  
1970

49.1 *Notification*

(a) Any Contracting State requiring the furnishing of a translation or the payment of a national fee, or both, under Article 22, shall notify the International Bureau of:

(i) the languages from which and the language into which it requires translation,

(ii) the amount of the national fee.

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Since  
January 1,  
1985

(a-*bis*) Any Contracting State not requiring the furnishing, under Article 22, by the applicant of a copy of the international application (even though the communication of the copy of the international application by the International Bureau under Rule 47 has not taken place by the expiration of the time limit applicable under Article 22) shall notify the International Bureau accordingly.

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(a-*ter*) Any Contracting State which, pursuant to Article 24(2), maintains, if it is a designated State, the effect provided for in Article 11(3) even though a copy of the international application is not furnished by the applicant by the expiration of the time limit applicable under Article 22 shall notify the International Bureau accordingly.

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From June 19,  
1970 to  
December 31,  
1984

(b) Any notification received by the International Bureau under paragraph (a) shall be promptly published by the International Bureau in the Gazette.

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Since  
January 1,  
1985

(b) Any notification received by the International Bureau under paragraphs (a), (a-*bis*) or (a-*ter*) shall be promptly published by the International Bureau in the Gazette.

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Since June 19, 1970	(c) If the requirements under paragraph (a) change later, such changes shall be notified by the Contracting State to the International Bureau and that Bureau shall promptly publish the notification in the Gazette. If the change means that translation is required into a language which, before the change, was not required, such change shall be effective only with respect to international applications filed later than two months after the publication of the notification in the Gazette. Otherwise, the effective date of any change shall be determined by the Contracting State.
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Since June 19, 1970	49.2 <i>Languages</i> The language into which translation may be required must be an official language of the designated Office. If there are several of such languages, no translation may be required if the international application is in one of them. If there are several official languages and a translation must be furnished, the applicant may choose any of those languages. Notwithstanding the foregoing provisions of this paragraph, if there are several official languages but the national law prescribes the use of one such language for foreigners, a translation into that language may be required.
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From June 19, 1970 to December 31, 1980	49.3 <i>Statements under Article 19</i>
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Since January 1, 1981	49.3 <i>Statements under Article 19; Indications under Rule 13bis.4</i>
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From June 19, 1970 to December 31, 1980	For the purposes of Article 22 and the present Rule, any statement made under Article 19(1) shall be considered part of the international application.
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From January 1, 1981 to December 31, 1984	For the purposes of Article 22 and the present Rule, any statement made under Article 19(1) and any indication furnished under Rule 13bis.4 shall be considered part of the international application.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since January 1, 1985	For the purposes of Article 22 and the present Rule, any statement made under Article 19(1) and any indication furnished under Rule 13 <i>bis</i> .4 shall, subject to Rule 49.5(c) and (h), be considered part of the international application.
Since January 1, 1985	49.4 <i>Use of National Form</i> No applicant shall be required to use a national form when performing the acts referred to in Article 22.
Since January 1, 1985	49.5 <i>Contents of and Physical Requirements for the Translation</i>
From January 1, 1985 to June 30, 1992	(a) For the purposes of Article 22, the translation of the international application shall contain the description, the claims, any text matter of the drawings and the abstract. If required by the designated Office, the translation shall also, subject to paragraphs (b) and (e),
From July 1, 1992 to June 30, 1998	(a) For the purposes of Article 22, the translation of the international application shall contain the description, the claims, any text matter of the drawings and the abstract. If required by the designated Office, the translation shall also, subject to paragraphs (b), (c-bis) and (e),
From July 1, 1998 to June 30, 2011	(a) For the purposes of Article 22, the translation of the international application shall contain the description (subject to paragraph (a-bis)), the claims, any text matter of the drawings and the abstract. If required by the designated Office, the translation shall also, subject to paragraphs (b), (c-bis) and (e),
Since July 1, 2011	(a) For the purposes of Article 22, the translation of the international application shall contain the description (subject to paragraph (a-bis)), the claims, any text matter of the drawings and the abstract. If required by the designated Office, the translation shall also, subject to paragraphs (b), (c-bis) and (e),
Since January 1, 1985	(i) contain the request,
From January 1, 1985 to June 30, 2011	(ii) if the claims have been amended under Article 19, contain both the claims as filed and the claims as amended, and

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 2011	(ii) if the claims have been amended under Article 19, contain both the claims as filed and the claims as amended (the claims as amended shall be furnished in the form of a translation of the complete set of claims furnished under Rule 46.5(a) in replacement of all the claims originally filed), and
Since January 1, 1985	(iii) be accompanied by a copy of the drawings.
Since July 1, 1998	(a-bis) No designated Office shall require the applicant to furnish to it a translation of any text matter contained in the sequence listing part of the description if such sequence listing part complies with Rule 12.1(d) and if the description complies with Rule 5.2(b).
Since January 1, 1985	(b) Any designated Office requiring the furnishing of a translation of the request shall furnish copies of the request form in the language of the translation free of charge to the applicants. The form and contents of the request form in the language of the translation shall not be different from those of the request under Rules 3 and 4; in particular, the request form in the language of the translation shall not ask for any information that is not in the request as filed. The use of the request form in the language of the translation shall be optional.
	(c) Where the applicant did not furnish a translation of any statement made under Article 19(1), the designated Office may disregard such statement.
Since July 1, 1992	(c-bis) Where the applicant furnishes, to a designated Office which requires under paragraph (a)(ii) a translation of both the claims as filed and the claims as amended, only one of the required two translations, the designated Office may disregard the claims of which a translation has not been furnished or invite the applicant to furnish the missing translation within a time limit which shall be reasonable under the circumstances and shall be fixed in the invitation. Where the designated Office chooses to invite the applicant to furnish the missing translation and the latter is not furnished within the time limit fixed in the invitation, the designated Office may disregard those claims of which a translation has not been furnished or consider the international application withdrawn.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 1985	(d) If any drawing contains text matter, the translation of that text matter shall be furnished either in the form of a copy of the original drawing with the translation pasted on the original text matter or in the form of a drawing executed anew.
From January 1, 1985 to June 30, 1992	(e) Any designated Office requiring under paragraph (a) the furnishing of a copy of the drawings shall, where the applicant failed to furnish such copy within the time limit applicable under Article 22,  (i) invite the applicant to furnish such copy within a time limit which shall be reasonable under the circumstances and shall be fixed in the invitation, or  (ii) disregard the said drawing if such invitation, on February 3, 1984, is not compatible with the national law applied by that Office and as long as it continues to be not compatible with that law.
Since July 1, 1992	(e) Any designated Office requiring under paragraph (a) the furnishing of a copy of the drawings shall, where the applicant failed to furnish such copy within the time limit applicable under Article 22, invite the applicant to furnish such copy within a time limit which shall be reasonable under the circumstances and shall be fixed in the invitation.
Since January 1, 1985	(f) The expression “Fig.” does not require translation into any language.  (g) Where any copy of the drawings or any drawing executed anew which has been furnished under paragraph (d) or (e) does not comply with the physical requirements referred to in Rule 11, the designated Office may invite the applicant to correct the defect within a time limit which shall be reasonable under the circumstances and shall be fixed in the invitation.
From January 1, 1985 to June 30, 1992	(h) Where the applicant did not furnish a translation of any indication furnished under Rule 13 <i>bis</i> .4, the designated Office shall invite the applicant to furnish such translation, if it deems it to be necessary, within a time limit which shall be reasonable under the circumstances and shall be fixed in the invitation.
Since July 1, 1992	(h) Where the applicant did not furnish a translation of the abstract or of any indication furnished under Rule 13 <i>bis</i> .4, the designated Office shall invite the applicant to furnish such translation, if it deems it to be necessary, within a time limit which shall be reasonable under the circumstances and shall be fixed in the invitation.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 1985

(i) Information on any requirement and practice of designated Offices under the second sentence of paragraph (a) shall be published by the International Bureau in the Gazette.

(j) No designated Office shall require that the translation of the international application comply with physical requirements other than those prescribed for the international application as filed.

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Since July 1, 1992

(k) Where a title has been established by the International Searching Authority pursuant to Rule 37.2, the translation shall contain the title as established by that Authority.

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(l) If, on July 12, 1991, paragraph (c-*bis*) or paragraph (k) is not compatible with the national law applied by the designated Office, the paragraph concerned shall not apply to that designated Office for as long as it continues not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by December 31, 1991. The information received shall be promptly published by the International Bureau in the Gazette.

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Since January 1, 2003

49.6 *Reinstatement of Rights after Failure to Perform the Acts Referred to in Article 22*

(a) Where the effect of the international application provided for in Article 11(3) has ceased because the applicant failed to perform the acts referred to in Article 22 within the applicable time limit, the designated Office shall, upon request of the applicant, and subject to paragraphs (b) to (e) of this Rule, reinstate the rights of the applicant with respect to that international application if it finds that any delay in meeting that time limit was unintentional or, at the option of the designated Office, that the failure to meet that time limit occurred in spite of due care required by the circumstances having been taken.

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(b) The request under paragraph (a) shall be submitted to the designated Office, and the acts referred to in Article 22 shall be performed, within whichever of the following periods expires first:

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- (i) two months from the date of removal of the cause of the failure to meet the applicable time limit under Article 22; or
- (ii) 12 months from the date of the expiration of the applicable time limit under Article 22;

provided that the applicant may submit the request at any later time if so permitted by the national law applicable by the designated Office.

(c) The request under paragraph (a) shall state the reasons for the failure to comply with the applicable time limit under Article 22.

(d) The national law applicable by the designated Office may require:

- (i) that a fee be paid in respect of a request under paragraph (a);
- (ii) that a declaration or other evidence in support of the reasons referred to in paragraph (c) be filed.

(e) The designated Office shall not refuse a request under paragraph (a) without giving the applicant the opportunity to make observations on the intended refusal within a time limit which shall be reasonable under the circumstances.

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(f) If, on October 1, 2002, paragraphs (a) to (e) are not compatible with the national law applied by the designated Office, those paragraphs shall not apply in respect of that designated Office for as long as they continue not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by January 1, 2003. The information received shall be promptly published by the International Bureau in the Gazette.

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Since  
January 1,  
2004

Rule 49bis  
Indications as to Protection Sought  
for Purposes of National Processing

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Since  
January 1,  
2004

*49bis.1 Choice of Certain Kinds of Protection*

(a) If the applicant wishes the international application to be treated, in a designated State in respect of which Article 43 applies, as an application not for the grant of a patent but for the grant of another kind of protection referred to in that Article, the applicant, when performing the acts referred to in Article 22, shall so indicate to the designated Office.

(b) If the applicant wishes the international application to be treated, in a designated State in respect of which Article 44 applies, as an application for the grant of more than one kind of protection referred to in Article 43, the applicant, when performing the acts referred to in Article 22, shall so indicate to the designated Office and shall indicate, if applicable, which kind of protection is sought primarily and which kind is sought subsidiarily.

(c) In the cases referred to in paragraphs (a) and (b), if the applicant wishes the international application to be treated, in a designated State, as an application for a patent of addition, certificate of addition, inventor's certificate of addition or utility certificate of addition, the applicant, when performing the acts referred to in Article 22, shall indicate the relevant parent application, parent patent or other parent grant.

(d) If the applicant wishes the international application to be treated, in a designated State, as an application for a continuation or a continuation-in-part of an earlier application, the applicant, when performing the acts referred to in Article 22, shall so indicate to the designated Office and shall indicate the relevant parent application.

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(e) Where no express indication under paragraph (a) is made by the applicant when performing the acts referred to in Article 22 but the national fee referred to in Article 22 paid by the applicant corresponds to the national fee for a particular kind of protection, the payment of that fee shall be considered to be an indication of the wish of the applicant that the international application is to be treated as an application for that kind of protection and the designated Office shall inform the applicant accordingly.

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Since  
January 1,  
2004

*49bis.2 Time of Furnishing Indications*

(a) No designated Office shall require the applicant to furnish, before performing the acts referred to in Article 22, any indication referred to in Rule 49bis.1 or, where applicable, any indication as to whether the applicant seeks the grant of a national patent or a regional patent.

(b) The applicant may, if so permitted by the national law applicable by the designated Office concerned, furnish such indication or, if applicable, convert from one kind of protection to another, at any later time.

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Since April 1,  
2007

Rule 49ter  
Effect of Restoration of Right of Priority by Receiving Office;  
Restoration of Right of Priority by Designated Office

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Since April 1,  
2007

*49ter.1 Effect of Restoration of Right of Priority by Receiving Office*

(a) Where the receiving Office has restored a right of priority under Rule 26bis.3 based on a finding by it that the failure to file the international application within the priority period occurred in spite of due care required by the circumstances having been taken, that restoration shall, subject to paragraph (c), be effective in each designated State.

(b) Where the receiving Office has restored a right of priority under Rule 26bis.3 based on a finding by it that the failure to file the international application within the priority period was unintentional, that restoration shall, subject to paragraph (c), be effective in any designated State whose applicable national law provides for restoration of the right of priority based on that criterion or on a criterion which, from the viewpoint of applicants, is more favorable than that criterion.

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Since April 1,  
2007

(c) A decision by the receiving Office to restore a right of priority under Rule 26bis.3 shall not be effective in a designated State where the designated Office, a court or any other competent organ of or acting for that designated State finds that a requirement under Rule 26bis.3(a), (b)(i) or (c) was not complied with, taking into account the reasons stated in the request submitted to the receiving Office under Rule 26bis.3(a) and any declaration or other evidence filed with the receiving Office under Rule 26bis.3(b)(iii).

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Since April 1,  
2007

(d) A designated Office shall not review the decision of the receiving Office unless it may reasonably doubt that a requirement referred to in paragraph (c) was complied with, in which case the designated Office shall notify the applicant accordingly, indicating the reasons for that doubt and giving the applicant an opportunity to make observations within a reasonable time limit.

(e) No designated State shall be bound by a decision of the receiving Office refusing a request under Rule 26*bis*.3 for restoration of the right of priority.

(f) Where the receiving Office has refused a request for the restoration of the right of priority, any designated Office may consider that request to be a request for restoration submitted to that designated Office under Rule 49*ter*.2(a) within the time limit under that Rule.

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Since April 1,  
2007

(g) If, on October 5, 2005, paragraphs (a) to (d) are not compatible with the national law applied by the designated Office, those paragraphs shall not apply in respect of that Office for as long as they continue not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by April 5, 2006. The information received shall be promptly published by the International Bureau in the Gazette.

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Since April 1,  
2007

*49ter.2 Restoration of Right of Priority by Designated Office*

(a) Where the international application claims the priority of an earlier application and has an international filing date which is later than the date on which the priority period expired but within the period of two months from that date, the designated Office shall, on the request of the applicant in accordance with paragraph (b), restore the right of priority if the Office finds that a criterion applied by it ("criterion for restoration") is satisfied, namely, that the failure to file the international application within the priority period:

- (i) occurred in spite of due care required by the circumstances having been taken; or
- (ii) was unintentional.

Each designated Office shall apply at least one of those criteria and may apply both of them.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since April 1,  
2007

(b) A request under paragraph (a) shall:

(i) be filed with the designated Office within a time limit of one month from the applicable time limit under Article 22;

(ii) state the reasons for the failure to file the international application within the priority period and preferably be accompanied by any declaration or other evidence required under paragraph (c); and

(iii) be accompanied by any fee for requesting restoration required under paragraph (d).

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From April 1,  
2007 to June  
30, 2015

(b) A request under paragraph (a) shall:

(i) be filed with the designated Office within a time limit of one month from the applicable time limit under Article 22;

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Since July 1,  
2015

(b) A request under paragraph (a) shall:

(i) be filed with the designated Office within a time limit of one month from the applicable time limit under Article 22 or, where the applicant makes an express request to the designated Office under Article 23(2), within a time limit of one month from the date of receipt of that request by the designated Office;

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Since April 1,  
2007

(ii) state the reasons for the failure to file the international application within the priority period and preferably be accompanied by any declaration or other evidence required under paragraph (c); and

(iii) be accompanied by any fee for requesting restoration required under paragraph (d).

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Since April 1,  
2007

(c) The designated Office may require that a declaration or other evidence in support of the statement of reasons referred to in paragraph (b)(ii) be filed with it within a time limit which shall be reasonable under the circumstances.

(d) The submission of a request under paragraph (a) may be subjected by the designated Office to the payment to it, for its own benefit, of a fee for requesting restoration.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since April 1,  
2007

(e) The designated Office shall not refuse, totally or in part, a request under paragraph (a) without giving the applicant the opportunity to make observations on the intended refusal within a time limit which shall be reasonable under the circumstances. Such notice of intended refusal may be sent by the designated Office to the applicant together with any invitation to file a declaration or other evidence under paragraph (c).

(f) Where the national law applicable by the designated Office provides, in respect of the restoration of the right of priority, for requirements which, from the viewpoint of applicants, are more favorable than the requirements provided for under paragraphs (a) and (b), the designated Office may, when determining the right of priority, apply the requirements under the applicable national law instead of the requirements under those paragraphs.

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Since April 1,  
2007

(g) Each designated Office shall inform the International Bureau of which of the criteria for restoration it applies, of the requirements, where applicable, of the national law applicable in accordance with paragraph (f), and of any subsequent changes in that respect. The International Bureau shall promptly publish such information in the Gazette.

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Since April 1,  
2007

(h) If, on October 5, 2005, paragraphs (a) to (g) are not compatible with the national law applied by the designated Office, those paragraphs shall not apply in respect of that Office for as long as they continue not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by April 5, 2006. The information received shall be promptly published by the International Bureau in the Gazette.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19,  
1970

Rule 50  
Faculty under Article 22(3)

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Since June 19,  
1970

50.1 *Exercise of Faculty*

(a) Any Contracting State allowing a time limit expiring later than the time limits provided for in Article 22(1) or (2) shall notify the International Bureau of the time limits so fixed.

(b) Any notification received by the International Bureau under paragraph (a) shall be promptly published by the International Bureau in the Gazette.

(c) Notifications concerning the shortening of the previously fixed time limit shall be effective in relation to international applications filed after the expiration of three months computed from the date on which the notification was published by the International Bureau.

(d) Notifications concerning the lengthening of the previously fixed time limit shall become effective upon publication by the International Bureau in the Gazette in respect of international applications pending at the time or filed after the date of such publication, or, if the Contracting State effecting the notification fixes some later date, as from the latter date.

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Since June 19,  
1970

Rule 51  
Review by Designated Offices

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Since June 19,  
1970

51.1 *Time Limit for Presenting the Request to Send Copies*

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From June 19,  
1970 to  
June 30, 1992

The time limit referred to in Article 25(1)(c) shall be two months computed from the date of the notification sent to the applicant under Rules 20.7(i), 24.2(b), 29.1(a)(ii), or 29.1(b).

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From July 1,  
1992 to  
December 31,  
2003

The time limit referred to in Article 25(1)(c) shall be two months computed from the date of the notification sent to the applicant under Rules 20.7(i), 24.2(c), 29.1(a)(ii), or 29.1(b).

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 2004 to March 31, 2007	The time limit referred to in Article 25(1)(c) shall be two months computed from the date of the notification sent to the applicant under Rule 20.7(i), 24.2(c) or 29.1(ii).
Since April 1, 2007	The time limit referred to in Article 25(1)(c) shall be two months computed from the date of the notification sent to the applicant under Rule 20.4(i), 24.2(c) or 29.1(ii).
From June 19, 1970 to March 31, 2007	51.2 <i>Copy of the Notice</i>  Where the applicant, after having received a negative determination under Article 11(1), requests the International Bureau, under Article 25(1), to send copies of the file of the purported international application to any of the named Offices he has attempted to designate, he shall attach to his request a copy of the notice referred to in Rule 20.7(i).
Since April 1, 2007	51.2 <i>Copy of the Notification</i>  Where the applicant, after having received a negative determination under Article 11(1), requests the International Bureau, under Article 25(1), to send copies of the file of the purported international application to any of the named Offices he has attempted to designate, he shall attach to his request a copy of the notification referred to in Rule 20.4(i).
Since June 19, 1970	51.3 <i>Time Limit for Paying National Fee and Furnishing Translation</i>  The time limit referred to in Article 25(2)(a) shall expire at the same time as the time limit prescribed in Rule 51.1.
From June 19, 1970 to December 31, 1984	51.4 <i>Notification to the International Bureau</i>  Where, under Article 25(2), the competent designated Office decides that the refusal, declaration or finding referred to in Article 25(1) was not justified, it shall promptly notify the International Bureau that it will treat the international application as if the error or omission referred to in Article 25(2) had not occurred.
Since January 1, 1985	51.4 <i>[Deleted]</i>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From  
January 1,  
1985 to  
February 28,  
2001

Rule 51*bis*  
Certain National Requirements Allowed  
under Article 27(1), (2), (6) and (7)

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Since March 1,  
2001

Rule 51*bis*  
Certain National Requirements Allowed under Article 27

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Since  
January 1,  
1985

51*bis.1* *Certain National Requirements Allowed*

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From  
January 1,  
1985 to  
February 28,  
2001

(a) The documents referred to in Article 27(2)(ii), or the evidence referred to in Article 27(6), which the applicant may be required to furnish under the national law applicable by the designated Office include, in particular:

- (i) any document relating to the identity of the inventor,
- (ii) any document relating to any transfer or assignment of the right to the application,
- (iii) any document containing an oath or declaration by the inventor alleging his inventorship,
- (iv) any document containing a declaration by the applicant designating the inventor or alleging the right to the application,
- (v) any document containing any proof of the right of the applicant to claim priority where he is different from the applicant having filed the earlier application the priority of which is claimed,
- (vi) any evidence concerning non-prejudicial disclosures or exceptions to lack of novelty, such as disclosures resulting from abuse, disclosures at certain exhibitions and disclosures by the applicant during a certain period of time.

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Since March 1,  
2001

(a) Subject to Rule 51*bis.2*, the national law applicable by the designated Office may, in accordance with Article 27, require the applicant to furnish, in particular:

- (i) any document relating to the identity of the inventor,
  - (ii) any document relating to the applicant's entitlement to apply for or be granted a patent,
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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	<p>(iii) any document containing any proof of the applicant's entitlement to claim priority of an earlier application where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the date on which the earlier application was filed,</p> <p>(iv) where the international application designates a State whose national law requires that national applications be filed by the inventor, any document containing an oath or declaration of inventorship,</p>
From March 1, 2001 to December 31, 2012	(iv) where the international application designates a State whose national law requires that national applications be filed by the inventor, any document containing an oath or declaration of inventorship,
Since January 1, 2013	(iv) where the international application designates a State whose national law requires, on October 9, 2012, the furnishing of an oath or declaration of inventorship, any document containing an oath or declaration of inventorship,
From March 1, 2001 to December 31, 2003	(v) any evidence concerning non-prejudicial disclosures or exceptions to lack of novelty, such as disclosures resulting from abuse, disclosures at certain exhibitions and disclosures by the applicant during a certain period of time.
Since January 1, 2004	<p>(v) any evidence concerning non-prejudicial disclosures or exceptions to lack of novelty, such as disclosures resulting from abuse, disclosures at certain exhibitions and disclosures by the applicant during a certain period of time;</p> <p>(vi) the confirmation of the international application by the signature of any applicant for the designated State who has not signed the request;</p> <p>(vii) any missing indication required under Rule 4.5(a)(ii) and (iii) in respect of any applicant for the designated State.</p>
Since January 1, 1985	<p>(b) The national law applicable by the designated Office may, in accordance with Article 27(7), require that</p> <p>(i) the applicant be represented by an agent having the right to represent applicants before that Office and/or have an address in the designated State for the purpose of receiving notifications,</p> <p>(ii) the agent, if any, representing the applicant be duly appointed by the applicant.</p>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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(c) The national law applicable by the designated Office may, in accordance with Article 27(1), require that the international application, the translation thereof or any document relating thereto be furnished in more than one copy.

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From  
January 1,  
1985 to  
February 28,  
2001

(d) The national law applicable by the designated Office may, in accordance with Article 27(2)(ii), require that the translation of the international application furnished by the applicant under Article 22 be verified by the applicant or the person having translated the international application in a statement to the effect that, to the best of his knowledge, the translation is complete and faithful.

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Since March 1,  
2001

(d) The national law applicable by the designated Office may, in accordance with Article 27(2)(ii), require that the translation of the international application furnished by the applicant under Article 22 be:

(i) verified by the applicant or the person having translated the international application in a statement to the effect that, to the best of his knowledge, the translation is complete and faithful;

(ii) certified by a public authority or sworn translator, but only where the designated Office may reasonably doubt the accuracy of the translation.

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From March 1,  
2001 to  
March 31,  
2007

(e) The national law applicable by the designated Office may, in accordance with Article 27, require the applicant to furnish a translation of the priority document, provided that such a translation may only be required where the validity of the priority claim is relevant to the determination of whether the invention concerned is patentable.

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Since April 1,  
2007

(e) The national law applicable by the designated Office may, in accordance with Article 27, require the applicant to furnish a translation of the priority document, provided that such a translation may only be required:

(i) where the validity of the priority claim is relevant to the determination of whether the invention concerned is patentable; or

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(ii) where the international filing date has been accorded by the receiving Office under Rule 20.3(b)(ii) or 20.5(d) on the basis of the incorporation by reference under Rules 4.18 and 20.6 of an element or part, for the purposes of determining under Rule 82~~ter~~.1(b) whether that element or part is completely contained in the priority document concerned, in which case the national law applicable by the designated Office may also require the applicant to furnish, in the case of a part of the description, claims or drawings, an indication as to where that part is contained in the translation of the priority document.

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Since March 1,  
2001

(f) If, on March 17, 2000, the proviso in paragraph (e) is not compatible with the national law applied by the designated Office, that proviso shall not apply in respect of that Office for as long as that proviso continues not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by November 30, 2000. The information received shall be promptly published by the International Bureau in the Gazette.

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From  
January 1,  
1985 to  
February 28,  
2001

*51bis.2 Opportunity to Comply with National Requirements*

(a) Where any of the requirements referred to in Rule 51~~bis~~.1, or any other requirement of the national law applicable by the designated Office which that Office may apply under Article 27(1), (2), (6) or (7), is not already fulfilled during the same period within which the requirements under Article 22 must be complied with, the applicant shall have an opportunity to comply with the requirement after the expiration of that period.

(b) The national law applicable by the designated Office may, in accordance with Article 27(2)(ii), require that the applicant, upon invitation by the designated Office, furnish a certification of the translation of the international application by a public authority or a sworn translator, if the designated Office deems such certification to be necessary under the circumstances, within a time limit which shall be reasonable under the circumstances and shall be fixed in the invitation.

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From  
January 1,  
1985 to  
June 30, 1992

(c) If, on February 3, 1984, paragraph (a) is, with respect to the requirements referred to in Rule 51~~bis~~.1(a)(iii) and (vi), (b)(i) and (d), not compatible with the national law applied by the designated Office and as long as it continues to be not compatible with that law, the applicant shall have no opportunity to comply with any of the requirements after the expiration of the time limit applicable under Article 22. Information on such national laws shall be published by the International Bureau in the Gazette.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1,  
1992 to  
February 28,  
2001

(c) [Deleted]

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From March 1,  
2001 to  
December 31,  
2012

*51bis.2 Certain Circumstances in Which Documents or Evidence  
May Not Be Required*

(a) Where the applicable national law does not require that national applications be filed by the inventor, the designated Office shall not, unless it may reasonably doubt the veracity of the indications or declaration concerned, require any document or evidence:

(i) relating to the identity of the inventor (Rule 51*bis*.1(a)(i)), if indications concerning the inventor, in accordance with Rule 4.6, are contained in the request or if a declaration as to the identity of the inventor, in accordance with Rule 4.17(i), is contained in the request or is submitted directly to the designated Office;

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(ii) relating to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rule 51*bis*.1(a)(ii)), if a declaration as to that matter, in accordance with Rule 4.17(ii), is contained in the request or is submitted directly to the designated Office;

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(iii) relating to the applicant's entitlement, as at the international filing date, to claim priority of an earlier application (Rule 51*bis*.1(a)(iii)), if a declaration as to that matter, in accordance with Rule 4.17(iii), is contained in the request or is submitted directly to the designated Office.

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(b) Where the applicable national law requires that national applications be filed by the inventor, the designated Office shall not, unless it may reasonably doubt the veracity of the indications or declaration concerned, require any document or evidence:

(i) relating to the identity of the inventor (Rule 51*bis*.1(a)(i)) (other than a document containing an oath or declaration of inventorship (Rule 51*bis*.1(a)(iv))), if indications concerning the inventor, in accordance with Rule 4.6, are contained in the request;

(ii) relating to the applicant's entitlement, as at the international filing date, to claim priority of an earlier application (Rule 51*bis*.1(a)(iii)), if a declaration as to that matter, in accordance with Rule 4.17(iii), is contained in the request or is submitted directly to the designated Office;

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(iii) containing an oath or declaration of inventorship (Rule 51*bis*.1(a)(iv)), if a declaration of inventorship, in accordance with Rule 4.17(iv), is contained in the request or is submitted directly to the designated Office.

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(c) If, on March 17, 2000, paragraph (a) is not compatible, in relation to any item of that paragraph, with the national law applied by the designated Office, paragraph (a) shall not apply in respect of that Office in relation to that item for as long as it continues not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by November 30, 2000. The information received shall be promptly published by the International Bureau in the Gazette.

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Since January  
1, 2013

51bis.2 Certain Circumstances in Which Documents or Evidence May Not Be Required

The designated Office shall not, unless it may reasonably doubt the veracity of the indications or declaration concerned, require any document or evidence:

(i) relating to the identity of the inventor (Rule 51*bis*.1(a)(i)) (other than a document containing an oath or declaration of inventorship (Rule 51*bis*.1(a)(iv)), if indications concerning the inventor, in accordance with Rule 4.6, are contained in the request or if a declaration as to the identity of the inventor, in accordance with Rule 4.17(i), is contained in the request or is submitted directly to the designated Office;

(ii) relating to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rule 51*bis*.1(a)(ii)), if a declaration as to that matter, in accordance with Rule 4.17(ii), is contained in the request or is submitted directly to the designated Office;

(iii) relating to the applicant's entitlement, as at the international filing date, to claim priority of an earlier application (Rule 51*bis*.1(a)(iii)), if a declaration as to that matter, in accordance with Rule 4.17(iii), is contained in the request or is submitted directly to the designated Office;

(iv) containing an oath or declaration of inventorship (Rule 51*bis*.1(a)(iv)), if a declaration of inventorship, in accordance with Rule 4.17(iv), is contained in the request or is submitted directly to the designated Office.

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Since March 1, 2001, *51bis.3 Opportunity to Comply with National Requirements*

(a) Where any of the requirements referred to in Rule 51*bis*.1(a)(i) to (iv) and (c) to (e), or any other requirement of the national law applicable by the designated Office which that Office may apply in accordance with Article 27(1) or (2), is not already fulfilled during the same period within which the requirements under Article 22 must be complied with, the designated Office shall invite the applicant to comply with the requirement within a time limit which shall not be less than two months from the date of the invitation. Each designated Office may require that the applicant pay a fee for complying with national requirements in response to the invitation.

(b) Where any requirement of the national law applicable by the designated Office which that Office may apply in accordance with Article 27(6) or (7) is not already fulfilled during the same period within which the requirements under Article 22 must be complied with, the applicant shall have an opportunity to comply with the requirement after the expiration of that period.

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(c) If, on March 17, 2000, paragraph (a) is not compatible with the national law applied by the designated Office in relation to the time limit referred to in that paragraph, the said paragraph shall not apply in respect of that Office in relation to that time limit for as long as the said paragraph continues not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by November 30, 2000. The information received shall be promptly published by the International Bureau in the Gazette.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19,  
1970

Rule 52  
Amendment of the Claims, the Description,  
and the Drawings, before Designated Offices

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Since June 19,  
1970

52.1 *Time Limit*

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From June 19,  
1970 to  
December 31,  
2003

(a) In any designated State in which processing or examination starts without special request, the applicant shall, if he so wishes, exercise the right under Article 28 within one month from the fulfillment of the requirements under Article 22, provided that, if the communication under Rule 47.1 has not been effected by the expiration of the time limit applicable under Article 22, he shall exercise the said right not later than four months after such expiration date. In either case, the applicant may exercise the said right at any other time if so permitted by the national law of the said State.

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Since  
January 1,  
2004

(a) In any designated State in which processing or examination starts without special request, the applicant shall, if he so wishes, exercise the right under Article 28 within one month from the fulfillment of the requirements under Article 22, provided that, if the communication under Rule 47.1 has not been effected by the expiration of the time limit applicable under Article 22, he shall exercise the said right not later than four months after such expiration date. In either case, the applicant may exercise the said right at any later time if so permitted by the national law of the said State.

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Since June 19,  
1970

(b) In any designated State in which the national law provides that examination starts only on special request, the time limit within or the time at which the applicant may exercise the right under Article 28 shall be the same as that provided by the national law for the filing of amendments in the case of the examination, on special request, of national applications, provided that such time limit shall not expire prior to, or such time shall not come before, the expiration of the time limit applicable under paragraph (a).

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PART C  
RULES CONCERNING CHAPTER II OF THE TREATY

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Since June 19, 1970	Rule 53 The Demand
Since June 19, 1970	53.1 <i>Form</i>
From June 19, 1970 to June 30, 1992	(a) The demand shall be made on a printed form.
Since July 1, 1992	(a) The demand shall be made on a printed form or be presented as a computer print-out. The particulars of the printed form and of a demand presented as a computer print-out shall be prescribed by the Administrative Instructions.
From June 19, 1970 to June 30, 1992	(b) Copies of printed forms shall be furnished free of charge by the receiving Offices to the applicants.
Since July 1, 1992	(b) Copies of printed demand forms shall be furnished free of charge by the receiving Office or by the International Preliminary Examining Authority.
From June 19, 1970 to June 30, 1992	(c) The particulars of the forms shall be prescribed by the Administrative Instructions.
Since July 1, 1992	(c) [Deleted]
From June 19, 1970 to December 31, 1984	(d) The demand shall be submitted in two identical copies.
Since January 1, 1985	(d) [Deleted]
Since June 19, 1970	53.2 <i>Contents</i> (a) The demand shall contain: (i) a petition, (ii) indications concerning the applicant and the agent if there is an agent,

History of the PCT Regulations – June 19, 1970 - July 1, 2016

	(iii) indications concerning the international application to which it relates,
From June 19, 1970 to June 30, 1992	(iv) election of States.
From July 1, 1992 to December 31, 2003	(iv) election of States, (v) where applicable, a statement concerning amendments.
Since January 1, 2004	(iv) where applicable, a statement concerning amendments. (v) <i>[Deleted]</i>
Since June 19, 1970	(b) The demand shall be signed.
Since June 19, 1970	<i>The Petition</i> The petition shall be to the following effect and shall preferably be worded as follows: “Demand under Article 31 of the Patent Cooperation Treaty: The undersigned requests that the international application specified below be the subject of international preliminary examination according to the Patent Cooperation Treaty.”
Since June 19, 1970	53.4 <i>The Applicant</i>
From June 19, 1970 to June 30, 1992	As to the indications concerning the applicant, Rules 4.4 and 4.16 shall apply, and Rule 4.5 shall apply <i>mutatis mutandis</i> .
From July 1, 1992 to December 31, 2003	As to the indications concerning the applicant, Rules 4.4 and 4.16 shall apply, and Rule 4.5 shall apply <i>mutatis mutandis</i> . Only applicants for the elected States are required to be indicated in the demand.
Since January 1, 2004	As to the indications concerning the applicant, Rules 4.4 and 4.16 shall apply, and Rule 4.5 shall apply <i>mutatis mutandis</i> .
From June 19, 1970 to June 30, 1992	53.5 <i>The Agent</i>
Since July 1, 1992	53.5 <i>Agent or Common Representative</i>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to June 30, 1992	If an agent is designated, Rules 4.4, 4.7, and 4.16 shall apply, and Rule 4.8 shall apply <i>mutatis mutandis</i> .
From July 1, 1992 to February 28, 2001	If an agent or common representative is designated, the demand shall so indicate. Rules 4.4 and 4.16 shall apply, and Rule 4.7 shall apply <i>mutatis mutandis</i> .
Since March 1, 2001	If an agent or common representative is appointed, the demand shall so indicate. Rules 4.4 and 4.16 shall apply, and Rule 4.7 shall apply <i>mutatis mutandis</i> .
Since June 19, 1970	53.6 <i>Identification of the International Application</i>
From June 19, 1970 to June 30, 1992	The international application shall be identified by the name of the receiving Office with which the international application was filed, the name and address of the applicant, the title of the invention, and, where the international filing date and the international application number are known to the applicant, that date and that number.
Since July 1, 1992	The international application shall be identified by the name and address of the applicant, the title of the invention, the international filing date (if known to the applicant) and the international application number or, where such number is not known to the applicant, the name of the receiving Office with which the international application was filed.
Since June 19, 1970	53.7 <i>Election of States</i>
From June 19, 1970 to June 30, 1992	The demand shall name, among the designated States, at least one Contracting State bound by Chapter II of the Treaty as elected State.
From July 1, 1992 to December 31, 2003	(a) The demand shall indicate at least one Contracting State, from among those States which are designated and are bound by Chapter II of the Treaty (“eligible States”), as an elected State.  (b) Election of Contracting States in the demand shall be made:

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

	(ii) by an indication that all eligible States are elected, or, (ii) in the case of States which have been designated for the purpose of obtaining national patents, by an indication of those eligible States that are elected, and, in the case of States which have been designated for the purpose of obtaining a regional patent, by an indication of the regional patent concerned together with either an indication that all eligible States party to the regional patent treaty concerned are elected or an indication of those among the said States that are elected.
Since January 1, 2004	The filing of a demand shall constitute the election of all Contracting States which are designated and are bound by Chapter II of the Treaty.
Since June 19, 1970	53.8 <i>Signature</i>
From June 19, 1970 to June 30, 1992	The demand shall be signed by the applicant.
From July 1, 1992 to December 31, 2012	(a) Subject to paragraph (b), the demand shall be signed by the applicant or, if there is more than one applicant, by all applicants making the demand.  (b) Where two or more applicants file a demand which elects a State whose national law requires that national applications be filed by the inventor and where an applicant for that elected State who is an inventor refused to sign the demand or could not be found or reached after diligent effort, the demand need not be signed by that applicant (“the applicant concerned”) if it is signed by at least one applicant and  (i) a statement is furnished explaining, to the satisfaction of the International Preliminary Examining Authority, the lack of signature of the applicant concerned, or  (ii) the applicant concerned did not sign the request but the requirements of Rule 4.15(b) were complied with.
Since January 1, 2013	The demand shall be signed by the applicant or, if there is more than one applicant, by all applicants making the demand.
Since July 1, 1992	53.9 <i>Statement Concerning Amendments</i>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1992 to June 30, 2011	(a) If amendments under Article 19 have been made, the statement concerning amendments shall indicate whether, for the purposes of the international preliminary examination, the applicant wishes those amendments
Since July 1, 2011	(a) If amendments under Article 19 have been made, the statement concerning amendments shall indicate whether, for the purposes of the international preliminary examination, the applicant wishes those amendments:
From July 1, 1992 to June 30, 2011	(i) to be taken into account, in which case a copy of the amendments shall preferably be submitted with the demand, or
Since July 1, 2011	(i) to be taken into account, in which case a copy of the amendments and of the letter required under Rule 46.5(b) shall preferably be submitted with the demand; or
Since July 1, 1992	(ii) to be considered as reversed by an amendment under Article 34.
From July 1, 1992 to March 31, 2005	(b) If no amendments under Article 19 have been made and the time limit for filing such amendments has not expired, the statement may indicate that the applicant wishes the start of the international preliminary examination to be postponed in accordance with Rule 69.1(d).
Since April 1, 2005	(b) If no amendments under Article 19 have been made and the time limit for filing such amendments has not expired, the statement may indicate that, should the International Preliminary Examining Authority wish to start the international preliminary examination at the same time as the international search in accordance with Rule 69.1(b), the applicant wishes the start of the international preliminary examination to be postponed in accordance with Rule 69.1(d).
Since July 1, 1992	(c) If any amendments under Article 34 are submitted with the demand, the statement shall so indicate.

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Since June 19, 1970		Rule 54 The Applicant Entitled to Make a Demand
Since June 19, 1970	54.1	<i>Residence and Nationality</i>
From June 19, 1970 to December 31, 1993		The residence or nationality of the applicant shall, for the purposes of Article 31(2), be determined according to Rules 18.1 and 18.2.
Since January 1, 1994		(a) Subject to the provisions of paragraph (b), the residence or nationality of the applicant shall, for the purposes of Article 31(2), be determined according to Rule 18.1(a) and (b).
		(b) The International Preliminary Examining Authority shall, in the circumstances specified in the Administrative Instructions, request the receiving Office or, where the international application was filed with the International Bureau as receiving Office, the national Office of, or acting for, the Contracting State concerned to decide the question whether the applicant is a resident or national of the Contracting State of which he claims to be a resident or national. The International Preliminary Examining Authority shall inform the applicant of any such request. The applicant shall have an opportunity to submit arguments directly to the Office concerned. The Office concerned shall decide the said question promptly.
From June 19, 1970 to June 30, 1992	54.2	<i>Several Applicants: Same for All Elected States</i>
From July 1, 1992 to June 30, 1998	54.2	<i>Two or More Applicants</i>
Since July 1, 1998	54.2	<i>Right to Make a Demand</i>
From June 19, 1970 to June 30, 1992		If all the applicants are applicants for the purposes of all elected States, the right to make a demand under Article 31(2) shall exist if at least one of them is  (i) a resident or national of a Contracting State bound by Chapter II and the international application has been filed as provided in Article 31(2)(a), or

History of the PCT Regulations – June 19, 1970 - July 1, 2016

From July 1, 1992 to June 30, 1998	<p>If there are two or more applicants, the right to make a demand under Article 31(2) shall exist if at least one of the applicants making the demand is</p> <p>(i) a resident or national of a Contracting State bound by Chapter II and the international application has been filed with a receiving Office of or acting for a Contracting State bound by Chapter II, or</p>
From June 19, 1970 to June 30, 1998	<p>(ii) a person entitled to make a demand under Article 31(2)(b) and the international application has been filed as provided in the decision of the Assembly.</p>
Since July 1, 1998	<p>The right to make a demand under Article 31(2) shall exist if the applicant making the demand or, if there are two or more applicants, at least one of them is a resident or national of a Contracting State bound by Chapter II and the international application has been filed with a receiving Office of or acting for a Contracting State bound by Chapter II.</p> <p>(i) and (ii) <i>[Deleted]</i></p>
From June 19, 1970 to December 31, 1993	<p>54.3 <i>Several Applicants: Different for Different Elected States</i></p>
From June 19, 1970 to June 30, 1992	<p>(a) For the purposes of different elected States, different applicants may be indicated, provided that, in respect of each elected State, at least one of the applicants indicated for the purposes of that State is</p> <p>(i) a resident or national of a Contracting State bound by Chapter II and the international application has been filed as provided in Article 31(2)(a), or</p> <p>(ii) a person entitled to make a demand under Article 31(2)(b) and the international application has been filed as provided in the decision of the Assembly.</p>
Since July 1, 1992	<p>(a) <i>[Deleted]</i></p>
From June 19, 1970 to December 31, 1984	<p>(b) If the requirement under paragraph (a) is not fulfilled in respect of any elected State, the election of that State shall be considered not to have been made.</p>
Since January 1, 1985	<p>(b) <i>[Deleted]</i></p>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since January 1, 1994	54.3 <i>International Applications Filed with the International Bureau as Receiving Office</i> Where the international application is filed with the International Bureau as receiving Office under Rule 19.1(a)(iii), the International Bureau shall, for the purposes of Article 31(2)(a), be considered to be acting for the Contracting State of which the applicant is a resident or national.
From June 9, 1970 to September 30, 1980	54.4 <i>Change in the Person or Name of the Applicant</i> Any change in the person or name of the applicant shall, on the request of the applicant or the receiving Office, be recorded by the International Bureau, which shall notify the interested International Preliminary Examining Authority and the elected Offices accordingly.
From October 1, 1980 to December 31, 1984	54.4 <i>[Deleted]</i>
From January 1, 1985 to June 30, 1992	54.4 <i>Applicant Not Entitled to Make a Demand or an Election</i>
Since July 1, 1992	54.4 <i>Applicant Not Entitled to Make a Demand</i>
From January 1, 1985 to June 30, 1992	(a) If the applicant does not have the right or, in the case of several applicants, if none of them has the right to make a demand under Article 31(2), the demand shall be considered not to have been submitted.
From July 1, 1992 to June 30, 1998	(a) If the applicant does not have the right to make a demand or, in the case of two or more applicants, if none of them has the right to make a demand under Rule 54.2, the demand shall be considered not to have been submitted.
From January 1, 1985 to June 30, 1992	(b) If the requirement under Rule 54.3(a) is not fulfilled in respect of any elected State, the election of that State shall be considered not to have been made.
Since July 1, 1992	(b) <i>[Deleted]</i>

Since July 1,  
1998

If the applicant does not have the right to make a demand or, in the case of two or more applicants, if none of them has the right to make a demand under Rule 54.2, the demand shall be considered not to have been submitted.

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Since January 1, 2004	Rule 54bis Time Limit for Making a Demand
Since January 1, 2004	<i>54bis.1 Time Limit for Making a Demand</i>
From January 1, 2004 to March 31, 2007	(a) A demand may be made at any time prior to the expiration of whichever of the following periods expires later: (i) three months from the date of transmittal to the applicant of the international search report and the written opinion established under Rule 43bis.1, or of the declaration referred to in Article 17(2)(a); or (ii) 22 months from the priority date.
Since April 1, 2007	(a) A demand may be made at any time prior to the expiration of whichever of the following periods expires later: (i) three months from the date of transmittal to the applicant of the international search report or the declaration referred to in Article 17(2)(a), and of the written opinion established under Rule 43bis.1; or (ii) 22 months from the priority date.
Since January 1, 2004	(b) Any demand made after the expiration of the time limit applicable under paragraph (a) shall be considered as if it had not been submitted and the International Preliminary Examining Authority shall so declare.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since June 19, 1970	Rule 55 Languages (International Preliminary Examination)
From June 19, 1970 to December 31, 1992	55.1 <i>The Demand</i>
Since January 1, 1993	55.1 <i>Language of Demand</i>
From June 19, 1970 to September 30, 1980	The demand shall be in the language of the international application or, when a translation is required under Rule 55.2, in the language of that translation.
From October 1, 1980 to December 31, 1984	The demand shall be in the language of the international application or, when a translation is required under Rule 55.2, in the language of that translation, provided that the International Preliminary Examining Authority may permit the demand to be in any language specified in the agreement concluded between the International Bureau and that Authority.
From January 1, 1985 to December 31, 1992	The demand shall be in the language of the international application or, if the international application has been filed in a language other than the language in which it is published, in the language of publication.
Since January 1, 1993	The demand shall be in the language of the international application or, if the international application has been filed in a language other than the language in which it is published, in the language of publication. However, if a translation of the international application is required under Rule 55.2, the demand shall be in the language of that translation.
From June 19, 1970 to December 31, 1984	55.2 <i>The International Application</i>
From January 1, 1985 to December 31, 1992	55.2 <i>[Deleted]</i>

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Since January 1, 1993	55.2 <i>Translation of International Application</i>
From June 19, 1970 to December 31, 1984	<p>(a) If the competent International Preliminary Examining Authority is not part of the same national Office or intergovernmental organization as the competent International Searching Authority, and if the international application is in a language other than the language, or one of the languages, specified in the agreement concluded between the International Bureau and the International Preliminary Examining Authority competent for the international preliminary examination, the latter may require that the applicant submit a translation of that application.</p> <p>(b) The translation shall be submitted not later than the later of the following two dates:</p> <p>(i) the date on which the time limit under Rule 46.1 expires,</p> <hr/> <p>(ii) the date on which the demand is submitted.</p> <p>(c) The translation shall contain a statement that, to the best of the applicant's knowledge, it is complete and faithful. This statement shall be signed by the applicant.</p> <p>(d) If the provisions of paragraphs (b) and (c) are not complied with, the International Preliminary Examining Authority shall invite the applicant to comply with them within one month from the date of the invitation. If the applicant fails to do so, the demand shall be considered as if it had not been submitted and the International Preliminary Examining Authority shall notify the applicant and the International Bureau accordingly.</p>
From January 1, 1985 to December 31, 1992	(a) to (d) <i>[Deleted]</i>
From January 1, 1993 to June 30, 1998	(a) Where the international application is neither filed nor published in the language, or one of the languages, specified in the agreement concluded between the International Bureau and the International Preliminary Examining Authority competent for the international preliminary examination of that application, that Authority may require that, subject to paragraph (b), the applicant furnish with the demand a translation of the international application into the language, or one of the languages, specified in the said agreement.

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Since July 1, 1998	(a) Where neither the language in which the international application is filed nor the language in which the international application is published is accepted by the International Preliminary Examining Authority that is to carry out the international preliminary examination, the applicant shall, subject to paragraph (b), furnish with the demand a translation of the international application into a language which is both:  (i) a language accepted by that Authority, and (ii) a language of publication.
Since April 1, 2007	(a- <i>bis</i> ) A translation of the international application into a language referred to in paragraph (a) shall include any element referred to in Article 11(1)(iii)(d) or (e) furnished by the applicant under Rule 20.3(b) or 20.6(a) and any part of the description, claims or drawings furnished by the applicant under Rule 20.5(b) or 20.6(a) which is considered to have been contained in the international application under Rule 20.6(b).  (a- <i>ter</i> ) The International Preliminary Examining Authority shall check any translation furnished under paragraph (a) for compliance with the physical requirements referred to in Rule 11 to the extent that compliance therewith is necessary for the purposes of the international preliminary examination.
From January 1, 1993 to June 30, 1998	(b) Where a translation of the international application into a language referred to in paragraph (a) was transmitted to the International Searching Authority under Rule 12.1(c) and the International Preliminary Examining Authority is part of the same national Office or intergovernmental organization as the International Searching Authority, the applicant need not furnish a translation under paragraph (a). In such a case, unless the applicant furnishes a translation under paragraph (a), the international preliminary examination shall be carried out on the basis of the translation transmitted under Rule 12.1(c).
Since July 1, 1998	(b) Where a translation of the international application into a language referred to in paragraph (a) was transmitted to the International Searching Authority under Rule 23.1(b) and the International Preliminary Examining Authority is part of the same national Office or intergovernmental organization as the International Searching Authority, the applicant need not furnish a translation under paragraph (a). In such a case, unless the applicant furnishes a translation under paragraph (a), the international preliminary examination shall be carried out on the basis of the translation transmitted under Rule 23.1(b).

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1993 to March 31, 2007	(c) If the requirement of paragraph (a) is not complied with and paragraph (b) does not apply, the International Preliminary Examining Authority shall invite the applicant to furnish the required translation within a time limit which shall be reasonable under the circumstances. That time limit shall not be less than one month from the date of the invitation. It may be extended by the International Preliminary Examining Authority at any time before a decision is taken.
Since April 1, 2007	(c) If a requirement referred to in paragraphs (a), (a-bis) and (a-ter) is not complied with and paragraph (b) does not apply, the International Preliminary Examining Authority shall invite the applicant to furnish the required translation or the required correction, as the case may be, within a time limit which shall be reasonable under the circumstances. That time limit shall not be less than one month from the date of the invitation. It may be extended by the International Preliminary Examining Authority at any time before a decision is taken.
From January 1, 1993 to June 30, 1998	(d) If the applicant complies with the invitation within the time limit under paragraph (c), the said requirement shall be considered to have been complied with. If the applicant fails to do so, the demand shall be considered not to have been submitted.
From July 1, 1998 to March 31, 2007	(d) If the applicant complies with the invitation within the time limit under paragraph (c), the said requirement shall be considered to have been complied with. If the applicant fails to do so, the demand shall be considered not to have been submitted and the International Preliminary Examining Authority shall so declare.
Since April 1, 2007	(d) If the applicant complies with the invitation within the time limit under paragraph (c), the said requirement shall be considered to have been complied with. If the applicant fails to do so, the demand shall be considered not to have been submitted and the International Preliminary Examining Authority shall so declare.
From January 1, 1993 to June 30, 1998	(e) Paragraphs (a) to (d) shall apply only where the International Preliminary Examining Authority has declared, in a notification addressed to the International Bureau, that it accepts to carry out international preliminary examination on the basis of the translation referred to in those paragraphs.
Since July 1, 1998	(e) [Deleted]

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From January 1, 1993 to June 30, 2011	55.3	<i>Translation of Amendments</i>
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Since July 1, 2011	55.3	<i>Languages and Translation of Amendments and Letters</i>
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From January 1, 1993 to June 30, 2011	(a)	Where a translation of the international application is required under Rule 55.2, any amendments which are referred to in the statement concerning amendments under Rule 53.9 and which the applicant wishes to be taken into account for the purposes of the international preliminary examination, and any amendments under Article 19 which are to be taken into account under Rule 66.1(c), shall be in the language of that translation. Where such amendments have been or are filed in another language, a translation shall also be furnished.
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Since July 1, 2011	(a)	Subject to paragraph (b), if the international application has been filed in a language other than the language in which it is published, any amendment under Article 34, as well as any letter referred to in Rule 66.8(a), Rule 66.8(b) and Rule 46.5(b) as applicable by virtue of Rule 66.8(c), shall be submitted in the language of publication.
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From January 1, 1993 to June 30, 2011	(b)	Where the required translation of an amendment referred to in paragraph (a) is not furnished, the International Preliminary Examining Authority shall invite the applicant to furnish the missing translation within a time limit which shall be reasonable under the circumstances. That time limit shall not be less than one month from the date of the invitation. It may be extended by the International Preliminary Examining Authority at any time before a decision is taken.
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Since July 1, 2011

(b) Where a translation of the international application is required under Rule 55.2:

(i) any amendment and any letter referred to in paragraph (a); and

(ii) any amendment under Article 19 which is to be taken into account under Rule 66.1(c) or (d) and any letter referred to in Rule 46.5(b);

shall be in the language of that translation. Where such amendments or letters have been or are submitted in another language, a translation shall also be submitted.

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From January 1, 1993 to June 30, 2011

(c) If the applicant fails to comply with the invitation within the time limit under paragraph (b), the amendment shall not be taken into account for the purposes of the international preliminary examination.

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Since July 1, 2011

(c) If an amendment or letter is not submitted in a language as required under paragraph (a) or (b), the International Preliminary Examining Authority shall invite the applicant to submit the amendment or letter in the required language within a time limit which shall be reasonable under the circumstances. That time limit shall not be less than one month from the date of the invitation. It may be extended by the International Preliminary Examining Authority at any time before a decision is taken.

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Since July 1, 2011

(d) If the applicant fails to comply, within the time limit under paragraph (c), with the invitation to furnish an amendment in the required language, the amendment shall not be taken into account for the purposes of the international preliminary examination. If the applicant fails to comply, within the time limit under paragraph (c), with the invitation to furnish a letter referred to in paragraph (a) in the required language, the amendment concerned need not be taken into account for the purposes of the international preliminary examination.

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From June 19,  
1970 to  
December 31,  
2003

Rule 56  
Later Elections

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From June 19,  
1970 to  
December 31,  
2003

56.1 *Elections Submitted Later than the Demand*

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From June 19,  
1970 to  
June 30, 1992

The election of States not named in the demand shall be effected by a notice signed and submitted by the applicant, and shall identify the international application and the demand.

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From July 1,  
1992 to  
December 31,  
2003

(a) The election of States subsequent to the submission of the demand (“later election”) shall be effected by a notice submitted to the International Bureau. The notice shall identify the international application and the demand, and shall include an indication as referred to in Rule 53.7(b)(ii).

(b) Subject to paragraph (c), the notice referred to in paragraph (a) shall be signed by the applicant for the elected States concerned or, if there is more than one applicant for those States, by all of them.

(c) Where two or more applicants file a notice effecting a later election of a State whose national law requires that national applications be filed by the inventor and where an applicant for that elected State who is an inventor refused to sign the notice or could not be found or reached after diligent effort, the notice need not be signed by that applicant (“the applicant concerned”) if it is signed by at least one applicant and

(i) a statement is furnished explaining, to the satisfaction of the International Bureau, the lack of signature of the applicant concerned, or

(ii) the applicant concerned did not sign the request but the requirements of Rule 4.15(b) were complied with, or did not sign the demand but the requirements of Rule 53.8(b) were complied with.

(d) An applicant for a State elected by a later election need not have been indicated as an applicant in the demand.

(e) If a notice effecting a later election is submitted after the expiration of 19 months from the priority date, the International Bureau shall notify the applicant that the election does not have the effect provided for under Article 39(1)(a) and that the acts referred to in Article 22 must be performed in respect of the elected Office concerned within the time limit applicable under Article 22.

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(f) If, notwithstanding paragraph (a), a notice effecting a later election is submitted by the applicant to the International Preliminary Examining Authority rather than the International Bureau, that Authority shall mark the date of receipt on the notice and transmit it promptly to the International Bureau. The notice shall be considered to have been submitted to the International Bureau on the date marked.

From June 19, 1970 to December 31, 2003	56.2 <i>Identification of the International Application</i> The international application shall be identified as provided in Rule 53.6.
From June 19, 1970 to December 31, 2003	56.3 <i>Identification of the Demand</i> The demand shall be identified by the date on which it was submitted and by the name of the International Preliminary Examining Authority to which it was submitted.
From June 19, 1970 to December 31, 2003	56.4 <i>Form of Later Elections</i>
From June 19, 1970 to June 30, 1992	The later election shall preferably be made on a printed form furnished free of charge to applicants. If it is not made on such a form, it shall preferably be worded as follows: "In relation to the international application filed with ... on ... under No. ... by ... (applicant) (and the demand for international preliminary examination submitted on ... to ...), the undersigned elects the following additional State(s) under Article 31 of the Patent Cooperation Treaty: ..."
From July 1, 1992 to December 31, 2003	The notice effecting the later election shall preferably be worded as follows: "In relation to the international application filed with ... on ... under No. ... by ... (applicant) (and the demand for international preliminary examination submitted on ... to ...), the undersigned elects the following additional State(s) under Article 31 of the Patent Cooperation Treaty: ..."
From June 19, 1970 to December 31, 2003	56.5 <i>Language of Later Elections</i> The later election shall be in the language of the demand.
Since January 1, 2004	Rule 56 [Deleted]

History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since June 19, 1970		Rule 57 The Handling Fee
Since June 19, 1970	57.1	<i>Requirement to Pay</i>
From June 19, 1970 to July 31, 1979		Each demand for international preliminary examination shall be subject to the payment of a fee for the benefit of the International Bureau (“handling fee”).
From August 1, 1979 to June 30, 1998		(a) Each demand for international preliminary examination shall be subject to the payment of a fee for the benefit of the International Bureau (“handling fee”) to be collected by the International Preliminary Examining Authority to which the demand is submitted.
Since July 1, 1998		Each demand for international preliminary examination shall be subject to the payment of a fee for the benefit of the International Bureau (“handling fee”) to be collected by the International Preliminary Examining Authority to which the demand is submitted.
From August 1, 1979 to June 30, 1992		(b) Where, because of a later election or elections, the international preliminary examination report must, in application of Article 36(2), be translated by the International Bureau into one or more additional languages, a “supplement to the handling fee” shall be collected by the International Bureau.
Since July 1, 1992		(b) [Deleted]
From June 19, 1970 to July 31, 1979	57.2	<i>Amount</i>
From August 1, 1979 to June 30, 1992	57.2	<i>Amounts of the Handling Fee and the Supplement to the Handling Fee</i>
Since July 1, 1992	57.2	<i>Amount</i>
From June 19, 1970 to April 13, 1978		(a) The amount of the handling fee shall be US \$14.00 or 60 Swiss francs augmented by as many times the same amount as the number of languages into which the international preliminary examination report must, in application of Article 36(2), be translated by the International Bureau.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From April 14, 1978 to October 2, 1978	(a) The amount of the handling fee shall be US \$50.00 or 96 Swiss francs augmented by as many times the same amount as the number of languages into which the international preliminary examination report must, in application of Article 36(2), be translated by the International Bureau.
From October 3, 1978 to July 31, 1979	(a) The amount of the handling fee shall be US \$50.00 or 75 Swiss francs augmented by as many times the same amount as the number of languages into which the international preliminary examination report must, in application of Article 36(2), be translated by the International Bureau.
From August 1, 1979 to June 30, 1992	(a) The amount of the handling fee is as set out in the Schedule of Fees. The amount payable in any particular case shall be the amount as so set out, increased by as many times the same amount as the number of languages into which the international preliminary examination report must, in application of Article 36(2), be translated by the International Bureau.
Since July 1, 1992	(a) The amount of the handling fee is as set out in the Schedule of Fees.
From June 19, 1970 to April 13, 1978	(b) Where, because of a later election or elections, the international preliminary examination report must, in application of Article 36(2), be translated by the International Bureau into one or more additional languages, a supplement to the handling fee shall be payable and shall amount to US \$14.00 or 60 Swiss francs for each additional language.
From April 14, 1978 to October 2, 1978	(b) Where, because of a later election or elections, the international preliminary examination report must, in application of Article 36(2), be translated by the International Bureau into one or more additional languages, a supplement to the handling fee shall be payable and shall amount to US \$50.00 or 96 Swiss francs for each additional language.
From October 3, 1978 to July 31, 1979	(b) Where, because of a later election or elections, the international preliminary examination report must, in application of Article 36(2), be translated by the International Bureau into one or more additional languages, a supplement to the handling fee shall be payable and shall amount to US \$50.00 or 75 Swiss francs for each additional language.
From August 1, 1979 to June 30, 1992	(b) The amount of the supplement to the handling fee is as set out in the Schedule of Fees. The amount payable in any particular case shall be the amount as so set out, multiplied by the number of additional languages referred to in Rule 57.1(b).

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1992 to June 30, 2010	(b) [Deleted]
Since July 1, 2010	(b) The handling fee shall be payable in the currency or one of the currencies prescribed by the International Preliminary Examining Authority (“prescribed currency”).
From August 1, 1979 to June 30, 1998	(c) The amount of the handling fee shall be established, for each International Preliminary Examining Authority which, under Rule 57.3(c), prescribes the payment of the handling fee in a currency or currencies other than Swiss currency, by the Director General after consultation with that Authority and in the currency or currencies prescribed by that Authority (“prescribed currency”). The amount in each prescribed currency shall be the equivalent, in round figures, of the amount of the handling fee in Swiss currency set out in the Schedule of Fees. The amounts in the prescribed currencies shall be published in the Gazette.
From July 1, 1998 to June 30, 2010	(c) The handling fee shall be payable in the currency or one of the currencies prescribed by the International Preliminary Examining Authority (“prescribed currency”), it being understood that, when transferred by that Authority to the International Bureau, it shall be freely convertible into Swiss currency. The amount of the handling fee shall be established, in each prescribed currency, for each International Preliminary Examining Authority which prescribes the payment of the handling fee in any currency other than Swiss currency, by the Director General after consultation with the Office with which consultation takes place under Rule 15.2(b) in relation to that currency, or, if there is no such Office, with the Authority which prescribes payment in that currency. The amount so established shall be the equivalent, in round figures, of the amount in Swiss currency set out in the Schedule of Fees. It shall be notified by the International Bureau to each International Preliminary Examining Authority prescribing payment in that prescribed currency and shall be published in the Gazette.
Since July 1, 2010	(c) Where the prescribed currency is the Swiss franc, the Authority shall promptly transfer the said fee to the International Bureau in Swiss francs.
From August 1, 1979 to June 30, 2010	(d) Where the amount of the handling fee set out in the Schedule of Fees is changed, the corresponding amounts in the prescribed currencies shall be applied from the same date as the amount set out in the amended Schedule of Fees.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since July 1, 2010	(d) Where the prescribed currency is a currency other than the Swiss franc and that currency:
	(i) is freely convertible into Swiss francs, the Director General shall establish, for each Authority which prescribes such a currency for the payment of the handling fee, an equivalent amount of that fee in the prescribed currency according to directives given by the Assembly, and the amount in that currency shall promptly be transferred by the Authority to the International Bureau;
	(ii) is not freely convertible into Swiss francs, the Authority shall be responsible for the conversion of the handling fee from the prescribed currency into Swiss francs and shall promptly transfer that fee in Swiss francs, in the amount set out in the Schedule of Fees, to the International Bureau. Alternatively, if the Authority so wishes, it may convert the handling fee from the prescribed currency into euros or US dollars and promptly transfer the equivalent amount of that fee in euros or US dollars, as established by the Director General according to directives given by the Assembly as referred to in item (i), to the International Bureau.
From August 1, 1979 to June 30, 2010	(e) Where the exchange rate between Swiss currency and any prescribed currency becomes different from the exchange rate last applied, the Director General shall establish the new amount in the prescribed currency according to directives given by the Assembly. The newly established amount shall become applicable two months after its publication in the Gazette, provided that the interested International Preliminary Examining Authority and the Director General may agree on a date falling during the said two-month period in which case the said amount shall become applicable for that Authority from that date.
Since July 1, 2010	(e) [Deleted]
From June 19, 1970 to July 31, 1979	57.3 <i>Mode and Time of Payment</i>
From August 1, 1979 to June 30, 1998	57.3 <i>Time and Mode of Payment</i>
Since July 1, 1998	57.3 <i>Time Limit for Payment; Amount Payable</i>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to July 31, 1979	(a) Subject to paragraph (b), the handling fee shall be collected by the International Preliminary Examining Authority to which the demand is submitted and shall be due at the time the demand is submitted.
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From August 1, 1979 to June 30, 1998	(a) The handling fee shall be due at the time the demand is submitted.
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From July 1, 1998 to December 31, 2003	The handling fee shall be paid within one month from the date on which the demand was submitted, provided that, where the demand was transmitted to the International Preliminary Examining Authority under Rule 59.3, the handling fee shall be paid within one month from the date of receipt by that Authority. The amount payable shall be the amount applicable on that date of submittal or date of receipt, as the case may be. For the purposes of the preceding two sentences, Rule 59.3(e) shall not apply.
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Since January 1, 2004	(a) Subject to paragraphs (b) and (c), the handling fee shall be paid within one month from the date on which the demand was submitted or 22 months from the priority date, whichever expires later.
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From June 19, 1970 to July 31, 1979	(b) Any supplement to the handling fee under Rule 57.2(b) shall be collected by the International Bureau and shall be due at the time the later election is submitted.
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From August 1, 1979 to June 30, 1992	(b) Any supplement to the handling fee shall be due at the time the later election is submitted.
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From July 1, 1992 to December 31, 2003	(b) [Deleted]
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Since January 1, 2004	(b) Subject to paragraph (c), where the demand was transmitted to the International Preliminary Examining Authority under Rule 59.3, the handling fee shall be paid within one month from the date of receipt by that Authority or 22 months from the priority date, whichever expires later.
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From June 19, 1970 to July 31, 1979	(c) The handling fee shall be payable in the currency prescribed by the International Preliminary Examining Authority to which the demand is submitted, it being understood that, when transferred by that Authority to the International Bureau, it shall be freely convertible into Swiss currency.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From August 1, 1979 to June 30, 1998	(c) The handling fee shall be payable in the currency or currencies prescribed by the International Preliminary Examining Authority to which the demand is submitted, it being understood that, when transferred by that Authority to the International Bureau, it shall be freely convertible into Swiss currency.
From July 1, 1998 to December 31, 2003	(c) [Deleted]
Since January 1, 2004	(c) Where, in accordance with Rule 69.1(b), the International Preliminary Examining Authority wishes to start the international preliminary examination at the same time as the international search, that Authority shall invite the applicant to pay the handling fee within one month from the date of the invitation.
From June 19, 1970 to June 30, 1992	(d) Any supplement to the handling fee shall be payable in Swiss currency.
From July 1, 1992 to December 31, 2003	(d) [Deleted]
Since January 1, 2004	(d) The amount of the handling fee payable shall be the amount applicable on the date of payment.
From June 19, 1970 to June 30, 1992	57.4 <i>Failure to Pay (Handling Fee)</i>
From July 1, 1992 to June 30, 1998	57.4 <i>Failure to Pay</i>
From June 19, 1970 to July 31, 1979	(a) Where the handling fee is not paid as required by Rules 57.2(a) and 57.3(a) and (c), the International Preliminary Examining Authority shall invite the applicant to pay the fee within one month from the date of the invitation.
From August 1, 1979 to June 30, 1998	(a) Where the handling fee is not paid as required, the International Preliminary Examining Authority shall invite the applicant to pay the fee within one month from the date of the invitation.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

From June 19, 1970 to July 31, 1979	(b) If the applicant complies with the invitation within the prescribed time limit, the demand shall be considered as if it had been received on the date on which the International Preliminary Examining Authority receives the fee, unless, under Rule 60.1(b), a later date is applicable.
From August 1, 1979 to September 30, 1980	(b) If the applicant complies with the invitation within the prescribed time limit, the demand shall be considered as if it had been received on the date on which the International Preliminary Examining Authority receives the fee, unless, under Rule 60.1(b), a later date is applicable.
From October 1, 1980 to June 30, 1998	(b) If the applicant complies with the invitation within the one-month time limit, the handling fee shall be considered as if it had been paid on the due date.
From June 19, 1970 to July 31, 1979	(c) If the applicant does not comply with the invitation within the prescribed time limit, the demand shall be considered as if it had not been submitted.
From August 1, 1979 to June 30, 1998	(c) If the applicant does not comply with the invitation within the prescribed time limit, the demand shall be considered as if it had not been submitted.
From July 1, 1998 to June 30, 2010	57.4 <i>[Deleted]</i>
Since July 1, 2010	57.4 <i>Refund</i> The International Preliminary Examining Authority shall refund the handling fee to the applicant: (i) if the demand is withdrawn before the demand has been sent by that Authority to the International Bureau, or (ii) if the demand is considered, under Rule 54.4 or 54bis.1(b), not to have been submitted.
From June 19, 1970 to June 30, 1992	57.5 <i>Failure to Pay (Supplement to the Handling Fee)</i>
From June 19, 1970 to July 31, 1979	(a) Where the supplement to the handling fee is not paid as required by Rules 57.2(b) and 57.3(b) and (d), the International Bureau shall invite the applicant to pay the supplement within one month from the invitation.
From August 1, 1979 to June 30, 1992	(a) Where the supplement to the handling fee is not paid as required, the International Bureau shall invite the applicant to pay the supplement within one month from the date of the invitation.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

From June 19, 1970 to September 30, 1980	(b) If the applicant complies with the invitation within the prescribed time limit, the later election shall be considered as if it had been received on the date on which the International Bureau receives the supplement, unless, under Rule 60.2(b), a later date is applicable.
From October 1, 1980 to June 30, 1992	(b) If the applicant complies with the invitation within the one-month time limit, the supplement to the handling fee shall be considered as if it had been paid on the due date.
From June 19, 1970 to June 30, 1992	(c) If the applicant does not comply with the invitation within the prescribed time limit, the later election shall be considered as if it had not been submitted.
Since July 1, 1992	57.5 <i>[Deleted]</i>
From June 19, 1970 to June 30, 2010	57.6 <i>Refund</i>
Since July 1, 2010	57.6 <i>[Deleted]</i>
From June 19, 1970 to July 31, 1979	In no case shall the handling fee, including any supplement thereto, be refunded.
From August 1, 1979 to June 30, 1992	In no case shall the handling fee, or the supplement to the handling fee, be refunded.
From July 1, 1992 to June 30, 2010	The International Preliminary Examining Authority shall refund the handling fee to the applicant: (i) if the demand is withdrawn before the demand has been sent by that Authority to the International Bureau, or
From July 1, 1992 to June 30, 1998	(ii) if the demand is considered, under Rule 54.4(a), not to have been submitted
From July 1, 1998 to December 31, 2003	(ii) if the demand is considered, under Rule 54.4, not to have been submitted.
From January 1, 2004 to June 30, 2010	(ii) if the demand is considered, under Rule 54.4 or 54bis.1(b), not to have been submitted.

Since June 19, 1970	Rule 58 The Preliminary Examination Fee
Since June 19, 1970	<p>58.1 <i>Right to Ask for a Fee</i></p> <p>(a) Each International Preliminary Examining Authority may require that the applicant pay a fee (“preliminary examination fee”) for its own benefit for carrying out the international preliminary examination and for performing all other tasks entrusted to International Preliminary Examining Authorities under the Treaty and these Regulations.</p>
From June 19, 1970 to June 30, 1998	<p>(b) The amount and the due date of the preliminary examination fee, if any, shall be fixed by the International Preliminary Examining Authority, provided that the said due date shall not be earlier than the due date of the handling fee.</p>
Since July 1, 1998	<p>(b) The amount of the preliminary examination fee, if any, shall be fixed by the International Preliminary Examining Authority. As to the time limit for payment of the preliminary examination fee and the amount payable, the provisions of Rule 57.3 relating to the handling fee shall apply <i>mutatis mutandis</i>.</p>
Since June 19, 1970	<p>(c) The preliminary examination fee shall be payable directly to the International Preliminary Examining Authority. Where that Authority is a national Office, it shall be payable in the currency prescribed by that Office, and where the Authority is an intergovernmental organization, it shall be payable in the currency of the State in which the intergovernmental organization is located or in any other currency which is freely convertible into the currency of the said State.</p>
From April 14, 1978 to June 30, 1998	<p>58.2 <i>Failure to Pay</i></p> <p>(a) Where the preliminary examination fee fixed by the International Preliminary Examining Authority under Rule 58.1(b) is not paid as required under that Rule, the International Preliminary Examining Authority shall invite the applicant to pay the fee or the missing part thereof within one month from the date of the invitation.</p> <p>(b) If the applicant complies with the invitation within the prescribed time limit, the preliminary examination fee will be considered as if it had been paid on the due date.</p> <p>(c) If the applicant does not comply with the invitation within the prescribed time limit, the demand shall be considered as if it had not been submitted.</p>
Since July 1, 1998	<p>58.2 <i>[Deleted]</i></p>

Since April 14, 1978	58.3 <i>Refund</i>
From April 14, 1978 to December 31, 1984	The International Preliminary Examining Authorities shall inform the International Bureau of the extent, if any, to which, and the conditions, if any, under which, they will refund any amount paid as a preliminary examination fee where the demand is considered as if it had not been submitted under Rule 57.4(c), Rule 58.2(c) or Rule 60.1(c), and the International Bureau shall promptly publish such information.
Since January 1, 1985	The International Preliminary Examining Authorities shall inform the International Bureau of the extent, if any, to which, and the conditions, if any, under which, they will refund any amount paid as a preliminary examination fee where the demand is considered as if it had not been submitted, and the International Bureau shall promptly publish such information.
Since July 1, 1998	<p style="text-align: center;">Rule 58<i>bis</i> Extension of Time Limits for Payment of Fees</p>
Since July 1, 1998	58 <i>bis</i> .1 <i>Invitation by the International Preliminary Examining Authority</i>
From July 1, 1998 to December 31, 2003	(a) Where, by the time they are due under Rules 57.3 and 58.1(b), the International Preliminary Examining Authority finds that no fees were paid to it, or that the amount paid to it is insufficient to cover the handling fee and the preliminary examination fee, the Authority shall invite the applicant to pay to it the amount required to cover those fees, together with, where applicable, the late payment fee under Rule 58 <i>bis</i> .2, within a time limit of one month from the date of the invitation.
Since January 1, 2004	<p>(a) Where the International Preliminary Examining Authority finds:</p> <ul style="list-style-type: none"> <li>(i) that the amount paid to it is insufficient to cover the handling fee and the preliminary examination fee; or</li> <li>(ii) by the time they are due under Rules 57.3 and 58.1(b), that no fees were paid to it;</li> </ul> <p>the Authority shall invite the applicant to pay to it the amount required to cover those fees, together with, where applicable, the late payment fee under Rule 58<i>bis</i>.2, within a time limit of one month from the date of the invitation.</p>

Since July 1,  
1998

(b) Where the International Preliminary Examining Authority has sent an invitation under paragraph (a) and the applicant has not, within the time limit referred to in that paragraph, paid in full the amount due, including, where applicable, the late payment fee under Rule 58*bis*.2, the demand shall, subject to paragraph (c), be considered as if it had not been submitted and the International Preliminary Examining Authority shall so declare.

(c) Any payment received by the International Preliminary Examining Authority before that Authority sends the invitation under paragraph (a) shall be considered to have been received before the expiration of the time limit under Rule 57.3 or 58.1(b), as the case may be.

(d) Any payment received by the International Preliminary Examining Authority before that Authority proceeds under paragraph (b) shall be considered to have been received before the expiration of the time limit under paragraph (a).

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Since July 1,  
1998

*58bis.2 Late Payment Fee*

(a) The payment of fees in response to an invitation under Rule 58*bis*.1(a) may be subjected by the International Preliminary Examining Authority to the payment to it, for its own benefit, of a late payment fee. The amount of that fee shall be:

(i) 50% of the amount of unpaid fees which is specified in the invitation, or,

(ii) if the amount calculated under item (i) is less than the handling fee, an amount equal to the handling fee.

(b) The amount of the late payment fee shall not, however, exceed double the amount of the handling fee.

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Since June 19, 1970	<p style="text-align: center;">Rule 59</p> <p>The Competent International Preliminary Examining Authority</p>
Since June 19, 1970	<p>59.1 <i>Demands under Article 31(2)(a)</i></p>
From June 19, 1970 to June 30, 1992	<p>For demands made under Article 31(2)(a), each Contracting State bound by the provisions of Chapter II shall, in accordance with the terms of the applicable agreement referred to in Article 32(2) and (3), inform the International Bureau which International Preliminary Examining Authority is or which International Preliminary Examining Authorities are competent for the international preliminary examination of international applications filed with its national Office, or, in the case provided for in Rule 19.1(b), with the national Office of another State or an intergovernmental organization acting for the former Office, and the International Bureau shall promptly publish such information. Where several International Preliminary Examining Authorities are competent, the provisions of Rule 35.2 shall apply <i>mutatis mutandis</i>.</p>
From July 1, 1992 to December 31, 1993	<p>For demands made under Article 31(2)(a), each receiving Office of or acting for a Contracting State bound by the provisions of Chapter II shall, in accordance with the terms of the applicable agreement referred to in Article 32(2) and (3), inform the International Bureau which International Preliminary Examining Authority is or which International Preliminary Examining Authorities are competent for the international preliminary examination of international applications filed with it. The International Bureau shall promptly publish such information. Where several International Preliminary Examining Authorities are competent, the provisions of Rule 35.2 shall apply <i>mutatis mutandis</i>.</p>
Since January 1, 1994	<p>(a) For demands made under Article 31(2)(a), each receiving Office of or acting for a Contracting State bound by the provisions of Chapter II shall, in accordance with the terms of the applicable agreement referred to in Article 32(2) and (3), inform the International Bureau which International Preliminary Examining Authority is or which International Preliminary Examining Authorities are competent for the international preliminary examination of international applications filed with it. The International Bureau shall promptly publish such information. Where several International Preliminary Examining Authorities are competent, the provisions of Rule 35.2 shall apply <i>mutatis mutandis</i>.</p>

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(b) Where the international application was filed with the International Bureau as receiving Office under Rule 19.1(a)(iii), Rule 35.3(a) and (b) shall apply *mutatis mutandis*. Paragraph (a) of this Rule shall not apply to the International Bureau as receiving Office under Rule 19.1(a)(iii).

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Since June 19, 1970      59.2      *Demands under Article 31(2)(b)*

As to demands made under Article 31(2)(b), the Assembly, in specifying the International Preliminary Examining Authority competent for international applications filed with a national Office which is an International Preliminary Examining Authority, shall give preference to that Authority; if the national Office is not an International Preliminary Examining Authority, the Assembly shall give preference to the International Preliminary Examining Authority recommended by that Office.

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From July 1, 1998 to December 31, 2003      59.3      *Transmittal of Demand to the Competent International Preliminary Examining Authority*

Since January 1, 2004      59.3      *Transmittal of the Demand to the Competent International Preliminary Examining Authority*

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Since July 1, 1998

(a) If the demand is submitted to a receiving Office, an International Searching Authority, or an International Preliminary Examining Authority which is not competent for the international preliminary examination of the international application, that Office or Authority shall mark the date of receipt on the demand and, unless it decides to proceed under paragraph (f), transmit the demand promptly to the International Bureau.

(b) If the demand is submitted to the International Bureau, the International Bureau shall mark the date of receipt on the demand.

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Since July 1, 1998

(c) Where the demand is transmitted to the International Bureau under paragraph (a) or submitted to it under paragraph (b), the International Bureau shall promptly:

(i) if there is only one competent International Preliminary Examining Authority, transmit the demand to that Authority and inform the applicant accordingly, or

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From July 1, 1998 to December 31, 2003	(ii) if two or more International Preliminary Examining Authorities are competent, invite the applicant to indicate, within 15 days from the date of the invitation or 19 months from the priority date, whichever is later, the competent International Preliminary Examining Authority to which the demand should be transmitted.
Since January 1, 2004	(ii) if two or more International Preliminary Examining Authorities are competent, invite the applicant to indicate, within the time limit applicable under Rule 54bis.1(a) or 15 days from the date of the invitation, whichever is later, the competent International Preliminary Examining Authority to which the demand should be transmitted.
Since July 1, 1998	(d) Where an indication is furnished as required under paragraph (c)(ii), the International Bureau shall promptly transmit the demand to the competent International Preliminary Examining Authority indicated by the applicant. Where no indication is so furnished, the demand shall be considered not to have been submitted and the International Bureau shall so declare.  (e) Where the demand is transmitted to a competent International Preliminary Examining Authority under paragraph (c), it shall be considered to have been received on behalf of that Authority on the date marked on it under paragraph (a) or (b), as applicable, and the demand so transmitted shall be considered to have been received by that Authority on that date.
	(f) Where an Office or Authority to which the demand is submitted under paragraph (a) decides to transmit that demand directly to the competent International Preliminary Examining Authority, paragraphs (c) to (e) shall apply <i>mutatis mutandis</i> .
From June 19, 1970 to December 31, 2003	Rule 60 Certain Defects in the Demand or Elections
Since January 1, 2004	Rule 60 Certain Defects in the Demand
Since June 19, 1970	60.1 <i>Defects in the Demand</i>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to June 30, 1992	(a) If the demand does not comply with the requirements specified in Rules 53 and 55, the International Preliminary Examining Authority shall invite the applicant to correct the defects within one month from the date of the invitation.
From July 1, 1992 to December 31, 1992	(a) If the demand does not comply with the requirements specified in Rules 53.1, 53.2(a)(i) to (iv), 53.2(b), 53.3 to 53.8 and 55, the International Preliminary Examining Authority shall invite the applicant to correct the defects within a time limit which shall be reasonable under the circumstances. That time limit shall not be less than one month from the date of the invitation. It may be extended by the International Preliminary Examining Authority at any time before a decision is taken.
From January 1, 1993 to December 31, 2003	(a) If the demand does not comply with the requirements specified in Rules 53.1, 53.2(a)(i) to (iv), 53.2(b), 53.3 to 53.8 and 55.1, the International Preliminary Examining Authority shall invite the applicant to correct the defects within a time limit which shall be reasonable under the circumstances. That time limit shall not be less than one month from the date of the invitation. It may be extended by the International Preliminary Examining Authority at any time before a decision is taken.
Since January 1, 2004	(a) Subject to paragraphs (a-bis) and (a-ter), if the demand does not comply with the requirements specified in Rules 53.1, 53.2(a)(i) to (iii), 53.2(b), 53.3 to 53.8 and 55.1, the International Preliminary Examining Authority shall invite the applicant to correct the defects within a time limit which shall be reasonable under the circumstances. That time limit shall not be less than one month from the date of the invitation. It may be extended by the International Preliminary Examining Authority at any time before a decision is taken.
	(a-bis) For the purposes of Rule 53.4, if there are two or more applicants, it shall be sufficient that the indications referred to in Rule 4.5(a)(ii) and (iii) be provided in respect of one of them who has the right according to Rule 54.2 to make a demand.
	(a-ter) For the purposes of Rule 53.8, if there are two or more applicants, it shall be sufficient that the demand be signed by one of them.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to September 30, 1980	(b) If the applicant complies with the invitation within the prescribed time limit, the demand shall be considered as if it had been received on the date on which the International Preliminary Examining Authority receives the correction, or, when the handling fee is received under Rule 57.4(b) at a later date, on that date.
From October 1, 1980 to June 30, 1992	(b) If the applicant complies with the invitation within the prescribed time limit, the demand shall be considered as if it had been received on the actual filing date, provided that the demand as submitted contained at least one election and permitted the international application to be identified; otherwise the demand shall be considered as if it had been received on the date on which the International Preliminary Examining Authority receives the correction.
From July 1, 1992 to December 31, 2003	(b) If the applicant complies with the invitation within the time limit under paragraph (a), the demand shall be considered as if it had been received on the actual filing date, provided that the demand as submitted contained at least one election and permitted the international application to be identified; otherwise, the demand shall be considered as if it had been received on the date on which the International Preliminary Examining Authority receives the correction.
Since January 1, 2004	(b) If the applicant complies with the invitation within the time limit under paragraph (a), the demand shall be considered as if it had been received on the actual filing date, provided that the demand as submitted permitted the international application to be identified; otherwise, the demand shall be considered as if it had been received on the date on which the International Preliminary Examining Authority receives the correction.
From June 19, 1970 to June 30, 1992	(c) If the applicant does not comply with the invitation within the prescribed time limit, the demand shall be considered as if it had not been submitted.
From July 1, 1992 to June 30, 1998	(c) Subject to paragraph (d), if the applicant does not comply with the invitation within the time limit under paragraph (a), the demand shall be considered as if it had not been submitted.
From July 1, 1998 to December 31, 2003	(c) Subject to paragraph (d), if the applicant does not comply with the invitation within the time limit under paragraph (a), the demand shall be considered as if it had not been submitted and the International Preliminary Examining Authority shall so declare.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since January 1, 2004	(c) If the applicant does not comply with the invitation within the time limit under paragraph (a), the demand shall be considered as if it had not been submitted and the International Preliminary Examining Authority shall so declare.
From June 19, 1970 to June 30, 1992	(d) If the defect is noticed by the International Bureau, it shall bring the defect to the attention of the International Preliminary Examining Authority, which shall then proceed as provided in paragraphs (a) to (c).
From July 1, 1992 to December 31, 2003	(d) Where, after the expiration of the time limit under paragraph (a), a signature required under Rule 53.8 or a prescribed indication is lacking in respect of an applicant for a certain elected State, the election of that State shall be considered as if it had not been made.
Since January 1, 2004	(d) [Deleted]
From July 1, 1992 to December 31, 2003	(e) If the defect is noticed by the International Bureau, it shall bring the defect to the attention of the International Preliminary Examining Authority, which shall then proceed as provided in paragraphs (a) to (d).
Since January 1, 2004	(e) If the defect is noticed by the International Bureau, it shall bring the defect to the attention of the International Preliminary Examining Authority, which shall then proceed as provided in paragraphs (a) to (c).
Since July 1, 1992	(f) If the demand does not contain a statement concerning amendments, the International Preliminary Examining Authority shall proceed as provided for in Rules 66.1 and 69.1(a) or (b).  (g) Where the statement concerning amendments contains an indication that amendments under Article 34 are submitted with the demand (Rule 53.9(c)) but no such amendments are, in fact, submitted, the International Preliminary Examining Authority shall invite the applicant to submit the amendments within a time limit fixed in the invitation and shall proceed as provided for in Rule 69.1(e).
From June 19, 1970 to December 31, 2003	60.2 <i>Defects in Later Elections</i>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to June 30, 1992	(a) If the later election does not comply with the requirements of Rule 56, the International Bureau shall invite the applicant to correct the defects within one month from the date of the invitation.
From July 1, 1992 to December 31, 2003	(a) If the notice effecting a later election does not comply with the requirements of Rule 56, the International Bureau shall invite the applicant to correct the defects within a time limit which shall be reasonable under the circumstances. That time limit shall not be less than one month from the date of the invitation. It may be extended by the International Bureau at any time before a decision is taken.
From June 19, 1970 to September 30, 1980	(b) If the applicant complies with the invitation within the prescribed time limit, the later election shall be considered as if it had been received on the date on which the International Bureau receives the correction, or, where the supplement to the handling fee is received under Rule 57.5(b) at a later date, on that date.
From October 1, 1980 to June 30, 1992	(b) If the applicant complies with the invitation within the prescribed time limit, the later election shall be considered as if it had been received on the actual filing date, provided that the later election as submitted contained at least one election and permitted the international application to be identified; otherwise, the later election shall be considered as if it had been received on the date on which the International Bureau receives the correction.
From July 1, 1992 to December 31, 2003	(b) If the applicant complies with the invitation within the time limit under paragraph (a), the notice shall be considered as if it had been received on the actual filing date, provided that the notice as submitted contained at least one election and permitted the international application to be identified; otherwise, the notice shall be considered as if it had been received on the date on which the International Bureau receives the correction.
From June 19, 1970 to June 30, 1992	(c) If the applicant does not comply with the invitation within the prescribed time limit, the later election shall be considered as if it had not been submitted.
From July 1, 1992 to June 30, 1998	(c) Subject to paragraph (d), if the applicant does not comply with the invitation within the time limit under paragraph (a), the notice shall be considered as if it had not been submitted.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From July 1, 1998 to December 31, 2003	(c) Subject to paragraph (d), if the applicant does not comply with the invitation within the time limit under paragraph (a), the notice shall be considered as if it had not been submitted and the International Bureau shall so declare.
From July 1, 1992 to December 31, 2003	(d) Where, in respect of an applicant for a certain elected State, the signature required under Rule 56.1(b) and (c) or the name or address is lacking after the expiration of the time limit under paragraph (a), the later election of that State shall be considered as if it had not been made.
Since January 1, 2004	60.2 <i>[Deleted]</i>
From June 19, 1970 to December 31, 1984	60.3 <i>Attempted Elections</i> If the applicant has attempted to elect a State which is not a designated State or which is not bound by Chapter II, the attempted election shall be considered not to have been made, and the International Bureau shall notify the applicant accordingly.
Since January 1, 1985	60.3 <i>[Deleted]</i>
Since June 19, 1970	Rule 61 Notification of the Demand and Elections
From June 19, 1970 to June 30, 1992	61.1 <i>Notifications to the International Bureau, the Applicant, and the International Preliminary Examining Authority</i>
Since July 1, 1992	61.1 <i>Notification to the International Bureau and the Applicant</i>
From June 19, 1970 to December 31, 1984	(a) The International Preliminary Examining Authority shall indicate on both copies of the demand the date of receipt or, where applicable, the date referred to in Rule 60.1(b). The International Preliminary Examining Authority shall promptly send the original copy to the International Bureau. It shall keep the other copy in its files.
From January 1, 1985 to June 30, 1998	(a) The International Preliminary Examining Authority shall indicate on the demand the date of receipt or, where applicable, the date referred to in Rule 60.1(b). The International Preliminary Examining Authority shall promptly send the demand to the International Bureau, and shall prepare and keep a copy in its files.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 1998	(a) The International Preliminary Examining Authority shall indicate on the demand the date of receipt or, where applicable, the date referred to in Rule 60.1(b). The International Preliminary Examining Authority shall promptly either send the demand to the International Bureau and keep a copy in its files or send a copy to the International Bureau and keep the demand in its files.
From June 19, 1970 to April 13, 1978	(b) The International Preliminary Examining Authority shall promptly inform the applicant in writing of the date of receipt of the demand. Where the demand has been considered under Rules 57.4(c) or 60.1(c) as if it had not been submitted, the International Preliminary Examining Authority shall notify the applicant accordingly.
From April 14, 1978 to December 31, 1984	(b) The International Preliminary Examining Authority shall promptly inform the applicant in writing of the date of receipt of the demand. Where the demand has been considered under Rules 57.4(c), 58.2(c) or 60.1(c) as if it had not been submitted, the International Preliminary Examining Authority shall notify the applicant accordingly.
From January 1, 1985 to June 30, 1992	(b) The International Preliminary Examining Authority shall promptly inform the applicant in writing of the date of receipt of the demand. Where the demand has been considered under Rules 54.4(a), 57.4(c), 58.2(c) or 60.1(c) as if it had not been submitted or where an election has been considered under Rule 54.4(b) as if it has not been made, the International Preliminary Examining Authority shall notify the applicant and the International Bureau accordingly.
From July 1, 1992 to December 31, 1992	(b) The International Preliminary Examining Authority shall promptly inform the applicant in writing of the date of receipt of the demand. Where the demand has been considered under Rules 54.4(a), 57.4(c), 58.2(c) or 60.1(c) as if it had not been submitted or where an election has been considered under Rule 60.1(d) as if it had not been made, the International Preliminary Examining Authority shall notify the applicant and the International Bureau accordingly.
From January 1, 1993 to June 30, 1998	(b) The International Preliminary Examining Authority shall promptly inform the applicant in writing of the date of receipt of the demand. Where the demand has been considered under Rules 54.4(a), 55.2(d), 57.4(c), 58.2(c) or 60.1(c) as if it had not been submitted or where an election has been considered under Rule 60.1(d) as if it had not been made, the International Preliminary Examining Authority shall notify the applicant and the International Bureau accordingly.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

<p>From July 1, 1998 to December 31, 2003</p>	<p>(b) The International Preliminary Examining Authority shall promptly notify the applicant of the date of receipt of the demand. Where the demand has been considered under Rules 54.4, 55.2(d), 58<i>bis</i>.1(b) or 60.1(c) as if it had not been submitted or where an election has been considered under Rule 60.1(d) as if it had not been made, the International Preliminary Examining Authority shall notify the applicant and the International Bureau accordingly.</p>
<p>Since January 1, 2004</p>	<p>(b) The International Preliminary Examining Authority shall promptly notify the applicant of the date of receipt of the demand. Where the demand has been considered under Rules 54.4, 55.2(d), 58<i>bis</i>.1(b) or 60.1(c) as if it had not been submitted, the International Preliminary Examining Authority shall notify the applicant and the International Bureau accordingly.</p>
<p>From June 19, 1970 to June 30, 1992</p>	<p>(c) The International Bureau shall promptly notify the International Preliminary Examining Authority and the applicant of the receipt, and the date of receipt, of any later election. That date shall be the actual date of receipt by the International Bureau or, where applicable, the date referred to in Rule 60.2(b). Where the later election has been considered under Rules 57.5(c) or 60.2(c) as if it had not been submitted, the International Bureau shall notify the applicant accordingly.</p>
<p>From July 1, 1992 to December 31, 2003</p>	<p>(c) The International Bureau shall promptly notify the applicant of the receipt, and the date of receipt, of any notice effecting a later election. That date shall be the actual date of receipt by the International Bureau or, where applicable, the date referred to in Rule 56.1(f) or 60.2(b). Where the notice has been considered under Rule 60.2(c) as if it had not been submitted or where a later election has been considered under Rule 60.2(d) as if it had not been made, the International Bureau shall notify the applicant accordingly.</p>
<p>Since January 1, 2004</p>	<p>(c) [Deleted]</p>
<p>From June 19, 1970 to June 30, 1992</p>	<p>61.2 <i>Notifications to the Elected Offices</i></p>
<p>Since July 1, 1992</p>	<p>61.2 <i>Notification to the Elected Offices</i></p>
<p>Since June 19, 1970</p>	<p>(a) The notification provided for in Article 31(7) shall be effected by the International Bureau.</p>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to June 30, 1992	(b) The notification shall indicate the number and filing date of the international application, the name of the applicant, the name of the receiving Office, the filing date of the application whose priority is claimed (where priority is claimed), the date of receipt by the International Preliminary Examining Authority of the demand, and – in the case of later elections – the date of receipt by the International Bureau of the later election.
From July 1, 1992 to December 31, 2003	(b) The notification shall indicate the number and filing date of the international application, the name of the applicant, the filing date of the application whose priority is claimed (where priority is claimed), the date of receipt by the International Preliminary Examining Authority of the demand, and – in the case of a later election – the date of receipt of the notice effecting the later election. The latter date shall be the actual date of receipt by the International Bureau or, where applicable, the date referred to in Rule 56.1(f) or 60.2(b).
Since January 1, 2004	(b) The notification shall indicate the number and filing date of the international application, the name of the applicant, the filing date of the application whose priority is claimed (where priority is claimed) and the date of receipt by the International Preliminary Examining Authority of the demand.
From June 19, 1970 to June 30, 1992	(c) The notification shall be sent to the elected Office promptly after the expiration of the 18 <sup>th</sup> month from the priority date, or, if the international preliminary examination report is communicated earlier, then, at the same time as the communication of that report. Elections effected after such notification shall be notified promptly after they have been effected.
Since July 1, 1992	(c) The notification shall be sent to the elected Office together with the communication provided for in Article 20. Elections effected after such communication shall be notified promptly after they have been made.
From July 1, 1992 to December 31, 2003	(d) Where the applicant makes an express request to an elected Office under Article 40(2) before the communication provided for in Article 20 has taken place, the International Bureau shall, upon request of the applicant or the elected Office, promptly effect that communication to that Office.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 2004	(d) Where the applicant makes an express request to an elected Office under Article 40(2) prior to the international publication of the international application, the International Bureau shall, upon request of the applicant or the elected Office, promptly effect the communication provided for in Article 20 to that Office.
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Since June 19, 1970	61.3 <i>Information for the Applicant</i>
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From June 19, 1970 to June 30, 1992	The International Bureau shall inform the applicant in writing that it has effected the notification referred to in Rule 61.2. At the same time, it shall indicate to him, in respect of each elected State, any applicable time limit under Article 39(1)(b).
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Since July 1, 1992	The International Bureau shall inform the applicant in writing of the notification referred to in Rule 61.2 and of the elected Offices notified under Article 31(7).
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Since July 1, 1992	61.4 <i>Publication in the Gazette</i>
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From July 1, 1992 to June 30, 1998	Where a demand has been filed prior to the expiration of the 19 <sup>th</sup> month from the priority date, the International Bureau shall publish a notice of that fact in the Gazette promptly after the filing of the demand, but not before the international publication of the international application. The notice shall indicate all designated States bound by Chapter II which have not been elected.
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From July 1, 1998 to December 31, 2003	Where a demand has been filed before the expiration of 19 months from the priority date, the International Bureau shall, promptly after the filing of the demand but not before the international publication of the international application, publish in the Gazette information on the demand and the elected States concerned, as provided in the Administrative Instructions.
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Since January 1, 2004	The International Bureau shall, promptly after the filing of the demand but not before the international publication of the international application, publish in the Gazette information on the demand and the elected States concerned, as provided in the Administrative Instructions.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From June 19, 1970 to June 30, 1992	Rule 62 Copy for the International Preliminary Examining Authority
From July 1, 1992 to December 31, 2003	Rule 62 Copy of Amendments under Article 19 for the International Preliminary Examining Authority
Since January 1, 2004	Rule 62 Copy of the Written Opinion by the International Searching Authority and of Amendments under Article 19 for the International Preliminary Examining Authority
From June 19, 1970 to December 31, 1984	62.1 <i>The International Application</i> (a) Where the competent International Preliminary Examining Authority is part of the same national Office or intergovernmental organization as the competent International Searching Authority, the same file shall serve the purposes of international search and international preliminary examination.  (b) Where the competent International Searching Authority is not part of the same national Office or intergovernmental organization as the competent International Preliminary Examining Authority, the International Bureau shall, promptly upon receipt of the international search report or, if the demand was received after the international search report, promptly upon receipt of the demand, send a copy of the international application and the international search report to the said Preliminary Examining Authority. In cases where, instead of the international search report, a declaration under Article 17(2)(a) has issued, references in the preceding sentence to the international search report shall be considered references to the said declaration.
From January 1, 1985 to June 30, 1992	62.1 <i>[Deleted]</i>
From July 1, 1992 to December 31, 2003	62.1 <i>Amendments Made before the Demand Is Filed</i>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since January 1, 2004	62.1 <i>Copy of Written Opinion by International Searching Authority and of Amendments Made before the Demand Is Filed</i>
From July 1, 1992 to June 30, 1998	Upon receipt of a demand from the International Preliminary Examining Authority, the International Bureau shall promptly transmit a copy of any amendments under Article 19 to that Authority, unless that Authority has indicated that it has already received such a copy.
From July 1, 1998 to December 31, 2003	Upon receipt of a demand, or a copy thereof, from the International Preliminary Examining Authority, the International Bureau shall promptly transmit a copy of any amendments under Article 19, and any statement referred to in that Article, to that Authority, unless that Authority has indicated that it has already received such a copy.
From January 1, 2004 to June 30, 2011	<p>Upon receipt of a demand, or a copy thereof, from the International Preliminary Examining Authority, the International Bureau shall promptly transmit to that Authority:</p> <p>(i) a copy of the written opinion established under Rule 43bis.1, unless the national Office or intergovernmental organization that acted as International Searching Authority is also acting as International Preliminary Examining Authority; and</p> <p>(ii) a copy of any amendment under Article 19, and any statement referred to in that Article, unless that Authority has indicated that it has already received such a copy.</p>
Since July 1, 2011	<p>Upon receipt of a demand, or a copy thereof, from the International Preliminary Examining Authority, the International Bureau shall promptly transmit to that Authority:</p> <p>(i) a copy of the written opinion established under Rule 43bis.1, unless the national Office or intergovernmental organization that acted as International Searching Authority is also acting as International Preliminary Examining Authority; and</p> <p>(ii) a copy of any amendment under Article 19, any statement referred to in that Article, and the letter required under Rule 46.5(b), unless that Authority has indicated that it has already received such a copy.</p>
From June 19, 1970 to June 30, 1992	62.2 Amendments

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Since July 1, 1992	62.2 <i>Amendments Made after the Demand Is Filed</i>
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From June 19, 1970 to June 30, 1992	(a) Any amendment filed under Article 19 shall be promptly transmitted by the International Bureau to the International Preliminary Examining Authority. If, at the time of filing such amendments, a demand for international preliminary examination has already been submitted, the applicant shall, at the same time as he files the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority.
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From July 1, 1992 to June 30, 1998	(a) If, at the time of filing any amendments under Article 19, a demand has already been submitted, the applicant shall preferably, at the same time as he files the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority. In any case, the International Bureau shall promptly transmit a copy of such amendments to that Authority.
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From July 1, 1998 to June 30, 2011	If, at the time of filing any amendments under Article 19, a demand has already been submitted, the applicant shall preferably, at the same time as he files the amendments with the International Bureau, also file with the International Preliminary Examining Authority a copy of such amendments and any statement referred to in that Article. In any case, the International Bureau shall promptly transmit a copy of such amendments and statement to that Authority.
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Since July 1, 2011	If, at the time of filing any amendments under Article 19, a demand has already been submitted, the applicant shall preferably, at the same time as he files the amendments with the International Bureau, also file with the International Preliminary Examining Authority a copy of such amendments, any statement referred to in that Article and the letter required under Rule 46.5(b). In any case, the International Bureau shall promptly transmit a copy of such amendments, statement and letter to that Authority.
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From June 19, 1970 to June 30, 1992	(b) If the time limit for filing amendments under Article 19 (see Rule 46.1) has expired without the applicant's having filed amendments under that Article, or if the applicant has declared that he does not wish to make amendments under that Article, the International Bureau shall notify the International Preliminary Examining Authority accordingly.
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Since July 1, 1992	(b) [Deleted]
Since January 1, 2004	<p style="text-align: center;"><i>Rule 62bis</i></p> <p style="text-align: center;">Translation for the International Preliminary Examining Authority of the Written Opinion of the International Searching Authority</p>
Since January 1, 2004	<p><i>62bis.1 Translation and Observations</i></p> <p>(a) Upon request of the International Preliminary Examining Authority, the written opinion established under Rule 43<i>bis</i>.1 shall, when not in English or in a language accepted by that Authority, be translated into English by or under the responsibility of the International Bureau.</p> <p>(b) The International Bureau shall transmit a copy of the translation to the International Preliminary Examining Authority within two months from the date of receipt of the request for translation, and shall at the same time transmit a copy to the applicant.</p> <p>(c) The applicant may make written observations as to the correctness of the translation and shall send a copy of the observations to the International Preliminary Examining Authority and to the International Bureau.</p>
Since June 19, 1970	<p style="text-align: center;"><i>Rule 63</i></p> <p style="text-align: center;">Minimum Requirements for International Preliminary Examining Authorities</p>
Since June 19, 1970	<p>63.1 <i>Definition of Minimum Requirements</i></p>
Since June 19, 1970	<p>The minimum requirements referred to in Article 32(3) shall be the following:</p> <p>(i) the national Office or intergovernmental organization must have at least 100 full-time employees with sufficient technical qualifications to carry out examinations;</p> <p>(ii) that Office or organization must have at its ready disposal at least the minimum documentation referred to in Rule 34, properly arranged for examination purposes;</p>
From June 19, 1970 to December 31, 2003	<p>(iii) that Office or organization must have a staff which is capable of examining in the required technical fields and which has the language facilities to understand at least those languages in which the minimum documentation referred to in Rule 34 is written or is translated.</p>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since January 1, 2004	(iii) that Office or organization must have a staff which is capable of examining in the required technical fields and which has the language facilities to understand at least those languages in which the minimum documentation referred to in Rule 34 is written or is translated;
From January 1, 2004 to March 31, 2007	(iv) that Office or organization must hold an appointment as an International Searching Authority.
Since April 1, 2007	(iv) that Office or organization must have in place a quality management system and internal review arrangements in accordance with the common rules of international preliminary examination; (v) that Office or organization must hold an appointment as an International Searching Authority.
Since June 19, 1970	Rule 64 Prior Art for International Preliminary Examination
Since June 19, 1970	64.1 <i>Prior Art</i>
Since June 19, 1970	(a) For the purposes of Article 33(2) and (3), everything made available to the public anywhere in the world by means of written disclosure (including drawings and other illustrations) shall be considered prior art provided that such making available occurred prior to the relevant date.
From June 19, 1970 to March 31, 2007	(b) For the purposes of paragraph (a), the relevant date will be: (i) subject to item (ii), the international filing date of the international application under international preliminary examination; (ii) where the international application under international preliminary examination validly claims the priority of an earlier application, the filing date of such earlier application.

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Since April 1, 2007	<p>(b) For the purposes of paragraph (a), the relevant date shall be:</p> <p>(i) subject to items (ii) and (iii), the international filing date of the international application under international preliminary examination;</p> <p>(ii) where the international application under international preliminary examination claims the priority of an earlier application and has an international filing date which is within the priority period, the filing date of such earlier application, unless the International Preliminary Examining Authority considers that the priority claim is not valid;</p> <p>(iii) where the international application under international preliminary examination claims the priority of an earlier application and has an international filing date which is later than the date on which the priority period expired but within the period of two months from that date, the filing date of such earlier application, unless the International Preliminary Examining Authority considers that the priority claim is not valid for reasons other than the fact that the international application has an international filing date which is later than the date on which the priority period expired.</p>
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Since June 19, 1970	64.2 <i>Non-Written Disclosures</i>
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From June 19, 1970 to June 30, 1992	<p>In cases where the making available to the public occurred by means of an oral disclosure, use, exhibition or other non-written means (“non-written disclosure”) before the relevant date as defined in Rule 64.1(b) and the date of such non-written disclosure is indicated in a written disclosure which has been made available to the public after the relevant date, the non-written disclosure shall not be considered part of the prior art for the purposes of Article 33(2) and (3). Nevertheless, the international preliminary examination report shall call attention to such non-written disclosure in the manner provided for in Rule 70.9.</p>
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Since July 1, 1992	<p>In cases where the making available to the public occurred by means of an oral disclosure, use, exhibition or other non-written means (“non-written disclosure”) before the relevant date as defined in Rule 64.1(b) and the date of such non-written disclosure is indicated in a written disclosure which has been made available to the public on a date which is the same as, or later than, the relevant date, the non-written disclosure shall not be considered part of the prior art for the purposes of Article 33(2) and (3). Nevertheless, the international preliminary examination report shall call attention to such non-written disclosure in the manner provided for in Rule 70.9.</p>

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Since June 19, 1970	64.3	<i>Certain Published Documents</i>
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From June 19, 1970 to June 30, 1992		In cases where any application or any patent which would constitute prior art for the purposes of Article 33(2) and (3) had it been published prior to the relevant date referred to in Rule 64.1 was published, as such, after the relevant date but was filed earlier than the relevant date or claimed the priority of an earlier application which had been filed prior to the relevant date, such published application or patent shall not be considered part of the prior art for the purposes of Article 33(2) and (3). Nevertheless, the international preliminary examination report shall call attention to such application or patent in the manner provided for in Rule 70.10.
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Since July 1, 1992		In cases where any application or any patent which would constitute prior art for the purposes of Article 33(2) and (3) had it been published prior to the relevant date referred to in Rule 64.1 was published on a date which is the same as, or later than, the relevant date but was filed earlier than the relevant date or claimed the priority of an earlier application which had been filed prior to the relevant date, such published application or patent shall not be considered part of the prior art for the purposes of Article 33(2) and (3). Nevertheless, the international preliminary examination report shall call attention to such application or patent in the manner provided for in Rule 70.10.
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Since June 19, 1970		Rule 65 Inventive Step or Non-Obviousness
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Since June 19, 1970	65.1	<i>Approach to Prior Art</i>
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		For the purposes of Article 33(3), the international preliminary examination shall take into consideration the relation of any particular claim to the prior art as a whole. It shall take into consideration the claim's relation not only to individual documents or parts thereof taken separately but also its relation to combinations of such documents or parts of documents, where such combinations are obvious to a person skilled in the art.
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Since June 19, 1970	65.2	<i>Relevant Date</i>
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		For the purposes of Article 33(3), the relevant date for the consideration of inventive step (non-obviousness) is the date prescribed in Rule 64.1.
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Since June 19, 1970	Rule 66 Procedure before the International Preliminary Examining Authority
Since June 19, 1970	66.1 <i>Basis of the International Preliminary Examination</i>
From June 19, 1970 to June 30, 1992	Before the international preliminary examination starts, the applicant may make amendments according to Article 34(2)(b) and the international preliminary examination shall initially be directed to the claims, the description, and the drawings, as contained in the international application at the time the international preliminary examination starts.
Since July 1, 1992	(a) Subject to paragraphs (b) to (d), the international preliminary examination shall be based on the international application as filed.  (b) The applicant may submit amendments under Article 34 at the time of filing the demand or, subject to Rule 66.4 <i>bis</i> , until the international preliminary examination report is established.  (c) Any amendments under Article 19 made before the demand was filed shall be taken into account for the purposes of the international preliminary examination unless superseded, or considered as reversed, by an amendment under Article 34.  (d) Any amendments under Article 19 made after the demand was filed and any amendments under Article 34 submitted to the International Preliminary Examining Authority shall, subject to Rule 66.4 <i>bis</i> , be taken into account for the purposes of the international preliminary examination.
Since April 1, 2007	(d- <i>bis</i> ) A rectification of an obvious mistake that is authorized under Rule 91.1 shall, subject to Rule 66.4 <i>bis</i> , be taken into account by the International Preliminary Examining Authority for the purposes of the international preliminary examination.
Since July 1, 1992	(e) Claims relating to inventions in respect of which no international search report has been established need not be the subject of international preliminary examination.

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Since  
January 1,  
2004

*66.1bis Written Opinion of the International Searching Authority*

(a) Subject to paragraph (b), the written opinion established by the International Searching Authority under Rule 43*bis*.1 shall be considered to be a written opinion of the International Preliminary Examining Authority for the purposes of Rule 66.2(a).

(b) An International Preliminary Examining Authority may notify the International Bureau that paragraph (a) shall not apply to the procedure before it in respect of written opinions established under Rule 43*bis*.1 by the International Searching Authority or Authorities specified in the notification, provided that such a notification shall not apply to cases where the national Office or intergovernmental organization that acted as International Searching Authority is also acting as International Preliminary Examining Authority. The International Bureau shall promptly publish any such notification in the Gazette.

(c) Where the written opinion established by the International Searching Authority under Rule 43*bis*.1 is not, by virtue of a notification under paragraph (b), considered to be a written opinion of the International Preliminary Examining Authority for the purposes of Rule 66.2(a), the International Preliminary Examining Authority shall notify the applicant accordingly in writing.

(d) A written opinion established by the International Searching Authority under Rule 43*bis*.1 which is not, by virtue of a notification under paragraph (b), considered to be a written opinion of the International Preliminary Examining Authority for the purposes of Rule 66.2(a) shall nevertheless be taken into account by the International Preliminary Examining Authority in proceeding under Rule 66.2(a).

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Since July 1,  
2014

*66.1ter Top-Searches*

The International Preliminary Examining Authority shall conduct a search (“top-up search”) to discover documents referred to in Rule 64 which have been published or have become available to the said Authority for search subsequent to the date on which the international search report was established, unless it considers that such a search would serve no useful purpose. If the Authority finds that any of the situations referred to in Article 34(3) or (4) or Rule 66.1(e) exists, the top-up search shall cover only those parts of the international application that are the subject of international preliminary examination.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From June 19, 1970 to December 31, 2003	66.2	<i>First Written Opinion of the International Preliminary Examining Authority</i>
Since January 1, 2004	66.2	<i>Written Opinion of the International Preliminary Examining Authority</i>
Since June 19, 1970	(a)	If the International Preliminary Examining Authority
From June 19, 1970 to June 30, 1992	(i)	considers that the international application has any of the defects described in Article 34(4),
Since July 1, 1992	(i)	considers that any of the situations referred to in Article 34(4) exists,
Since June 19, 1970	(ii)	considers that the international preliminary examination report should be negative in respect of any of the claims because the invention claimed therein does not appear to be novel, does not appear to involve an inventive step (does not appear to be non-obvious), or does not appear to be industrially applicable,
	(iii)	notices that there is some defect in the form or contents of the international application under the Treaty or these Regulations,
From June 19, 1970 to June 30, 1992	(iv)	considers that any amendment goes beyond the disclosure in the international application as filed, or
Since July 1, 1992	(iv)	considers that any amendment goes beyond the disclosure in the international application as filed,
Since June 19, 1970	(v)	wishes to accompany the international preliminary examination report by observations on the clarity of the claims, the description, and the drawings, or the question whether the claims are fully supported by the description,

History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since July 1, 1992	<p>(vi) considers that a claim relates to an invention in respect of which no international search report has been established and has decided not to carry out the international preliminary examination in respect of that claim, or</p> <p>(vii) considers that a nucleotide and/or amino acid sequence listing is not available to it in such a form that a meaningful international preliminary examination can be carried out,</p>
From June 19, 1970 to December 31, 1984	the said Authority shall notify the applicant accordingly in writing.
Since January 1, 1985	the said Authority shall notify the applicant accordingly in writing. Where the national law of the national Office acting as International Preliminary Examining Authority does not allow multiple dependent claims to be drafted in a manner different from that provided for in the second and third sentences of Rule 6.4(a), the International Preliminary Examining Authority may, in case of failure to use that manner of claiming, apply Article 34(4)(b). In such case, it shall notify the applicant accordingly in writing.
Since June 19, 1970	(b) The notification shall fully state the reasons for the opinion of the International Preliminary Examining Authority.
From June 19, 1970 to December 31, 1984	(c) The notification shall invite the applicant to submit a written reply together, where appropriate, with amendments or corrections.
Since January 1, 1985	(c) The notification shall invite the applicant to submit a written reply together, where appropriate, with amendments.
From June 19, 1970 to June 30, 1992	(d) The notification shall fix a time limit for the reply. The time limit shall be reasonable under the circumstances. It shall normally be two months after the date of notification. In no case shall it be shorter than one month after the said date. It shall be at least two months after the said date where the international search report is transmitted at the same time as the notification. In no case shall it be more than three months after the said date.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

From July 1, 1992 to December 31, 2003	(d) The notification shall fix a time limit for the reply. The time limit shall be reasonable under the circumstances. It shall normally be two months after the date of notification. In no case shall it be shorter than one month after the said date. It shall be at least two months after the said date where the international search report is transmitted at the same time as the notification. It shall not be more than three months after the said date but may be extended if the applicant so requests before its expiration.
Since January 1, 2004	(d) The notification shall fix a time limit for the reply. The time limit shall be reasonable under the circumstances. It shall normally be two months after the date of notification. In no case shall it be shorter than one month after the said date. It shall be at least two months after the said date where the international search report is transmitted at the same time as the notification. It shall, subject to paragraph (e), not be more than three months after the said date.  (e) The time limit for replying to the notification may be extended if the applicant so requests before its expiration.
Since June 19, 1970	66.3 <i>Formal Response to the International Preliminary Examining Authority</i>
From June 19, 1970 to December 31, 1984	(a) The applicant may respond to the invitation referred to in Rule 66.2(c) of the International Preliminary Examining Authority by making amendments or corrections or – if he disagrees with the opinion of that Authority – by submitting arguments, as the case may be, or do both.
Since January 1, 1985	(a) The applicant may respond to the invitation referred to in Rule 66.2(c) of the International Preliminary Examining Authority by making amendments or – if he disagrees with the opinion of that Authority – by submitting arguments, as the case may be, or do both.
Since June 19, 1970	(b) Any response shall be submitted directly to the International Preliminary Examining Authority.
From June 19, 1970 to December 31, 1984	66.4 <i>Additional Opportunity for Amendment or Correction</i>
Since January 1, 1985	66.4 <i>Additional Opportunity for Submitting Amendments or Arguments</i>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since June 19, 1970	(a) If the International Preliminary Examining Authority wishes to issue one or more additional written opinions, it may do so, and Rules 66.2 and 66.3 shall apply.
From June 19, 1970 to December 31, 1984	(b) On the request of the applicant, the International Preliminary Examining Authority may give him one or more additional opportunities to submit amendments or corrections.
Since January 1, 1985	(b) On the request of the applicant, the International Preliminary Examining Authority may give him one or more additional opportunities to submit amendments or arguments.
From July 1, 1992 to March 31, 2007	<i>66.4bis Consideration of Amendments and Arguments</i>
From April 1, 2007	<i>66.4bis Consideration of Amendments, Arguments and Rectifications of Obvious Mistakes</i>
From July 1, 1992 to March 31, 2007	Amendments or arguments need not be taken into account by the International Preliminary Examining Authority for the purposes of a written opinion or the international preliminary examination report if they are received after that Authority has begun to draw up that opinion or report.
Since April 1, 2007	Amendments, arguments and rectifications of obvious mistakes need not be taken into account by the International Preliminary Examining Authority for the purposes of a written opinion or the international preliminary examination report if they are received by, authorized by or notified to that Authority, as applicable, after it has begun to draw up that opinion or report.
Since June 19, 1970	<i>66.5 Amendment</i>
From June 19, 1970 to December 31, 1984	Any change, other than the rectification of obvious errors of transcription, in the claims, the description, or the drawings, including cancellation of claims, omission of passages in the description, or omission of certain drawings, shall be considered an amendment.
From January 1, 1985 to March 31, 2007	Any change, other than the rectification of obvious errors, in the claims, the description, or the drawings, including cancellation of claims, omission of passages in the description, or omission of certain drawings, shall be considered an amendment.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since April 1, 2007	Any change, other than the rectification of an obvious mistake, in the claims, the description, or the drawings, including cancellation of claims, omission of passages in the description, or omission of certain drawings, shall be considered an amendment.
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Since June 19, 1970	66.6 <i>Informal Communications with the Applicant</i> The International Preliminary Examining Authority may, at any time, communicate informally, over the telephone, in writing, or through personal interviews, with the applicant. The said Authority shall, at its discretion, decide whether it wishes to grant more than one personal interview if so requested by the applicant, or whether it wishes to reply to any informal written communication from the applicant.
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From June 19, 1970 to December 31, 2003	66.7 <i>Priority Document</i>
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Since January 1, 2004	66.7 <i>Copy and Translation of Earlier Application Whose Priority Is Claimed</i>
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From June 19, 1970 to December 31, 1984	(a) If the International Preliminary Examining Authority needs a copy of the application whose priority is claimed in the international application, the International Bureau shall, on request, promptly furnish such copy, provided that, where the request is made before the International Bureau has received the priority document under Rule 17.1(a), the applicant shall furnish such copy to the International Bureau and directly to the International Preliminary Examining Authority.
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From January 1, 1985 to December 31, 2003	(a) If the International Preliminary Examining Authority needs a copy of the application whose priority is claimed in the international application, the International Bureau shall, on request, promptly furnish such copy. If that copy is not furnished to the International Preliminary Examining Authority because the applicant failed to comply with the requirements of Rule 17.1, the international preliminary examination report may be established as if the priority had not been claimed.
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Since January 1, 2004	(a) If the International Preliminary Examining Authority needs a copy of the earlier application whose priority is claimed in the international application, the International Bureau shall, on request, promptly furnish such copy. If that copy is not furnished to the International Preliminary Examining Authority because the applicant failed to comply with the requirements of Rule 17.1, and if that earlier application was not filed with that Authority in its capacity as a national Office or the priority document is not available to that Authority from a digital library in accordance with the Administrative Instructions, the international preliminary examination report may be established as if the priority had not been claimed.
From June 19, 1970 to December 31, 1984	(b) If the application whose priority is claimed is in a language other than the language or one of the languages of the International Preliminary Examining Authority, the applicant shall furnish, on invitation, a translation in the said language or one of the said languages.
From January 1, 1985 to February 28, 2001	(b) If the application whose priority is claimed in the international application is in a language other than the language or one of the languages of the International Preliminary Examining Authority, that Authority may invite the applicant to furnish a translation in the said language or one of the said languages within two months from the date of the invitation. If the translation is not furnished within that time limit, the international preliminary examination report may be established as if the priority had not been claimed.
Since March 1, 2001	(b) If the application whose priority is claimed in the international application is in a language other than the language or one of the languages of the International Preliminary Examining Authority, that Authority may, where the validity of the priority claim is relevant for the formulation of the opinion referred to in Article 33(1), invite the applicant to furnish a translation in the said language or one of the said languages within two months from the date of the invitation. If the translation is not furnished within that time limit, the international preliminary examination report may be established as if the priority had not been claimed.
From June 19, 1970 to December 31, 1984	(c) The copy to be furnished by the applicant under paragraph (a) and the translation referred to in paragraph (b) shall be furnished not later than by the expiration of two months from the date of the request or invitation. If they are not furnished within that time limit, the international preliminary examination report shall be established as if the priority had not been claimed.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since January 1, 1985	(c) [Deleted]
From June 19, 1970 to December 31, 1984	66.8 <i>Form of Corrections and Amendments</i>
Since January 1, 1985	66.8 <i>Form of Amendments</i>
From June 19, 1970 to December 31, 1984	(a) The applicant shall be required to submit a replacement sheet for every sheet of the international application which, on account of a correction or amendment, differs from the sheet originally filed. The letter accompanying the replacement sheets shall draw attention to the differences between the replaced sheets and the replacement sheets. To the extent that any amendment results in the cancellation of an entire sheet, that amendment shall be communicated in a letter.
From January 1, 1985 to June 30, 1992	(a) The applicant shall be required to submit a replacement sheet for every sheet of the international application which, on account of an amendment, differs from the sheet originally filed. The letter accompanying the replacement sheets shall draw attention to the differences between the replaced sheets and the replacement sheets. To the extent that any amendment results in the cancellation of an entire sheet, that amendment shall be communicated in a letter.
From July 1, 1992 to June 30, 1998	(a) The applicant shall be required to submit a replacement sheet for every sheet of the international application which, on account of an amendment, differs from the sheet previously filed. The letter accompanying the replacement sheets shall draw attention to the differences between the replaced sheets and the replacement sheets. Where the amendment consists in the deletion of passages or in minor alterations or additions, it may be made on a copy of the relevant sheet of the international application, provided that the clarity and direct reproducibility of that sheet are not adversely affected. To the extent that any amendment results in the cancellation of an entire sheet, that amendment shall be communicated in a letter.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1998 to June 30, 2009	(a) Subject to paragraph (b), the applicant shall be required to submit a replacement sheet for every sheet of the international application which, on account of an amendment, differs from the sheet previously filed. The letter accompanying the replacement sheets shall draw attention to the differences between the replaced sheets and the replacement sheets and shall preferably also explain the reasons for the amendment.
From July 1, 2009 to June 30, 2010	(a) Subject to paragraph (b), when amending the description or the drawings, the applicant shall be required to submit a replacement sheet for every sheet of the international application which, on account of an amendment, differs from the sheet previously filed. The replacement sheet or sheets shall be accompanied by a letter which shall draw attention to the differences between the replaced sheets and the replacement sheets and shall preferably also explain the reasons for the amendment.
Since July 1, 2010	(a) Subject to paragraph (b), when amending the description or the drawings, the applicant shall be required to submit a replacement sheet for every sheet of the international application which, on account of an amendment, differs from the sheet previously filed. The replacement sheet or sheets shall be accompanied by a letter which shall draw attention to the differences between the replaced sheets and the replacement sheets, shall indicate the basis for the amendment in the application as filed and shall preferably also explain the reasons for the amendment.
From June 19, 1970 to December 31, 1984	(b) The International Preliminary Examining Authority shall mark on each replacement sheet the international application number, the date on which it was received, and the stamp identifying the said Authority. It shall keep in its files any replaced sheet, the letter accompanying the replacement sheet or sheets, and any letter referred to in the last sentence of paragraph (a).
From January 1, 1985 to June 30, 1998	(b) [Deleted]

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since July 1, 1998	(b) Where the amendment consists in the deletion of passages or in minor alterations or additions, the replacement sheet referred to in paragraph (a) may be a copy of the relevant sheet of the international application containing the alterations or additions, provided that the clarity and direct reproducibility of that sheet are not adversely affected. To the extent that any amendment results in the cancellation of an entire sheet, that amendment shall be communicated in a letter which shall preferably also explain the reasons for the amendment.
Since July 1, 2009	(c) When amending the claims, Rule 46.5 shall apply <i>mutatis mutandis</i> . The set of claims submitted under Rule 46.5 as applicable by virtue of this paragraph shall replace all the claims originally filed or previously amended under Articles 19 or 34, as the case may be.
From January 1, 1985 to June 30, 2011	66.9 <i>Language of Amendments</i>
Since July 1, 2011	66.9 <i>[Deleted]</i>
From January 1, 1985 to December 31, 1992	If the international application has been filed in a language other than the language in which it is published, any amendment, as well as any letter referred to in Rule 66.8(a), shall be submitted in the language of publication.
From January 1, 1993 to June 30, 1998	(a) Subject to paragraphs (b) and (c), if the international application has been filed in a language other than the language in which it is published, any amendment, as well as any letter referred to in Rule 66.8(a), shall be submitted in the language of publication.
From July 1, 1998 to June 30, 2011	(a) Subject to paragraphs (b) and (c), if the international application has been filed in a language other than the language in which it is published, any amendment, as well as any letter referred to in Rule 66.8, shall be submitted in the language of publication.
From January 1, 1993 to June 30, 2011	(b) If the international preliminary examination is carried out, pursuant to Rule 55.2, on the basis of a translation of the international application, any amendment, as well as any letter referred to in paragraph (a), shall be submitted in the language of that translation.

(c) Subject to Rule 55.3, if an amendment or letter is not submitted in a language as required under paragraph (a) or (b), the International Preliminary Examining Authority shall, if practicable having regard to the time limit for establishing the international preliminary examination report, invite the applicant to furnish the amendment or letter in the required language within a time limit which shall be reasonable under the circumstances.

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(d) If the applicant fails to comply, within the time limit under paragraph (c), with the invitation to furnish an amendment in the required language, the amendment shall not be taken into account for the purposes of the international preliminary examination. If the applicant fails to comply, within the time limit under paragraph (c), with the invitation to furnish a letter referred to in paragraph (a) in the required language, the amendment concerned need not be taken into account for the purposes of the international preliminary examination.

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Since June 19,  
1970

Rule 67  
Subject Matter under Article 34(4)(a)(i)

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Since June 19, 1970 67.1 *Definition*

No International Preliminary Examining Authority shall be required to carry out an international preliminary examination on an international application if, and to the extent to which, its subject matter is any of the following:

- (i) scientific and mathematical theories,
  - (ii) plant or animal varieties or essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes,
  - (iii) schemes, rules or methods of doing business, performing purely mental acts or playing games,
  - (iv) methods for treatment of the human or animal body by surgery or therapy, as well as diagnostic methods,
  - (v) mere presentations of information,
  - (vi) computer programs to the extent that the International Preliminary Examining Authority is not equipped to carry out an international preliminary examination concerning such programs.
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Since June 19,  
1970

Rule 68  
Lack of Unity of Invention  
(International Preliminary Examination)

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Since June 19,  
1970

68.1 *No Invitation to Restrict or Pay*

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From June 19,  
1970 to  
June 30, 1992

Where the International Preliminary Examining Authority finds that the requirement of unity of invention is not complied with and chooses not to invite the applicant to restrict the claims or to pay additional fees, it shall establish the international preliminary examination report, subject to Article 34(4)(b), in respect of the entire international application, but shall indicate, in the said report, that, in its opinion, the requirement of unity of invention is not fulfilled and shall specify the reasons for which the international application is not considered as complying with the requirement of unity of invention.

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Since July 1,  
1992

Where the International Preliminary Examining Authority finds that the requirement of unity of invention is not complied with and chooses not to invite the applicant to restrict the claims or to pay additional fees, it shall proceed with the international preliminary examination, subject to Article 34(4)(b) and Rule 66.1(e), in respect of the entire international application, but shall indicate, in any written opinion and in the international preliminary examination report, that it considers that the requirement of unity of invention is not fulfilled and it shall specify the reasons therefor.

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Since June 19,  
1970

68.2 *Invitation to Restrict or Pay*

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From June 19,  
1970 to  
March 31,  
2005

Where the International Preliminary Examining Authority finds that the requirement of unity of invention is not complied with and chooses to invite the applicant, at his option, to restrict the claims or to pay additional fees, it shall specify at least one possibility of restriction which, in the opinion of the International Preliminary Examining Authority, would be in compliance with the applicable requirement, and shall specify the amount of the additional fees and the reasons for which the international application is not considered as complying with the requirement of unity of invention. It shall, at the same time, fix a time limit, with regard to the circumstances of the case, for complying with the invitation; such time limit shall not be shorter than one month, and it shall not be longer than two months, from the date of the invitation.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since April 1, 2005	Where the International Preliminary Examining Authority finds that the requirement of unity of invention is not complied with and chooses to invite the applicant, at his option, to restrict the claims or to pay additional fees, the invitation shall:
	(i) specify at least one possibility of restriction which, in the opinion of the International Preliminary Examining Authority, would be in compliance with the applicable requirement;
	(ii) specify the reasons for which the international application is not considered as complying with the requirement of unity of invention;
	(iii) invite the applicant to comply with the invitation within one month from the date of the invitation;
	(iv) indicate the amount of the required additional fees to be paid in case the applicant so chooses; and
	(v) invite the applicant to pay, where applicable, the protest fee referred to in Rule 68.3(e) within one month from the date of the invitation, and indicate the amount to be paid.
Since June 19, 1970	68.3 <i>Additional Fees</i>
From June 19, 1970 to March 31, 2005	(a) The amount of the additional fee due for international preliminary examination under Article 34(3)(a) shall be determined by the competent International Preliminary Examining Authority.
Since April 1, 2005	(a) The amount of the additional fees due for international preliminary examination under Article 34(3)(a) shall be determined by the competent International Preliminary Examining Authority.
From June 19, 1970 to March 31, 2005	(b) The additional fee due for international preliminary examination under Article 34(3)(a) shall be payable direct to the International Preliminary Examining Authority.
Since April 1, 2005	(b) The additional fees due for international preliminary examination under Article 34(3)(a) shall be payable direct to the International Preliminary Examining Authority.

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From June 19, 1970 to March 31, 2005	(c) Any applicant may pay the additional fee under protest, that is, accompanied by a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fee is excessive. Such protest shall be examined by a three-member board or other special instance of the International Preliminary Examining Authority, or any competent higher authority, which, to the extent that it finds the protest justified, shall order the total or partial reimbursement to the applicant of the additional fee. On the request of the applicant, the text of both the protest and the decision thereon shall be notified to the elected Offices as an annex to the international preliminary examination report.
Since April 1, 2005	(c) Any applicant may pay the additional fees under protest, that is, accompanied by a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fees is excessive. Such protest shall be examined by a review body constituted in the framework of the International Preliminary Examining Authority which, to the extent that it finds the protest justified, shall order the total or partial reimbursement to the applicant of the additional fees. On the request of the applicant, the text of both the protest and the decision thereon shall be notified to the elected Offices as an annex to the international preliminary examination report.
From June 19, 1970 to March 31, 2005	(d) The three-member board, special instance or competent higher authority, referred to in paragraph (c), shall not comprise any person who made the decision which is the subject of the protest.
Since April 1, 2005	(d) The membership of the review body referred to in paragraph (c) may include, but shall not be limited to, the person who made the decision which is the subject of the protest.
From July 1, 1992 to March 31, 2005	(e) Where the applicant has, under paragraph (c), paid an additional fee under protest, the International Preliminary Examining Authority may, after a prior review of the justification for the invitation to pay an additional fee, require that the applicant pay a fee for the examination of the protest (“protest fee”). The protest fee shall be paid within one month from the date of the notification to the applicant of the result of the review. If the protest fee is not so paid, the protest shall be considered withdrawn. The protest fee shall be refunded to the applicant where the three-member board, special instance or higher authority referred to in paragraph (c) finds that the protest was entirely justified.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since April 1, 2005	(e) The examination of a protest referred to in paragraph (c) may be subjected by the International Preliminary Examining Authority to the payment to it, for its own benefit, of a protest fee. Where the applicant has not, within the time limit under Rule 68.2(v), paid any required protest fee, the protest shall be considered not to have been made and the International Preliminary Examining Authority shall so declare. The protest fee shall be refunded to the applicant where the review body referred to in paragraph (c) finds that the protest was entirely justified.
Since June 19, 1970	68.4 <i>Procedure in the Case of Insufficient Restriction of the Claims</i>  If the applicant restricts the claims but not sufficiently to comply with the requirement of unity of invention, the International Preliminary Examining Authority shall proceed as provided in Article 34(3)(c).
Since June 19, 1970	68.5 <i>Main Invention</i>  In case of doubt which invention is the main invention for the purposes of Article 34(3)(c), the invention first mentioned in the claims shall be considered the main invention.
From June 19, 1970 to June 30, 1992	Rule 69 Time Limit for International Preliminary Examination
Since July 1, 1992	Rule 69 Start of and Time Limit for International Preliminary Examination
From June 19, 1970 to June 30, 1992	69.1 <i>Time Limit for International Preliminary Examination</i>
Since July 1, 1992	69.1 <i>Start of International Preliminary Examination</i>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to December 31, 1984	<p>(a) All agreements concluded with International Preliminary Examining Authorities shall provide for the same time limit for the establishment of the international preliminary examination report. This time limit shall not exceed:</p> <p>(i) six months after the start of the international preliminary examination,</p> <p>(ii) in cases where the International Preliminary Examining Authority issues an invitation to restrict the claims or pay additional fees (Article 34(3)), eight months after the start of the international preliminary examination.</p>
From January 1, 1985 to June 30, 1992	<p>(a) The time limit for establishing the international preliminary examination report shall be:</p> <p>(i) 28 months from the priority date if the demand was filed prior to the expiration of 19 months from the priority date;</p> <p>(ii) nine months from the start of the international preliminary examination if the demand was filed after the expiration of 19 months from the priority date.</p>
From July 1, 1992 to December 31, 2003	<p>(a) Subject to paragraphs (b) to (e), the International Preliminary Examining Authority shall start the international preliminary examination when it is in possession both of the demand and of either the international search report or a notice of the declaration by the International Searching Authority under Article 17(2)(a) that no international search report will be established.</p>
Since January 1, 2004	<p>(a) Subject to paragraphs (b) to (e), the International Preliminary Examining Authority shall start the international preliminary examination when it is in possession of all of the following:</p> <p>(i) the demand;</p> <p>(ii) the amount due (in full) for the handling fee and the preliminary examination fee, including, where applicable, the late payment fee under Rule 58<i>bis</i>.2; and</p>
From January 1, 2004 to March 31, 2005	<p>(iii) either the international search report and the written opinion established under Rule 43<i>bis</i>.1 or a notice of the declaration by the International Searching Authority under Article 17(2)(a) that no international search report will be established;</p>
Since April 1, 2005	<p>(iii) either the international search report or the declaration by the International Searching Authority under Article 17(2)(a) that no international search report will be established, and the written opinion established under Rule 43<i>bis</i>.1;</p>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 2004	provided that the International Preliminary Examining Authority shall not start the international preliminary examination before the expiration of the applicable time limit under Rule 54 <i>bis</i> .1(a) unless the applicant expressly requests an earlier start.
From June 19, 1970 to June 30, 1992	(b) International preliminary examination shall start upon receipt, by the International Preliminary Examining Authority: (i) under Rule 62.2(a), of the claims as amended under Article 19, or (ii) under Rule 62.2(b), of a notice from the International Bureau that no amendments under Article 19 have been filed within the prescribed time limit or that the applicant has declared that he does not wish to make such amendments, or (iii) of a notice, after the international search report is in the possession of the International Preliminary Examining Authority, from the applicant expressing the wish that the international preliminary examination should start and be directed to the claims as specified in such notice, or (iv) of a notice of the declaration by the International Searching Authority that no international search report will be established (Article 17(2)(a)).
From July 1, 1992 to December 31, 2003	(b) If the competent International Preliminary Examining Authority is part of the same national Office or intergovernmental organization as the competent International Searching Authority, the international preliminary examination may, if the International Preliminary Examining Authority so wishes and subject to paragraph (d), start at the same time as the international search.
Since January 1, 2004	(b) If the national Office or intergovernmental organization that acts as International Searching Authority also acts as International Preliminary Examining Authority, the international preliminary examination may, if that national Office or intergovernmental organization so wishes and subject to paragraphs (d) and (e), start at the same time as the international search.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 2004	<p>(b-<i>bis</i>) Where, in accordance with paragraph (b), the national Office or intergovernmental organization that acts as both International Searching Authority and International Preliminary Examining Authority wishes to start the international preliminary examination at the same time as the international search and considers that all of the conditions referred to in Article 34(2)(c)(i) to (iii) are fulfilled, that national Office or intergovernmental organization need not, in its capacity as International Searching Authority, establish a written opinion under Rule 43<i>bis</i>.1.</p>
From June 19, 1970 to June 30, 1992	<p>(c) If the competent International Preliminary Examining Authority is part of the same national Office or intergovernmental organization as the competent International Searching Authority, the international preliminary examination may, if the International Preliminary Examining Authority so wishes, start at the same time as the international search. In such a case, the international preliminary examination report shall be established, notwithstanding the provisions of paragraph (a), no later than six months after the expiration of the time limit allowed under Article 19 for amending the claims.</p>
Since July 1, 1992	<p>(c) Where the statement concerning amendments contains an indication that amendments under Article 19 are to be taken into account (Rule 53.9(a)(i)), the International Preliminary Examining Authority shall not start the international preliminary examination before it has received a copy of the amendments concerned.</p>
From July 1, 1992 to December 31, 2003	<p>(d) Where the statement concerning amendments contains an indication that the start of the international preliminary examination is to be postponed (Rule 53.9(b)), the International Preliminary Examining Authority shall not start the international preliminary examination before</p> <ul style="list-style-type: none"><li data-bbox="528 1496 1316 1570">(i) it has received a copy of any amendments made under Article 19,</li><li data-bbox="528 1581 1316 1686">(ii) it has received a notice from the applicant that he does not wish to make amendments under Article 19, or</li><li data-bbox="528 1704 1316 1787">(iii) the expiration of 20 months from the priority date, whichever occurs first.</li></ul>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since January 1, 2004	<p>(d) Where the statement concerning amendments contains an indication that the start of the international preliminary examination is to be postponed (Rule 53.9(b)), the International Preliminary Examining Authority shall not start the international preliminary examination before whichever of the following occurs first:</p> <p>(i) it has received a copy of any amendments made under Article 19;</p> <p>(ii) it has received a notice from the applicant that he does not wish to make amendments under Article 19; or</p>
From January 1, 2004 to March 31, 2005	<p>(iii) the expiration of the applicable time limit under Rule 54<i>bis</i>.1(a).</p>
Since April 1, 2005	<p>(iii) the expiration of the applicable time limit under Rule 46.1.</p>
Since July 1, 1992	<p>(e) Where the statement concerning amendments contains an indication that amendments under Article 34 are submitted with the demand (Rule 53.9(c)) but no such amendments are, in fact, submitted, the International Preliminary Examining Authority shall not start the international preliminary examination before it has received the amendments or before the time limit fixed in the invitation referred to in Rule 60.1(g) has expired, whichever occurs first.</p>
Since July 1, 1992	<p>69.2 <i>Time Limit for International Preliminary Examination</i></p>
From July 1, 1992 to June 30, 1998	<p>The time limit for establishing the international preliminary examination report shall be:</p> <p>(i) 28 months from the priority date if the demand was filed prior to the expiration of 19 months from the priority date;</p> <p>(ii) nine months from the start of the international preliminary examination if the demand was filed after the expiration of 19 months from the priority date.</p>
From July 1, 1998 to December 31, 2003	<p>The time limit for establishing the international preliminary examination report shall be:</p> <p>(i) 28 months from the priority date, or</p> <p>(ii) eight months from the date of payment of the fees referred to in Rules 57.1 and 58.1(a), or</p>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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	(iii) eight months from the date of receipt by the International Preliminary Examining Authority of the translation furnished under Rule 55.2, whichever expires last.
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Since January 1, 2004	The time limit for establishing the international preliminary examination report shall be whichever of the following periods expires last: (i) 28 months from the priority date; or (ii) six months from the time provided under Rule 69.1 for the start of the international preliminary examination; or (iii) six months from the date of receipt by the International Preliminary Examining Authority of the translation furnished under Rule 55.2.
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From June 19, 1970 to December 31, 2003	Rule 70 The International Preliminary Examination Report
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Since January 1, 2004	Rule 70 International Preliminary Report on Patentability by the International Preliminary Examining Authority (International Preliminary Examination Report)
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Since June 19, 1970	70.1 <i>Definition</i> For the purposes of this Rule, “report” shall mean international preliminary examination report.
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Since June 19, 1970	70.2 <i>Basis of the Report</i>
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Since June 19, 1970	(a) If the claims have been amended, the report shall issue on the claims as amended.
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From June 19, 1970 to December 31, 1984	(b) If, pursuant to Rule 66.7(c), the report is established as if the priority had not been claimed, the report shall so indicate.
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Since January 1, 1985	(b) If, pursuant to Rule 66.7(a) or (b), the report is established as if the priority had not been claimed, the report shall so indicate.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19, 1970	(c) If the International Preliminary Examining Authority considers that any amendment goes beyond the disclosure in the international application as filed, the report shall be established as if such amendment had not been made, and the report shall so indicate. It shall also indicate the reasons why it considers that the amendment goes beyond the said disclosure.
From July 1, 2010 to June 30, 2011	(c-bis) If the claims, description or drawings have been amended but the replacement sheet or sheets were not accompanied by a letter indicating the basis for the amendment in the application as filed, as required under Rule 46.5(b)(iii) as applicable by virtue of Rule 66.8(c), or Rule 66.8(a), as applicable, the report may be established as if the amendment had not been made, in which case the report shall so indicate.
Since July 1, 2011	(c-bis) If the claims, description or drawings have been amended but the replacement sheet or sheets were not accompanied by a letter indicating the basis for the amendment in the application as filed, as required under Rule 46.5(b)(iii), Rule 46.5(b)(iii) being applicable by virtue of Rule 66.8(c), or Rule 66.8(a), as applicable, the report may be established as if the amendment had not been made, in which case the report shall so indicate.
Since July 1, 1992	(d) Where claims relate to inventions in respect of which no international search report has been established and have therefore not been the subject of international preliminary examination, the international preliminary examination report shall so indicate.
Since April 1, 2007	(e) If a rectification of an obvious mistake is taken into account under Rule 66.1, the report shall so indicate. If a rectification of an obvious mistake is not taken into account pursuant to Rule 66.4bis, the report shall, if possible, so indicate, failing which the International Preliminary Examining Authority shall notify the International Bureau accordingly and the International Bureau shall proceed as provided for in the Administrative Instructions.
Since July 1, 2014	(f) The report shall indicate the date on which a top-up search under Rule 66.1ter was made, or else state that no top-up search was made.
Since June 19, 1970	70.3 <i>Identifications</i>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to June 30, 1992	The report shall identify the International Preliminary Examining Authority which established it by indicating the name of such Authority, and the international application, by indicating the international application number, the name of the applicant, the name of the receiving Office, and the international filing date.
Since July 1, 1992	The report shall identify the International Preliminary Examining Authority which established it by indicating the name of such Authority, and the international application by indicating the international application number, the name of the applicant, and the international filing date.
Since June 19, 1970	<p>70.4 <i>Dates</i></p> <p>The report shall indicate:</p> <ul style="list-style-type: none"><li>(i) the date on which the demand was submitted, and</li><li>(ii) the date of the report; that date shall be the date on which the report is completed.</li></ul>
Since June 19, 1970	<p>70.5 <i>Classification</i></p> <p>(a) The report shall repeat the classification given under Rule 43.3 if the International Preliminary Examining Authority agrees with such classification.</p> <p>(b) Otherwise, the International Preliminary Examining Authority shall indicate in the report the classification, at least according to the International Patent Classification, which it considers correct.</p>
Since June 19, 1970	<p>70.6 <i>Statement under Article 35(2)</i></p> <p>(a) The statement referred to in Article 35(2) shall consist of the words “YES” or “NO,” or their equivalent in the language of the report, or some appropriate sign provided for in the Administrative Instructions, and shall be accompanied by the citations, explanations and observations, if any, referred to in the last sentence of Article 35(2).</p> <p>(b) If any of the three criteria referred to in Article 35(2) (that is, novelty, inventive step (non-obviousness), industrial applicability) is not satisfied, the statement shall be negative. If, in such a case, any of the criteria, taken separately, is satisfied, the report shall specify the criterion or criteria so satisfied.</p>
Since June 19, 1970	<p>70.7 <i>Citations under Article 35(2)</i></p>

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From June 19, 1970 to June 30, 1998	(a) The report shall cite the documents considered to be relevant for supporting the statements made under Article 35(2).
Since July 1, 1998	(a) The report shall cite the documents considered to be relevant for supporting the statements made under Article 35(2), whether or not such documents are cited in the international search report. Documents cited in the international search report need only be cited in the report when they are considered by the International Preliminary Examining Authority to be relevant.
Since June 19, 1970	(b) The provisions of Rule 43.5(b) and (e) shall apply also to the report.
Since June 19, 1970	<p>70.8 <i>Explanations under Article 35(2)</i></p> <p>The Administrative Instructions shall contain guidelines for cases in which the explanations referred to in Article 35(2) should or should not be given and the form of such explanations. Such guidelines shall be based on the following principles:</p> <p>(i) explanations shall be given whenever the statement in relation to any claim is negative;</p> <p>(ii) explanations shall be given whenever the statement is positive unless the reason for citing any document is easy to imagine on the basis of consultation of the cited document;</p> <p>(iii) generally, explanations shall be given if the case provided for in the last sentence of Rule 70.6(b) obtains.</p>
Since June 19, 1970	<p>70.9 <i>Non-Written Disclosures</i></p> <p>Any non-written disclosure referred to in the report by virtue of Rule 64.2 shall be mentioned by indicating its kind, the date on which the written disclosure referring to the non-written disclosure was made available to the public, and the date on which the non-written disclosure occurred in public.</p>
Since June 19, 1970	<p>70.10 <i>Certain Published Documents</i></p> <p>Any published application or any patent referred to in the report by virtue of Rule 64.3 shall be mentioned as such and shall be accompanied by an indication of its date of publication, of its filing date, and its claimed priority date (if any). In respect of the priority date of any such document, the report may indicate that, in the opinion of the International Preliminary Examining Authority, such date has not been validly claimed.</p>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

From June 19, 1970 to December 31, 1984	70.11 <i>Mention of Amendments or Correction of Certain Defects</i>
Since January 1, 1985	70.11 <i>Mention of Amendments</i>
From June 19, 1970 to December 31, 1984	If, before the International Preliminary Examining Authority, amendments or corrections have been made, this fact shall be indicated in the report.
Since January 1, 1985	If, before the International Preliminary Examining Authority, amendments have been made, this fact shall be indicated in the report. Where any amendment has resulted in the cancellation of an entire sheet, this fact shall also be specified in the report.
From June 19, 1970 to June 30, 1992	70.12 <i>Mention of Certain Defects</i>
Since July 1, 1992	70.12 <i>Mention of Certain Defects and Other Matters</i>
Since June 19, 1970	If the International Preliminary Examining Authority considers that, at the time it prepares the report: <ul style="list-style-type: none"> <li>(i) the international application contains any of the defects referred to in Rule 66.2(a)(iii), it shall include this opinion and the reasons therefor in the report;</li> </ul>
From June 19, 1970 to June 30, 1992	(ii) the international application calls for any of the observations referred to in Rule 66.2(a)(v), it may include this opinion in the report and, if it does, it shall also indicate in the report the reasons for such opinion.
Since July 1, 1992	(ii) the international application calls for any of the observations referred to in Rule 66.2(a)(v), it may include this opinion in the report and, if it does, it shall also indicate in the report the reasons for such opinion;
Since July 1, 1992	(iii) any of the situations referred to in Article 34(4) exists, it shall state this opinion and the reasons therefor in the report; <ul style="list-style-type: none"> <li>(iv) a nucleotide and/or amino acid sequence listing is not available to it in such a form that a meaningful international preliminary examination can be carried out, it shall so state in the report.</li> </ul>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19, 1970	70.13 <i>Remarks Concerning Unity of Invention</i>
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From June 19, 1970 to June 30, 1992	If the applicant paid additional fees for the international preliminary examination, or if the international application or the international preliminary examination was restricted under Article 34(3), the report shall so indicate. Furthermore, where the international preliminary examination was carried out on restricted claims (Article 34(3)(a)), or on the main invention only (Article 34(3)(c)), the report shall indicate what parts of the international application were and what parts were not the subject of international preliminary examination.
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Since July 1, 1992	If the applicant paid additional fees for the international preliminary examination, or if the international application or the international preliminary examination was restricted under Article 34(3), the report shall so indicate. Furthermore, where the international preliminary examination was carried out on restricted claims (Article 34(3)(a)), or on the main invention only (Article 34(3)(c)), the report shall indicate what parts of the international application were and what parts were not the subject of international preliminary examination. The report shall contain the indications provided for in Rule 68.1, where the International Preliminary Examining Authority chose not to invite the applicant to restrict the claims or to pay additional fees.
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From June 19, 1970 to June 30, 1992	70.14 <i>Signature</i>
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Since July 1, 1992	70.14 <i>Authorized Officer</i>
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From June 19, 1970 to June 30, 1992	The report shall be signed by an authorized officer of the International Preliminary Examining Authority.
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Since July 1, 1992	The report shall indicate the name of the officer of the International Preliminary Examining Authority responsible for that report.
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From June 19, 1970 to December 31, 2003	70.15 <i>Form</i>
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Since January 1, 2004	70.15 <i>Form; Title</i>
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to December 31, 2003	The physical requirements as to the form of the report shall be prescribed by the Administrative Instructions.
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Since January 1, 2004	(a) The physical requirements as to the form of the report shall be prescribed by the Administrative Instructions. (b) The report shall bear the title “international preliminary report on patentability (Chapter II of the Patent Cooperation Treaty)” together with an indication that it is the international preliminary examination report established by the International Preliminary Examining Authority.
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From June 19, 1970 to December 31, 1984	70.16 <i>Attachment of Corrections and Amendments</i>
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From January 1, 1985 to June 30, 1998	70.16 <i>Annexes of the Report</i>
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Since July 1, 1998	70.16 <i>Annexes to the Report</i>
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From June 19, 1970 to December 31, 1984	If the claims, the description, or the drawings, were amended or any part of the international application was corrected before the International Preliminary Examining Authority, each replacement sheet marked as provided in Rule 66.8(b) shall be attached to the report as an annex thereto. Replacement sheets superseded by later replacement sheets shall not be attached. If the amendment is communicated in a letter, a copy of such letter shall also be annexed to the report.
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From January 1, 1985 to June 30, 1992	If the claims, the description, or the drawings, were amended before the International Preliminary Examining Authority, each replacement sheet under Rule 66.8(a) shall be annexed to the report. Replacement sheets superseded by later replacement sheets and letters under Rule 66.8(a) shall not be annexed.
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From July 1, 1992 to June 30, 1998	Each replacement sheet under Rule 66.8(a) and each replacement sheet containing amendments under Article 19 shall, unless superseded by later replacement sheets, be annexed to the report. Amendments under Article 19 which have been considered as reversed by an amendment under Article 34 and letters under Rule 66.8(a) shall not be annexed.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1998 to December 31, 2003	Each replacement sheet under Rule 66.8(a) or (b), each replacement sheet containing amendments under Article 19 and each replacement sheet containing rectifications of obvious errors authorized under Rule 91.1(e)(iii) shall, unless superseded by later replacement sheets or amendments resulting in the cancellation of entire sheets under Rule 66.8(b), be annexed to the report. Amendments under Article 19 which have been considered as reversed by an amendment under Article 34 and letters under Rule 66.8 shall not be annexed.
From January 1, 2004 to March 31, 2007	(a) Each replacement sheet under Rule 66.8(a) or (b), each replacement sheet containing amendments under Article 19 and each replacement sheet containing rectifications of obvious errors authorized under Rule 91.1(e)(iii) shall, unless superseded by later replacement sheets or amendments resulting in the cancellation of entire sheets under Rule 66.8(b), be annexed to the report. Replacement sheets containing amendments under Article 19 which have been considered as reversed by an amendment under Article 34 and letters under Rule 66.8 shall not be annexed.
From April 1, 2007 to June 30, 2009	(a) Each replacement sheet under Rule 66.8(a) or (b) and each replacement sheet containing amendments under Article 19 shall, unless superseded by later replacement sheets or amendments resulting in the cancellation of entire sheets under Rule 66.8(b), be annexed to the report. Replacement sheets containing amendments under Article 19 which have been considered as reversed by an amendment under Article 34 and letters under Rule 66.8 shall not be annexed.
From July 1, 2009 to June 30, 2011	(a) Each replacement sheet under Rule 66.8(a) or (b) shall, unless superseded by later replacement sheets under Rule 66.8(a) or (b) or amendments resulting in the cancellation of entire sheets under Rule 66.8(b), be annexed to the report.

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Since July 1, 2011	<p>(a) The following replacement sheets and letters shall be annexed to the report:</p> <p>(i) each replacement sheet under Rule 66.8 containing amendments under Article 34 and each letter under Rule 66.8(a), Rule 66.8(b) and Rule 46.5(b) as applicable by virtue of Rule 66.8(c);</p> <p>(ii) each replacement sheet under Rule 46.5 containing amendments under Article 19 and each letter under Rule 46.5; and</p> <p>(iii) each replacement sheet under Rule 26.4 as applicable by virtue of Rule 91.2 containing a rectification of an obvious mistake authorized by that Authority under Rule 91.1(b)(iii) and each letter under Rule 26.4 as applicable by virtue of Rule 91.2;</p> <p>unless any such replacement sheet has been superseded or considered reversed by a later replacement sheet or an amendment resulting in the cancellation of an entire sheet under Rule 66.8(b); and</p> <p>(iv) where the report contains an indication referred to in Rule 70.2(e), any sheet and letter relating to a rectification of an obvious mistake which is not taken into account pursuant to Rule 66.4<i>bis</i>.</p>
From July 1, 2009 to June 30, 2011	<p>(a-<i>bis</i>) Replacement sheets under Rule 46.5(a) shall, unless superseded or considered as reversed by replacement sheets under Rule 66.8(c), be annexed to the report. Replacement sheets under Rule 66.8(c) shall, unless superseded by later replacement sheets under Rule 66.8(c), be annexed to the report. Letters under Rule 46.5(b) or Rule 66.8(a) or (c) shall not be annexed to the report.</p>
Since July 1, 2011	<p>[Deleted]</p>
From January 1, 2004 to June 30, 2009	<p>(b) Notwithstanding paragraph (a), each superseded or reversed replacement sheet referred to in that paragraph shall also be annexed to the report where the International Preliminary Examining Authority considers that the relevant superseding or reversing amendment goes beyond the disclosure in the international application as filed and the report contains an indication referred to in Rule 70.2(c). In such a case, the superseded or reversed replacement sheet shall be marked as provided by the Administrative Instructions.</p>

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From July 1, 2009 to June 30, 2011	(b) Notwithstanding paragraphs (a) and (a-bis), each superseded or reversed replacement sheet referred to in those paragraphs shall also be annexed to the report where the International Preliminary Examining Authority considers that the relevant superseding or reversing amendment goes beyond the disclosure in the international application as filed and the report contains an indication referred to in Rule 70.2(c). In such a case, the superseded or reversed replacement sheet shall be marked as provided by the Administrative Instructions.
Since July 1, 2011	(b) Notwithstanding paragraph (a), each superseded or reversed replacement sheet referred to in that paragraph and any letter referred to in that paragraph relating to such superseded or reversed sheet shall also be annexed to the report where:  (i) the International Preliminary Examining Authority considers that the relevant superseding or reversing amendment goes beyond the disclosure in the international application as filed and the report contains an indication referred to in Rule 70.2(c);  (ii) the relevant superseding or reversing amendment was not accompanied by a letter indicating the basis for the amendment in the application as filed and the report is established as if the amendment had not been made and contains an indication referred to in Rule 70.2(c-bis).  In such a case, the superseded or reversed replacement sheet shall be marked as provided by the Administrative Instructions.
Since June 19, 1970	70.17 <i>Languages of the Report and the Annexes</i>
From June 19, 1970 to December 31, 1984	(a) The report shall be in the language in which the international application to which it relates is published.
From January 1, 1985 to December 31, 1992	(a) The report and any annex shall be in the language in which the international application to which they relate is published.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1993 to June 30, 1998	(a) The report and any annex shall be in the language in which the international application to which they relate is published, or, if the international preliminary examination is carried out, pursuant to Rule 55.2, on the basis of a translation of the international application, in the language of that translation.
Since July 1, 1998	The report and any annex shall be in the language in which the international application to which they relate is published, or, if the international preliminary examination is carried out, pursuant to Rule 55.2, on the basis of a translation of the international application, in the language of that translation.
From June 19, 1970 to December 31, 1984	(b) Any annex shall be both in the language in which the international application to which it relates was filed and also, if it is different, in the language in which the international application to which it relates is published.
Since January 1, 1985	(b) [Deleted]
Since June 19, 1970	Rule 71 Transmittal of the International Preliminary Examination Report
Since June 19, 1970	71.1 <i>Recipients</i> The International Preliminary Examining Authority shall, on the same day, transmit one copy of the international preliminary examination report and its annexes, if any, to the International Bureau, and one copy to the applicant.
Since June 19, 1970	71.2 <i>Copies of Cited Documents</i> (a) The request under Article 36(4) may be presented any time during seven years from the international filing date of the international application to which the report relates. (b) The International Preliminary Examining Authority may require that the party (applicant or elected Office) presenting the request pay to it the cost of preparing and mailing the copies. The level of the cost of preparing copies shall be provided for in the agreements referred to in Article 32(2) between the International Preliminary Examining Authorities and the International Bureau.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From June 19, 1970 to June 30, 1992	(c) Any International Preliminary Examining Authority not wishing to send copies direct to any elected Office shall send a copy to the International Bureau and the International Bureau shall then proceed as provided in paragraphs (a) and (b).
Since July 1, 1992	(c) [Deleted]
From June 19, 1970 to June 30, 1992	(d) Any International Preliminary Examining Authority may perform the obligations referred to in (a) to (c) through another agency responsible to it.
Since July 1, 1992	(d) Any International Preliminary Examining Authority may perform the obligations referred to in paragraphs (a) and (b) through another agency responsible to it.
From June 19, 1970 to December 31, 2003	Rule 72 Translation of the International Preliminary Examination Report
Since January 1, 2004	Rule 72 Translation of the International Preliminary Examination Report and of the Written Opinion of the International Searching Authority
Since June 19, 1970	72.1 <i>Languages</i>
From June 19, 1970 to June 30, 1992	(a) Any elected State may require that the international preliminary examination report, established in any language other than the official language, or one of the official languages, of its national Office, be translated into English, French, German, Japanese, Russian, or Spanish.
Since July 1, 1992	(a) Any elected State may require that the international preliminary examination report, established in any language other than the official language, or one of the official languages, of its national Office, be translated into English.
Since June 19, 1970	(b) Any such requirement shall be notified to the International Bureau, which shall promptly publish it in the Gazette.
From June 19, 1970 to June 30, 1992	72.2 <i>Copies of Translations for the Applicant</i>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 1992	72.2	<i>Copy of Translation for the Applicant</i>
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From June 19, 1970 to June 30, 1992		The International Bureau shall transmit a copy of each translation of the international preliminary examination report to the applicant at the same time as it communicates such translation to the interested elected Office or Offices.
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Since July 1, 1992		The International Bureau shall transmit a copy of the translation referred to in Rule 72.1(a) of the international preliminary examination report to the applicant at the same time as it communicates such translation to the interested elected Office or Offices.
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Since January 1, 2004	72.2bis	<i>Translation of the Written Opinion of the International Searching Authority Established under Rule 43bis.1</i>
		In the case referred to in Rule 73.2(b)(ii), the written opinion established by the International Searching Authority under Rule 43bis.1 shall, upon request of the elected Office concerned, be translated into English by or under the responsibility of the International Bureau. The International Bureau shall transmit a copy of the translation to the elected Office concerned within two months from the date of receipt of the request for translation, and shall at the same time transmit a copy to the applicant.

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Since June 19, 1970	72.3	<i>Observations on the Translation</i>
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From June 19, 1970 to December 31, 2003		The applicant may make written observations on what, in his opinion, are errors of translation in the translation of the international preliminary examination report and shall send a copy of any such observations to each of the interested elected Offices and a copy to the International Bureau.
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Since January 1, 2004		The applicant may make written observations as to the correctness of the translation of the international preliminary examination report or of the written opinion established by the International Searching Authority under Rule 43bis.1 and shall send a copy of the observations to each of the interested elected Offices and to the International Bureau.
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From June 19, 1970 to December 31, 2003	Rule 73 Communication of the International Preliminary Examination Report
Since January 1, 2004	Rule 73 Communication of the International Preliminary Examination Report or the Written Opinion of the International Searching Authority
Since June 19, 1970	73.1 <i>Preparation of Copies</i> The International Bureau shall prepare the copies of the documents to be communicated under Article 36(3)(a).
From June 19, 1970 to December 31, 2003	73.2 <i>Time Limit for Communication</i>
Since January 1, 2004	73.2 <i>Communication to Elected Offices</i>
From June 19, 1970 to June 30, 1992	The communication provided for in Article 36(3)(a) shall be effected as promptly as possible.
From July 1, 1992 to December 31, 2003	The communication provided for in Article 36(3)(a) shall be effected as promptly as possible but not earlier than the communication under Article 20.
Since January 1, 2004	(a) The International Bureau shall effect the communication provided for in Article 36(3)(a) to each elected Office in accordance with Rule 93 <i>bis</i> .1 but not before the expiration of 30 months from the priority date.  (b) Where the applicant makes an express request to an elected Office under Article 40(2), the International Bureau shall, upon the request of that Office or of the applicant,  (i) if the international preliminary examination report has already been transmitted to the International Bureau under Rule 71.1, promptly effect the communication provided for in Article 36(3)(a) to that Office;

(ii) if the international preliminary examination report has not been transmitted to the International Bureau under Rule 71.1, promptly communicate a copy of the written opinion established by the International Searching Authority under Rule 43*bis*.1 to that Office.

(c) Where the applicant has withdrawn the demand or any or all elections, the communication provided for in paragraph (a) shall nevertheless be effected, if the International Bureau has received the international preliminary examination report, to the elected Office or Offices affected by the withdrawal.

Since June 19,  
1970

Rule 74  
Translations of Annexes of the International  
Preliminary Examination Report and Transmittal Thereof

From June 19,  
1970 to  
December 31,  
1984

74.1 *Time Limit*

Since  
January 1,  
1985

74.1 *Contents of Translation and Time Limit for  
Transmittal Thereof*

From June 19,  
1970 to  
December 31,  
1984

Any replacement sheet referred to in Rule 70.16, or any amendment referred to in the last sentence of that Rule which was filed prior to the furnishing of the translation of the international application required under Article 39, or, where the furnishing of such translation is governed by Article 64(2)(a)(i), which was filed prior to the furnishing of the translation of the international application required under Article 22, shall be translated and transmitted together with the furnishing under Article 39 or, where applicable, under Article 22, or, if filed less than one month before such furnishing or if filed after such furnishing, one month after it has been filed.

From  
January 1,  
1985 to  
December 31,  
1992

Where the furnishing of a translation of the international application is required by the elected Office under Article 39(1), the applicant shall, within the time limit applicable under Article 39(1), transmit a translation of any replacement sheet referred to in Rule 70.16 which is annexed to the international preliminary examination report. The same time limit shall apply where the furnishing of a translation of the international application to the elected Office must, because of a declaration made under Article 64(2)(a)(i), be effected within the time limit applicable under Article 22.

Since  
January 1,  
1993

(a) Where the furnishing of a translation of the international application is required by the elected Office under Article 39(1), the applicant shall, within the time limit applicable under Article 39(1), transmit a translation of any replacement sheet referred to in Rule 70.16 which is annexed to the international preliminary examination report, unless such sheet is in the language of the required translation of the international application. The same time limit shall apply where the furnishing of a translation of the international application to the elected Office must, because of a declaration made under Article 64(2)(a)(i), be effected within the time limit applicable under Article 22.

(b) Where the furnishing under Article 39(1) of a translation of the international application is not required by the elected Office, that Office may require the applicant to furnish, within the time limit applicable under that Article, a translation into the language in which the international application was published of any replacement sheet referred to in Rule 70.16 which is annexed to the international preliminary examination report and is not in that language.

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From April 14,  
1978 to  
December 31,  
1984

Rule 74*bis*  
Notification of Withdrawal under Rule 32

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From April 14,  
1978 to  
December 31,  
1984

74*bis*.1 *Notification of the International Preliminary Examining Authority*

If, at the time of the withdrawal of the international application or of the designation of all designated States under Rule 32.1, a demand for international preliminary examination has already been submitted and the international preliminary examination report has not yet issued, the International Bureau shall promptly notify the fact of withdrawal, together with the date of receipt of the notice effecting withdrawal, to the International Preliminary Examining Authority.

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Since  
January 1,  
1985

Rule 74*bis*  
[Deleted]

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From June 19,  
1970 to  
June 30, 1992

Rule 75  
Withdrawal of the Demand, or of Elections

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From June 19,  
1970 to  
June 30, 1992

75.1 *Withdrawal*

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From June 19,  
1970 to  
December 31,  
1984

(a) Withdrawal of the demand or all the elections may be effected prior to the expiration of 25 months from the priority date except as to any elected State in which national processing or examination has already started. Withdrawal of the election of any elected State may be effected prior to the date on which examination and processing may start in that State.

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From  
January 1,  
1985 to  
June 30, 1992

(a) Withdrawal of the demand or all the elections may be effected prior to the expiration of 30 months from the priority date except as to any elected State in which national processing or examination has already started. Withdrawal of the election of any elected State may be effected prior to the date on which examination and processing may start in that State.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From June 19, 1970 to June 30, 1992	(b) Withdrawal shall be effected by a signed notice from the applicant to the International Bureau. In the case of Rule 4.8(b), the notice shall require the signature of all the applicants.
Since July 1, 1992	75.1 <i>[Deleted]</i>
From June 19, 1970 to December 31, 1984	75.2 <i>Notification of Elected Offices</i>  (a) The fact that the demand or all elections have been withdrawn shall be promptly notified by the International Bureau to the national Offices of all States which, up to the time of the withdrawal, were elected States and had been informed of their election.  (b) The fact that any election has been withdrawn and the date of receipt of the withdrawal shall be promptly notified by the International Bureau to the elected Office concerned, except where it has not yet been informed that it had been elected.
Since January 1, 1985	75.2 <i>[Deleted]</i>
From June 19, 1970 to December 31, 1984	75.3 <i>Notification of the International Preliminary Examining Authority</i>  The fact that the demand or all elections have been withdrawn shall be promptly notified by the International Bureau to the International Preliminary Examining Authority if, at the time of the withdrawal, the latter had been informed of the existence of the demand.
Since January 1, 1985	75.3 <i>[Deleted]</i>
From June 19, 1970 to June 30, 1992	75.4 <i>Faculty under Article 37(4)(b)</i>  (a) Any Contracting State wishing to take advantage of the faculty provided for in Article 37(4)(b) shall notify the International Bureau in writing.  (b) The notification under paragraph (a) shall be promptly published by the International Bureau in the Gazette, and shall have effect in respect of international applications filed more than one month after the publication date of the relevant issue of the Gazette.



From June 19,  
1970 to  
December 31,  
1984

Rule 76  
Languages of Translations and Amounts of Fees  
under Article 39(1); Translation of Priority Document

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From  
January 1,  
1985 to  
March 31,  
2005

Rule 76  
Copy, Translation and Fee under Article 39(1);  
Translation of Priority Document

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Since April 1,  
2005

Rule 76  
Translation of Priority Document;  
Application of Certain Rules to Procedures before Elected  
Offices

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From June 19,  
1970 to  
December 31,  
1984

76.1 *Notification*

(a) Any Contracting State requiring the furnishing of a translation or the payment of a national fee, or both, under Article 39(1), shall notify the International Bureau of:

- (i) the languages from which and the language into which it requires translation,
- (ii) the amount of the national fee.

(b) Any notification received by the International Bureau under paragraph (a) shall be published by the International Bureau in the Gazette.

(c) If the requirements under paragraph (a) change later, such changes shall be notified by the Contracting State to the International Bureau and that Bureau shall promptly publish the notification in the Gazette. If the change means that translation is required into a language which, before the change, was not required, such change shall be effective only with respect to a demand submitted later than two months after the publication of the notification in the Gazette. Otherwise, the effective date of any change shall be determined by the Contracting State.

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Since  
January 1,  
1985

76.1 *[Deleted]*

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to December 31, 1984	76.2	<i>Languages</i>	
			The language into which translation may be required must be an official language of the elected Office. If there are several of such languages, no translation may be required if the international application is in one of them. If there are several official languages and a translation must be furnished, the applicant may choose any of those languages. Notwithstanding the foregoing provisions of this paragraph, if there are several official languages but the national law prescribes the use of one such language for foreigners, a translation into that language may be required.
Since January 1, 1985	76.2	<i>[Deleted]</i>	
From June 19, 1970 to December 31, 1980	76.3	<i>Statements under Article 19</i>	
From January 1, 1981 to December 31, 1984	76.3	<i>Statements under Article 19; Rule 13bis.4</i>	<i>Indications under</i>
From June 19, 1970 to December 31, 1980			For the purposes of Article 39 and the present Rule, any statement made under Article 19(1) shall be considered as part of the international application.
From January 1, 1981 to December 31, 1984			For the purposes of Article 39 and the present Rule, any statement made under Article 19(1) and any indication furnished under Rule 13bis.4 shall be considered part of the international application.
Since January 1, 1985	76.3	<i>[Deleted]</i>	
Since June 19, 1970	76.4	<i>Time Limit for Translation of Priority Document</i>	

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From June 19, 1970 to June 30, 1998	The applicant shall not be required to furnish to any elected Office a certified translation of the priority document before the expiration of the applicable time limit under Article 39.
Since July 1, 1998	The applicant shall not be required to furnish to any elected Office a translation of the priority document before the expiration of the applicable time limit under Article 39.
From January 1, 1985 to December 31, 2003	76.5 <i>Application of Rules 22.1(g), 49 and 51bis</i>
From January 1, 2004 to March 31, 2005	76.5 <i>Application of Rules 22.1(g), 47.1, 49, 49bis and 51bis</i>
Since April 1, 2005	76.5 <i>Application of Certain Rules to Procedures before Elected Offices</i>
From January 1, 1985 to December 31, 2003	Rules 22.1(g), 49 and 51bis shall apply, provided that:
From January 1, 2004 to March 31, 2005	Rules 22.1(g), 47.1, 49, 49bis and 51bis shall apply, provided that:
From April 1, 2005 to March 31, 2007	Rules 13ter.3, 22.1(g), 47.1, 49, 49bis and 51bis shall apply, provided that:
From April 1, 2007 to June 30, 2015	Rules 13ter.3, 20.8(c), 22.1(g), 47.1, 49, 49bis, 49ter and 51bis shall apply, provided that:
Since July 1, 2015	Rules 13ter.3, 20.8(c), 22.1(g), 47.1, 49, 49bis, 49ter and 51bis shall apply, provided that:

History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since January 1, 1985	(i) any reference in the said Rules to the designated Office or to the designated State shall be construed as a reference to the elected Office or to the elected State, respectively;
From January 1, 1985 to June 30, 1992	(ii) any reference in the said Rules to Article 22 shall be construed as a reference to Article 39(1);
From July 1, 1992 to June 30, 2015	(ii) any reference in the said Rules to Article 22 or Article 24(2) shall be construed as a reference to Article 39(1) or Article 39(3), respectively;
Since July 1, 2015	(ii) any reference in the said Rules to Article 22, Article 23(2) or Article 24(2) shall be construed as a reference to Article 39(1), Article 40(2) or Article 39(3), respectively;
From January 1, 1985 to June 30, 1992	(iii) the words “international applications filed” in Rule 49.1(c) shall be replaced by the words “a demand submitted.”
Since July 1, 1992	(iii) the words “international applications filed” in Rule 49.1(c) shall be replaced by the words “a demand submitted;”
From July 1, 1992 to December 31, 2003	(iv) for the purposes of Article 39(1), where an international preliminary examination report has been established, a translation of any amendment under Article 19 shall only be required if that amendment is annexed to that report.
Since January 1, 2004	(iv) for the purposes of Article 39(1), where an international preliminary examination report has been established, a translation of any amendment under Article 19 shall only be required if that amendment is annexed to that report;
Since January 1, 2004	(v) the reference in Rule 47.1(a) to Rule 47.4 shall be construed as a reference to Rule 61.2(d).

From July 1, 1992 to December 31, 2003	76.6 <i>Transitional Provision</i>	If, on July 12, 1991, Rule 76.5(iv) is not compatible with the national law applied by the elected Office in respect of claims amended under Article 19, Rule 76.5(iv) shall not apply in that respect to that elected Office for as long as it continues not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by December 31, 1991. The information received shall be promptly published by the International Bureau in the Gazette.
Since January 1, 2004	76.6 <i>[Deleted]</i>	
Since June 19, 1970	Rule 77 Faculty under Article 39(1)(b)	
Since June 19, 1970	77.1 <i>Exercise of Faculty</i>	<p>(a) Any Contracting State allowing a time limit expiring later than the time limit provided for in Article 39(1)(a) shall notify the International Bureau of the time limit so fixed.</p> <p>(b) Any notification received by the International Bureau under paragraph (a) shall be promptly published by the International Bureau in the Gazette.</p> <p>(c) Notifications concerning the shortening of the previously fixed time limit shall be effective in relation to demands submitted after the expiration of three months computed from the date on which the notification was published by the International Bureau.</p> <p>(d) Notifications concerning the lengthening of the previously fixed time limit shall become effective upon publication by the International Bureau in the Gazette in respect of demands pending at the time or submitted after the date of such publication, or, if the Contracting State effecting the notification fixes some later date, as from the latter date.</p>

Since June 19, 1970	<p>Rule 78 Amendment of the Claims, the Description, and the Drawings, before Elected Offices</p>
From June 19, 1970 to December 31, 2003	78.1 <i>Time Limit Where Election Is Effected prior to Expiration of 19 Months from Priority Date</i>
Since January 1, 2004	78.1 <i>Time Limit</i>
From June 19, 1970 to June 30, 1992	<p>(a) Where the election of any Contracting State is effected prior to the expiration of the 19<sup>th</sup> month from the priority date, the applicant shall, if he so wishes, exercise the right under Article 41 after the transmittal of the international preliminary examination report under Article 36(1) has been effected and before the time limit applicable under Article 39 expires, provided that, if the said transmittal has not taken place by the expiration of the time limit applicable under Article 39, he shall exercise the said right not later than on such expiration date. In either case, the applicant may exercise the said right at any other time if so permitted by the national law of the said State.</p>
From July 1, 1992 to December 31, 2003	<p>(a) Where the election of any Contracting State is effected prior to the expiration of the 19<sup>th</sup> month from the priority date, the applicant shall, if he so wishes, exercise the right under Article 41 to amend the claims, the description and the drawings, before the elected Office concerned within one month from the fulfillment of the requirements under Article 39(1)(a), provided that, if the transmittal of the international preliminary examination report under Article 36(1) has not taken place by the expiration of the time limit applicable under Article 39, he shall exercise the said right not later than four months after such expiration date. In either case, the applicant may exercise the said right at any other time if so permitted by the national law of the said State.</p>

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Since January 1, 2004	(a) The applicant shall, if he so wishes, exercise the right under Article 41 to amend the claims, the description and the drawings, before the elected Office concerned within one month from the fulfillment of the requirements under Article 39(1)(a), provided that, if the transmittal of the international preliminary examination report under Article 36(1) has not taken place by the expiration of the time limit applicable under Article 39, he shall exercise the said right not later than four months after such expiration date. In either case, the applicant may exercise the said right at any later time if so permitted by the national law of the said State.
From June 19, 1970 to June 30, 1992	(b) In any elected State in which the national law provides that examination starts only on special request, the national law may provide that the time limit within or the time at which the applicant may exercise the right under Article 41 shall, where the election of any Contracting State is effected prior to the expiration of the 19 <sup>th</sup> month from the priority date, be the same as that provided by the national law for the filing of amendments in the case of the examination, on special request, of national applications, provided that such time limit shall not expire prior to, or such time shall not come before, the expiration of the time limit applicable under Article 39.
From July 1, 1992 to 31, 2003	(b) In any elected State in which the national law provides that examination starts only on special request, the national law may provide that the time limit within or the time at which the applicant may exercise the right under Article 41 shall, where the election of any Contracting State is effected prior to the expiration of the 19 <sup>th</sup> month from the priority date, be the same as that provided by the national law for the filing of amendments in the case of the examination, on special request, of national applications, provided that such time limit shall not expire prior to, or such time shall not come before, the expiration of the time limit applicable under paragraph (a).
Since January 1, 2004	(b) In any elected State in which the national law provides that examination starts only on special request, the national law may provide that the time limit within or the time at which the applicant may exercise the right under Article 41 shall be the same as that provided by the national law for the filing of amendments in the case of the examination, on special request, of national applications, provided that such time limit shall not expire prior to, or such time shall not come before, the expiration of the time limit applicable under paragraph (a).

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to December 31, 2003	78.2	<i>Time Limit Where Election Is Effected after Expiration of 19 Months from Priority Date</i>  Where the election of any Contracting State has been effected after the expiration of the 19 <sup>th</sup> month from the priority date and the applicant wishes to make amendments under Article 41, the time limit for making amendments under Article 28 shall apply.
Since January 1, 2004	78.2	<i>[Deleted]</i>
Since June 19, 1970	78.3	<i>Utility Models</i>  The provisions of Rules 6.5 and 13.5 shall apply, <i>mutatis mutandis</i> , before elected Offices. If the election was made before the expiration of the 19 <sup>th</sup> month from the priority date, the reference to the time limit applicable under Article 22 is replaced by a reference to the time limit applicable under Article 39.

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PART D  
RULES CONCERNING CHAPTER III OF THE TREATY

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Since June 19,  
1970

Rule 79  
Calendar

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Since June 19, 1970 79.1 *Expressing Dates*

Applicants, national Offices, receiving Offices, International Searching and Preliminary Examining Authorities, and the International Bureau, shall, for the purposes of the Treaty and the Regulations, express any date in terms of the Christian era and the Gregorian calendar, or, if they use other eras and calendars, they shall also express any date in terms of the Christian era and the Gregorian calendar.

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Since June 19,  
1970

Rule 80  
Computation of Time Limits

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Since June 19, 1970 80.1 *Periods Expressed in Years*

When a period is expressed as one year or a certain number of years, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire in the relevant subsequent year in the month having the same name and on the day having the same number as the month and the day on which the said event occurred, provided that if the relevant subsequent month has no day with the same number the period shall expire on the last day of that month.

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Since June 19, 1970 80.2 *Periods Expressed in Months*

When a period is expressed as one month or a certain number of months, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire in the relevant subsequent month on the day which has the same number as the day on which the said event occurred, provided that if the relevant subsequent month has no day with the same number the period shall expire on the last day of that month.

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Since June 19, 1970 80.3 *Periods Expressed in Days*

When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on which the last day of the count has been reached.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19, 1970, 80.4 *Local Dates*

(a) The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred.

(b) The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid.

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From June 19, 1970 to December 31, 2003, 80.5 *Expiration on a Non-Working Day*

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Since January 1, 2004, 80.5 *Expiration on a Non-Working Day or Official Holiday*

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From June 19, 1970 to December 31, 2003, If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists.

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Since January 1, 2004, If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day:

- (i) on which such Office or organization is not open to the public for the purposes of the transaction of official business;
- (ii) on which ordinary mail is not delivered in the locality in which such Office or organization is situated;

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- (iii) which, where such Office or organization is situated in more than one locality, is an official holiday in at least one of the localities in which such Office or organization is situated, and in circumstances where the national law applicable by that Office or organization provides, in respect of national applications, that, in such a case, such period shall expire on a subsequent day; or
  - (iv) which, where such Office is the government authority of a Contracting State entrusted with the granting of patents, is an official holiday in part of that Contracting State, and in circumstances where the national law applicable by that Office provides, in respect of national applications, that, in such a case, such period shall expire on a subsequent day;
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the period shall expire on the next subsequent day on which none of the said four circumstances exists.

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Since June 19, 1970      80.6      *Date of Documents*

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From June 19, 1970 to September 30, 1980      Where a period starts on the day of the date of a document or letter emanating from a national Office or intergovernmental organization, any interested party may prove that the said document or letter was mailed on a day later than the date it bears, in which case the date of actual mailing shall, for the purposes of computing the period, be considered to be the date on which the period starts.

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From October 1, 1980 to June 30, 1998      (a) Where a period starts on the day of the date of a document or letter emanating from a national Office or intergovernmental organization, any interested party may prove that the said document or letter was mailed on a day later than the date it bears, in which case the date of actual mailing shall, for the purposes of computing the period, be considered to be the date on which the period starts. Irrespective of the date on which such a document or letter was mailed, if the applicant offers to the national Office or intergovernmental organization evidence which satisfies the national Office or intergovernmental organization that the document or letter was received more than seven days after the date it bears, the national Office or intergovernmental organization shall treat the period starting from the date of the document or letter as expiring later by an additional number of days which is equal to the number of days which the document or letter was received later than seven days after the date it bears.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 1998	<p>Where a period starts on the day of the date of a document or letter emanating from a national Office or intergovernmental organization, any interested party may prove that the said document or letter was mailed on a day later than the date it bears, in which case the date of actual mailing shall, for the purposes of computing the period, be considered to be the date on which the period starts. Irrespective of the date on which such a document or letter was mailed, if the applicant offers to the national Office or intergovernmental organization evidence which satisfies the national Office or intergovernmental organization that the document or letter was received more than seven days after the date it bears, the national Office or intergovernmental organization shall treat the period starting from the date of the document or letter as expiring later by an additional number of days which is equal to the number of days which the document or letter was received later than seven days after the date it bears.</p>
From October 1, 1980 to December 31, 1985	<p>(b) Any receiving Office may exclude the application of the second sentence of paragraph (a) by a written notification to that effect given to the International Bureau by September 1, 1980. Such notification may be withdrawn at any time. The International Bureau shall publish all such notifications and withdrawals in the Gazette.</p>
Since January 1, 1986	<p>(b) <i>[Deleted]</i></p>
Since June 19, 1970	<p>80.7 <i>End of Working Day</i></p> <p>(a) A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day.</p> <p>(b) Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day.</p>
From June 19, 1970 to June 30, 1992	<p>(c) The International Bureau shall be open for business until 6 p.m.</p>
Since July 1, 1992	<p>(c) <i>[Deleted]</i></p>

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Since June 19,  
1970

Rule 81  
Modification of Time Limits Fixed in the Treaty

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Since June 19, 1970 81.1 *Proposal*

(a) Any Contracting State or the Director General may propose a modification under Article 47(2).

(b) Proposals made by a Contracting State shall be presented to the Director General.

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Since June 19, 1970 81.2 *Decision by the Assembly*

(a) When the proposal is made to the Assembly, its text shall be sent by the Director General to all Contracting States at least two months in advance of that session of the Assembly whose agenda includes the proposal.

(b) During the discussion of the proposal in the Assembly, the proposal may be amended or consequential amendments proposed.

(c) The proposal shall be considered adopted if none of the Contracting States present at the time of voting votes against the proposal.

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Since June 19, 1970 81.3 *Voting by Correspondence*

(a) When voting by correspondence is chosen, the proposal shall be included in a written communication from the Director General to the Contracting States, inviting them to express their vote in writing.

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(b) The invitation shall fix the time limit within which the reply containing the vote expressed in writing must reach the International Bureau. That time limit shall not be less than three months from the date of the invitation.

(c) Replies must be either positive or negative. Proposals for amendments or mere observations shall not be regarded as votes.

(d) The proposal shall be considered adopted if none of the Contracting States opposes the amendment and if at least one-half of the Contracting States express either approval or indifference or abstention.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since June 19,  
1970

Rule 82  
Irregularities in the Mail Service

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Since June 19, 82.1 *Delay or Loss in Mail*  
1970

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From June 19,  
1970 to  
December 31,  
1980

(a) Subject to the provisions of Rule 22.3, any interested party may offer evidence that he has mailed the document or letter five days prior to the expiration of the time limit. Except in cases where surface mail normally arrives at its destination within two days of mailing, or where no airmail service is available, such evidence may be offered only if the mailing was by airmail. In any case, evidence may be offered only if the mailing was by mail registered by the postal authorities.

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Since  
January 1,  
1981

(a) Any interested party may offer evidence that he has mailed the document or letter five days prior to the expiration of the time limit. Except in cases where surface mail normally arrives at its destination within two days of mailing, or where no airmail service is available, such evidence may be offered only if the mailing was by airmail. In any case, evidence may be offered only if the mailing was by mail registered by the postal authorities.

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From June 19,  
1970 to  
June 30, 1992

(b) If such mailing is proven to the satisfaction of the national Office or intergovernmental organization which is the addressee, delay in arrival shall be excused, or, if the document or letter is lost in the mail, substitution for it of a new copy shall be permitted, provided that the interested party proves to the satisfaction of the said Office or organization that the document or letter offered in substitution is identical with the document or letter lost.

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Since July 1,  
1992

(b) If the mailing, in accordance with paragraph (a), of a document or letter is proven to the satisfaction of the national Office or intergovernmental organization which is the addressee, delay in arrival shall be excused, or, if the document or letter is lost in the mail, substitution for it of a new copy shall be permitted, provided that the interested party proves to the satisfaction of the said Office or organization that the document or letter offered in substitution is identical with the document or letter lost.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

<p>From June 19, 1970 to December 31, 1984</p>	<p>(c) In the cases provided for in paragraph (b), evidence of mailing within the prescribed time limit, and, where the document or letter was lost, the substitute document or letter as well, shall be submitted within one month after the date on which the interested party noticed – or with due diligence should have noticed – the delay or the loss, and in no case later than six months after the expiration of the time limit applicable in the given case.</p>
<p>Since January 1, 1985</p>	<p>(c) In the cases provided for in paragraph (b), evidence of mailing within the prescribed time limit, and, where the document or letter was lost, the substitute document or letter as well as the evidence concerning its identity with the document or letter lost shall be submitted within one month after the date on which the interested party noticed – or with due diligence should have noticed – the delay or the loss, and in no case later than six months after the expiration of the time limit applicable in the given case.</p>
<p>Since July 1, 1992</p>	<p>(d) Any national Office or intergovernmental organization which has notified the International Bureau that it will do so shall, where a delivery service other than the postal authorities is used to mail a document or letter, apply the provisions of paragraphs (a) to (c) as if the delivery service was a postal authority. In such a case, the last sentence of paragraph (a) shall not apply but evidence may be offered only if details of the mailing were recorded by the delivery service at the time of mailing. The notification may contain an indication that it applies only to mailings using specified delivery services or delivery services which satisfy specified criteria. The International Bureau shall publish the information so notified in the Gazette.</p> <p>(e) Any national Office or intergovernmental organization may proceed under paragraph (d):</p> <p>(i) even if, where applicable, the delivery service used was not one of those specified, or did not satisfy the criteria specified, in the relevant notification under paragraph (d), or</p> <p>(ii) even if that Office or organization has not sent to the International Bureau a notification under paragraph (d).</p>
<p>From June 19, 1970 to June 30, 2012</p>	<p>82.2 <i>Interruption in the Mail Service</i></p>
<p>Since July 1, 2012</p>	<p>82.2 <i>[Deleted]</i></p>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

<p>From June 19, 1970 to December 31, 1980</p>	<p>(a) Subject to the provisions of Rule 22.3, any interested party may offer evidence that on any of the 10 days preceding the day of expiration of the time limit the postal service was interrupted on account of war, revolution, civil disorder, strike, natural calamity, or other like reason, in the locality where the interested party resides or has his place of business or is staying.</p>
<p>From January 1, 1981 to June 30, 2012</p>	<p>(a) Any interested party may offer evidence that on any of the 10 days preceding the day of expiration of the time limit the postal service was interrupted on account of war, revolution, civil disorder, strike, natural calamity, or other like reason, in the locality where the interested party resides or has his place of business or is staying.</p>
<p>From June 19, 1970 to June 30, 2012</p>	<p>(b) If such circumstances are proven to the satisfaction of the national Office or intergovernmental organization which is the addressee, delay in arrival shall be excused, provided that the interested party proves to the satisfaction of the said Office or organization that he effected the mailing within five days after the mail service was resumed. The provisions of Rule 82.1(c) shall apply <i>mutatis mutandis</i>.</p>
<p>Since July 1, 2012</p>	<p>Rule 82.2 [Deleted]</p>
<p>Since January 1, 1985</p>	<p>Rule 82bis Excuse by the Designated or Elected State of Delays in Meeting Certain Time Limits</p>
<p>Since January 1, 1985</p>	<p>82bis.1 <i>Meaning of "Time Limit" in Article 48(2)</i> The reference to "any time limit" in Article 48(2) shall be construed as comprising a reference: (i) to any time limit fixed in the Treaty or these Regulations; (ii) to any time limit fixed by the receiving Office, the International Searching Authority, the International Preliminary Examining Authority or the International Bureau or applicable by the receiving Office under its national law; (iii) to any time limit fixed by, or in the national law applicable by, the designated or elected Office, for the performance of any act by the applicant before that Office.</p>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 1985	<p><i>82bis.2 Reinstatement of Rights and Other Provisions to Which Article 48(2) Applies</i></p> <p>The provisions of the national law which is referred to in Article 48(2) concerning the excusing, by the designated or elected State, of any delay in meeting any time limit are those provisions which provide for reinstatement of rights, restoration, <i>restitutio in integrum</i> or further processing in spite of non-compliance with a time limit, and any other provision providing for the extension of time limits or for excusing delays in meeting time limits.</p>
Since January 1, 1985	<p><i>Rule 82ter</i> <i>Rectification of Errors Made</i> <i>by the Receiving Office or by the International Bureau</i></p>
Since January 1, 1985	<p><i>82ter.1 Errors Concerning the International Filing Date and the Priority Claim</i></p>
From January 1, 1985 to June 30, 1998	<p>If the applicant proves to the satisfaction of any designated or elected Office that the international filing date is incorrect due to an error made by the receiving Office or that the declaration made under Article 8(1) has been erroneously cancelled or corrected by the receiving Office or the International Bureau, and if the error is an error such that, had it been made by the designated or elected Office itself, that Office would rectify it under the national law or national practice, the said Office shall rectify the error and shall treat the international application as if it had been accorded the rectified international filing date or as if the declaration under Article 8(1) had not been cancelled or corrected, as the case may be.</p>
From July 1, 1998 to March 31, 2007	<p>If the applicant proves to the satisfaction of any designated or elected Office that the international filing date is incorrect due to an error made by the receiving Office or that the priority claim has been erroneously considered by the receiving Office or the International Bureau not to have been made, and if the error is an error such that, had it been made by the designated or elected Office itself, that Office would rectify it under the national law or national practice, the said Office shall rectify the error and shall treat the international application as if it had been accorded the rectified international filing date or as if the priority claim had not been considered not to have been made.</p>

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Since April 1,  
2007

(a) If the applicant proves to the satisfaction of any designated or elected Office that the international filing date is incorrect due to an error made by the receiving Office or that the priority claim has been erroneously considered void by the receiving Office or the International Bureau, and if the error is an error such that, had it been made by the designated or elected Office itself, that Office would rectify it under the national law or national practice, the said Office shall rectify the error and shall treat the international application as if it had been accorded the rectified international filing date or as if the priority claim had not been considered void.

(b) Where the international filing date has been accorded by the receiving Office under Rule 20.3(b)(ii) or 20.5(d) on the basis of the incorporation by reference under Rules 4.18 and 20.6 of an element or part but the designated or elected Office finds that:

- (i) the applicant has not complied with Rule 17.1(a), (b) or (b-*bis*) in relation to the priority document;
- (ii) a requirement under Rule 4.18, 20.6(a)(i) or 51*bis*.1(e)(ii) has not been complied with; or
- (iii) the element or part is not completely contained in the priority document concerned;

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the designated or elected Office may, subject to paragraph (c), treat the international application as if the international filing date had been accorded under Rule 20.3(b)(i) or 20.5(b), or corrected under Rule 20.5(c), as applicable, provided that Rule 17.1(c) shall apply *mutatis mutandis*.

(c) The designated or elected Office shall not treat the international application under paragraph (b) as if the international filing date had been accorded under Rule 20.3(b)(i) or 20.5(b), or corrected under Rule 20.5(c), without giving the applicant the opportunity to make observations on the intended treatment, or to make a request under paragraph (d), within a time limit which shall be reasonable under the circumstances.

(d) Where the designated or elected Office, in accordance with paragraph (c), has notified the applicant that it intends to treat the international application as if the international filing date had been corrected under Rule 20.5(c), the applicant may, in a notice submitted to that Office within the time limit referred to in paragraph (c), request that the missing part concerned be disregarded for the purposes of national processing before that Office, in which case that part shall be considered not to have been furnished and that Office shall not treat the international application as if the international filing date had been corrected.

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Since July 1,  
2012

Rule 82*quater*  
Excuse in Delay of Meeting Time Limits

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Since July 1,  
2012

82*quater.1* *Excuse in Delay in Meeting Time Limits*

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From July 1,  
2012 to June  
30, 2016

(a) Any interested party may offer evidence that a time limit fixed in the Regulations for performing an action before the receiving Office, the International Searching Authority, the Authority specified for supplementary search, the International Preliminary Examining Authority or the International Bureau was not met due to war, revolution, civil disorder, strike, natural calamity or other like reason in the locality where the interested party resides, has his place of business or is staying, and that the relevant action was taken as soon as reasonably possible.

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Since July 1,  
2016

(a) Any interested party may offer evidence that a time limit fixed in the Regulations for performing an action before the receiving Office, the International Searching Authority, the Authority specified for supplementary search, the International Preliminary Examining Authority or the International Bureau was not met due to war, revolution, civil disorder, strike, natural calamity, a general unavailability of electronic communications services or other like reason in the locality where the interested party resides, has his place of business or is staying, and that the relevant action was taken as soon as reasonably possible

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Since July 1,  
2012

(b) Any such evidence shall be addressed to the Office, Authority or the International Bureau, as the case may be, not later than six months after the expiration of the time limit applicable in the given case. If such circumstances are proven to the satisfaction of the addressee, delay in meeting the time limit shall be excused..

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Since July 1,  
2012

(c) The excuse of a delay need not be taken into account by any designated or elected Office before which the applicant, at the time the decision to excuse the delay is taken, has already performed the acts referred to in Article 22 or Article 39.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since June 19,  
1970

Rule 83  
Right to Practice before International Authorities

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Since June 19, 1970 83.1 *Proof of Right*

The International Bureau, the competent International Searching Authority, and the competent International Preliminary Examining Authority, may require the production of proof of the right to practice referred to in Article 49.

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Since  
January 1,  
1994

83.1bis *Where the International Bureau Is the Receiving Office*

(a) Any person who has the right to practice before the national Office of, or acting for, a Contracting State of which the applicant or, if there are two or more applicants, any of the applicants is a resident or national shall be entitled to practice in respect of the international application before the International Bureau in its capacity as receiving Office under Rule 19.1(a)(iii).

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(b) Any person having the right to practice before the International Bureau in its capacity as receiving Office in respect of an international application shall be entitled to practice in respect of that application before the International Bureau in any other capacity and before the competent International Searching Authority and competent International Preliminary Examining Authority.

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Since June 19,  
1970

83.2 *Information*

(a) The national Office or the intergovernmental organization which the interested person is alleged to have a right to practice before shall, upon request, inform the International Bureau, the competent International Searching Authority, or the competent International Preliminary Examining Authority, whether such person has the right to practice before it.

(b) Such information shall be binding upon the International Bureau, the International Searching Authority, or the International Preliminary Examining Authority, as the case may be.

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PART E  
RULES CONCERNING CHAPTER V OF THE TREATY

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Since June 19,  
1970

Rule 84  
Expenses of Delegations

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Since June 19, 1970 84.1 *Expenses Borne by Governments*

The expenses of each Delegation participating in any organ established by or under the Treaty shall be borne by the Government which has appointed it.

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Since June 19,  
1970

Rule 85  
Absence of Quorum in the Assembly

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Since June 19, 85.1 *Voting by Correspondence*  
1970

In the case provided for in Article 53(5)(b), the International Bureau shall communicate the decisions of the Assembly (other than those concerning the Assembly's own procedure) to the Contracting States which were not represented and shall invite them to express in writing their vote or abstention within a period of three months from the date of the communication. If, at the expiration of that period, the number of Contracting States having thus expressed their vote or abstention attains the number of Contracting States which was lacking for attaining the quorum in the session itself, such decisions shall take effect provided that at the same time the required majority still obtains.

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Since June 19,  
1970

Rule 86  
The Gazette

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From June 19, 86.1 *Contents*  
1970 to  
December 31,  
1997

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From 86.1 *Contents and Form*  
January 1,  
1998 to  
March 31,  
2006

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Since April 1, 86.1 *Contents*  
2006

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From June 19, The Gazette referred to in Article 55(4) shall contain:  
1970 to  
December 31,  
1997

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From (a) The Gazette referred to in Article 55(4) shall contain:  
January 1,  
1998 to  
March 31,  
2006

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since April 1, 2006	The Gazette referred to in Article 55(4) shall contain:
From June 19, 1970 to December 31, 1997	(i) for each published international application, data specified by the Administrative Instructions taken from the front page of the pamphlet published under Rule 48, the drawing (if any) appearing on the said front page, and the abstract,
From January 1, 1998 to March 31, 2006	(i) for each published international application, the data specified by the Administrative Instructions taken from the front page of the pamphlet published under Rule 48, the drawing (if any) appearing on the said front page, and the abstract,
Since April 1, 2006	(i) for each published international application, the data specified by the Administrative Instructions taken from the front page of the publication of the international application, the drawing (if any) appearing on the said front page, and the abstract;
From June 19, 1970 to March 31, 2006	(ii) the schedule of all fees payable to the receiving Offices, the International Bureau, and the International Searching and Preliminary Examining Authorities, (iii) notices the publication of which is required under the Treaty or these Regulations, (iv) information, if and to the extent furnished to the International Bureau by the designated or elected Offices, on the question whether the requirements provided for in Articles 22 or 39 have been complied with in respect of the international applications designating or electing the Office concerned,
Since April 1, 2006	(ii) the schedule of all fees payable to the receiving Offices, the International Bureau, and the International Searching and Preliminary Examining Authorities; (iii) notices the publication of which is required under the Treaty or these Regulations;
	(iv) information, if and to the extent furnished to the International Bureau by the designated or elected Offices, on the question whether the requirements provided for in Articles 22 or 39 have been complied with in respect of the international applications designating or electing the Office concerned;
Since June 19, 1970	(v) any other useful information prescribed by the Administrative Instructions, provided access to such information is not prohibited under the Treaty or these Regulations.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

From January 1, 1998 to March 31, 2006	(b) The information referred to in paragraph (a) shall be made available in two forms:  (i) as a Gazette in paper form, which shall contain the data specified by the Administrative Instructions taken from the front page of the pamphlet published under Rule 48 (“bibliographic data”) and the matters referred to in paragraph (a)(ii) to (v);  (ii) as a Gazette in electronic form, which shall contain the bibliographic data, the drawing (if any) appearing on the said front page, and the abstract.
Since April 1, 2006	(b) <i>[Deleted]</i>
From June 19, 1970 to December 31, 1997	86.2 <i>Languages</i>
From January 1, 1998 to March 31, 2006	86.2 <i>Languages; Access to the Gazette</i>
Since April 1, 2006	86.2 <i>Languages; Form and Means of Publication; Timing</i>
From June 19, 1970 to December 31, 1997	(a) The Gazette shall be published in an English-language edition and a French-language edition. It shall also be published in editions in any other language, provided the cost of publication is assured through sales or subventions.
From January 1, 1998 to March 31, 2006	(a) The Gazette in paper form shall be published in a bilingual (English and French) edition. It shall also be published in editions in any other language, provided the cost of publication is assured through sales or subventions.
Since April 1, 2006	(a) The Gazette shall be published in English and French at the same time. The translations shall be ensured by the International Bureau in English and French.
Since June 19, 1970	(b) The Assembly may order the publication of the Gazette in languages other than those referred to in paragraph (a).

History of the PCT Regulations – June 19, 1970 - July 1, 2016

From January 1, 1998 to March 31, 2006	(c) The Gazette in electronic form referred to in Rule 86.1(b)(ii) shall be made accessible, in English and French at the same time, by any electronic ways and means specified in the Administrative Instructions. The translations shall be ensured by the International Bureau in English and French. The International Bureau shall ensure that the making accessible of the Gazette in electronic form shall be effected on, or as soon as possible after, the date of publication of the pamphlet containing the international application.
Since April 1, 2006	(c) The form in which and the means by which the Gazette is published shall be governed by the Administrative Instructions.
Since April 1, 2006	(d) The International Bureau shall ensure that, for each published international application, the information referred to in Rule 86.1(i) is published in the Gazette on, or as soon as possible after, the date of publication of the international application.
Since June 19, 1970	86.3 <i>Frequency</i>
From June 19, 1970 to April 13, 1978	The Gazette shall be published once a week.
From April 14, 1978 to June 30, 1992	(a) Subject to paragraph (b), the Gazette shall be published once a week. (b) For a transitional period after the entry into force of the Treaty terminating upon a date fixed by the Assembly, the Gazette may be published at such times as the Director General considers appropriate having regard to the number of international applications and the amount of other material required to be published.
Since July 1, 1992	The frequency of publication of the Gazette shall be determined by the Director General.
Since June 19, 1970	86.4 <i>Sale</i>
From June 19, 1970 to April 13, 1978	The subscription and other sale prices of the Gazette shall be fixed in the Administrative Instructions.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

From April 14, 1978 to June 30, 1992	(a) Subject to paragraph (b), the subscription and other sale prices of the Gazette shall be fixed in the Administrative Instructions.
	(b) For a transitional period after the entry into force of the Treaty terminating upon a date fixed by the Assembly, the Gazette may be distributed on such terms as the Director General considers appropriate having regard to the number of international applications and the amount of other material published therein.
Since July 1, 1992	The subscription and other sale prices of the Gazette shall be determined by the Director General.
Since June 19, 1970	86.5 <i>Title</i>
From June 19, 1970 to June 30, 1992	The title of the Gazette shall be “Gazette of International Patent Applications,” and “Gazette des Demandes internationales de brevets,” respectively.
Since July 1, 1992	The title of the Gazette shall be determined by the Director General.
Since June 19, 1970	86.6 <i>Further Details</i> Further details concerning the Gazette may be provided for in the Administrative Instructions.
From June 19, 1970 to March 31, 2006	Rule 87 Copies of Publications
Since April 1, 2006	Rule 87 Communication of Publications
From June 19, 1970 to March 31, 2006	87.1 <i>International Searching and Preliminary Examining Authorities</i> Any International Searching or Preliminary Examining Authority shall have the right to receive, free of charge, two copies of every published international application, of the Gazette, and of any other publication of general interest published by the International Bureau in connection with the Treaty or these Regulations.

Since April 1, 2006	87.1 <i>Communication of Publications on Request</i>	The International Bureau shall communicate, free of charge, every published international application, the Gazette and any other publication of general interest published by the International Bureau in connection with the Treaty or these Regulations, to International Searching Authorities, International Preliminary Examining Authorities and national Offices upon request by the Authority or Office concerned. Further details concerning the form in which and the means by which publications are communicated shall be governed by the Administrative Instructions.
From June 19, 1970 to March 31, 2006	87.2 <i>National Offices</i>	(a) Any national Office shall have the right to receive, free of charge, one copy of every published international application, of the Gazette, and of any other publication of general interest published by the International Bureau in connection with the Treaty or these Regulations.
From June 19, 1970 to June 30, 1992		(b) The publications referred to in paragraph (a) shall be sent on special request, which shall be made, in respect of each year, by November 30 of the preceding year. If any publication is available in more than one language, the request shall specify the language in which it is desired.
From July 1, 1992 to March 31, 2006		(b) The publications referred to in paragraph (a) shall be sent on special request. If any publication is available in more than one language, the request shall specify the language or languages in which it is desired.
Since April 1, 2006	87.2 <i>[Deleted]</i>	
Since June 19, 1970	Rule 88 Amendment of the Regulations	
Since June 19, 1970	88.1 <i>Requirement of Unanimity</i>	Amendment of the following provisions of these Regulations shall require that no State having the right to vote in the Assembly vote against the proposed amendment:
From June 19, 1970 to June 30, 1992	(i) Rule 14.1 (Transmittal Fee), (ii) Rule 22.2 (Transmittal of the Record Copy; Alternative Procedure),	

History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since July 1, 1992	(ii) <i>[Deleted]</i>
Since June 19, 1970	(iii) Rule 22.3 (Time Limit under Article 12(3)), (iv) Rule 33 (Relevant Prior Art for International Search), (v) Rule 64 (Prior Art for International Preliminary Examination), (vi) Rule 81 (Modification of Time Limits Fixed in the Treaty), (vii) the present paragraph (i.e., Rule 88.1).
From June 19, 1970 to December 31, 1984	88.2 <i>Requirement of Unanimity During a Transitional Period</i> During the first five years after the entry into force of the Treaty, amendment of the following provisions of these Regulations shall require that no State having the right to vote in the Assembly vote against the proposed amendment: (i) Rule 5 (The Description), (ii) Rule 6 (The Claims), (iii) the present paragraph (i. e., Rule 88.2).
Since January 1, 1985	88.2 <i>[Deleted]</i>
Since June 19, 1970	88.3 <i>Requirement of Absence of Opposition by Certain States</i> Amendment of the following provisions of these Regulations shall require that no State referred to in Article 58(3)(a)(ii) and having the right to vote in the Assembly vote against the proposed amendment: (i) Rule 34 (Minimum Documentation), (ii) Rule 39 (Subject Matter under Article 17(2)(a)(i)), (iii) Rule 67 (Subject Matter under Article 34(4)(a)(i)), (iv) the present paragraph (i.e., Rule 88.3).
Since June 19, 1970	88.4 <i>Procedure</i>
From June 19, 1970 to December 31, 1984	Any proposal for amending a provision referred to in Rules 88.1, 88.2 or 88.3, shall, if the proposal is to be decided upon in the Assembly, be communicated to all Contracting States at least two months prior to the opening of that session of the Assembly which is called upon to make a decision on the proposal.

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Since January 1, 1985

Any proposal for amending a provision referred to in Rules 88.1 or 88.3 shall, if the proposal is to be decided upon in the Assembly, be communicated to all Contracting States at least two months prior to the opening of that session of the Assembly which is called upon to make a decision on the proposal.

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Since June 19, 1970

Rule 89  
Administrative Instructions

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Since June 19, 1970

89.1 *Scope*

(a) The Administrative Instructions shall contain provisions:

(i) concerning matters in respect of which these Regulations expressly refer to such Instructions,

(ii) concerning any details in respect of the application of these Regulations.

(b) The Administrative Instructions shall not be in conflict with the provisions of the Treaty, these Regulations, or any agreement concluded by the International Bureau with an International Searching Authority, or an International Preliminary Examining Authority.

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Since June 19, 1970

89.2 *Source*

(a) The Administrative Instructions shall be drawn up and promulgated by the Director General after consultation with the receiving Offices and the International Searching and Preliminary Examining Authorities.

(b) They may be modified by the Director General after consultation with the Offices or Authorities which have a direct interest in the proposed modification.

(c) The Assembly may invite the Director General to modify the Administrative Instructions, and the Director General shall proceed accordingly.

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Since June 19, 1970

89.3 *Publication and Entry into Force*

(a) The Administrative Instructions and any modification thereof shall be published in the Gazette.

(b) Each publication shall specify the date on which the published provisions come into effect. The dates may be different for different provisions, provided that no provision may be declared effective prior to its publication in the Gazette.

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PART F  
RULES CONCERNING SEVERAL CHAPTERS OF THE TREATY

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From July 1,  
1998 to  
December 31,  
2003

Rule 89*bis*  
Filing, Processing and Transmission  
of International Applications and Other Documents  
in Electronic Form or by Electronic Means

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Since  
January 1,  
2004

Rule 89*bis*  
Filing, Processing and Communication  
of International Applications and Other Documents  
in Electronic Form or by Electronic Means

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Since July 1,  
1998

89*bis*.1 *International Applications*

(a) International applications may, subject to paragraphs (b) to (e), be filed and processed in electronic form or by electronic means, in accordance with the Administrative Instructions, provided that any receiving Office shall permit the filing of international applications on paper.

(b) These Regulations shall apply *mutatis mutandis* to international applications filed in electronic form or by electronic means, subject to any special provisions of the Administrative Instructions.

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(c) The Administrative Instructions shall set out the provisions and requirements in relation to the filing and processing of international applications filed, in whole or in part, in electronic form or by electronic means, including but not limited to, provisions and requirements in relation to acknowledgment of receipt, procedures relating to the according of an international filing date, physical requirements and the consequences of non-compliance with those requirements, signature of documents, means of authentication of documents and of the identity of parties communicating with Offices and authorities, and the operation of Article 12 in relation to the home copy, the record copy and the search copy, and may contain different provisions and requirements in relation to international applications filed in different languages.

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(d) No national Office or intergovernmental organization shall be obliged to receive or process international applications filed in electronic form or by electronic means unless it has notified the International Bureau that it is prepared to do so in compliance with the applicable provisions of the Administrative Instructions. The International Bureau shall publish the information so notified in the Gazette.

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(e) No receiving Office which has given the International Bureau a notification under paragraph (d) may refuse to process an international application filed in electronic form or by electronic means which complies with the applicable requirements under the Administrative Instructions.

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Since July 1, 1998

*89bis.2 Other Documents*

Rule 89bis.1 shall apply *mutatis mutandis* to other documents and correspondence relating to international applications.

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From July 1, 1998 to December 31, 2003

*89bis.3 Transmittal between Offices*

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Since January 1, 2004

*89bis.3 Communication between Offices*

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From July 1, 1998 to December 31, 2003

Where the Treaty, these Regulations or the Administrative Instructions provide for documents, notifications, communications or correspondence to be transmitted by one national Office or intergovernmental organization to another, such transmittal may, where so agreed by both the sender and the receiver, be effected in electronic form or by electronic means.

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Since January 1, 2004

Where the Treaty, these Regulations or the Administrative Instructions provide for the communication, notification or transmittal (“communication”) of an international application, notification, communication, correspondence or other document by one national Office or intergovernmental organization to another, such communication may, where so agreed by both the sender and the receiver, be effected in electronic form or by electronic means.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 1998	Rule 89ter Copies in Electronic Form of Documents Filed on Paper
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Since July 1, 1998	89ter.1 <i>Copies in Electronic Form of Documents Filed on Paper</i> Any national Office or intergovernmental organization may provide that, where an international application or other document relating to an international application is filed on paper, a copy thereof in electronic form, in accordance with the Administrative Instructions, may be furnished by the applicant.
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From June 19, 1970 to June 30, 1992	Rule 90 Representation
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Since July 1, 1992	Rule 90 Agents and Common Representatives
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From June 19, 1970 to June 30, 1992	90.1 <i>Definitions</i>
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Since July 1, 1992	90.1 <i>Appointment as Agent</i>
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From June 19, 1970 to June 30, 1992	For the purposes of Rule 90.2 and Rule 90.3: (i) “agent” means any of the persons referred to in Article 49; (ii) “common representative” means the applicant referred to in Rule 4.8.
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From July 1, 1992 to December 31, 1993	(a) A person having the right to practice before the national Office with which the international application is filed may be appointed by the applicant as his agent to represent him before that Office acting as the receiving Office, and before the International Bureau, the International Searching Authority and the International Preliminary Examining Authority.
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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1994 to December 31, 2008	(a) A person having the right to practice before the national Office with which the international application is filed or, where the international application is filed with the International Bureau, having the right to practice in respect of the international application before the International Bureau as receiving Office may be appointed by the applicant as his agent to represent him before the receiving Office, the International Bureau, the International Searching Authority and the International Preliminary Examining Authority.
Since January 1, 2009	(a) A person having the right to practice before the national Office with which the international application is filed or, where the international application is filed with the International Bureau, having the right to practice in respect of the international application before the International Bureau as receiving Office may be appointed by the applicant as his agent to represent him before the receiving Office, the International Bureau, the International Searching Authority, any Authority specified for supplementary search and the International Preliminary Examining Authority.
Since January 1, 1994	(b) A person having the right to practice before the national Office or intergovernmental organization which acts as the International Searching Authority may be appointed by the applicant as his agent to represent him specifically before that Authority.
Since January 1, 2009	(b- <i>bis</i> ) A person having the right to practice before the national Office or intergovernmental organization which acts as the Authority specified for supplementary search may be appointed by the applicant as his agent to represent him specifically before that Authority.
Since January 1, 1994	(c) A person having the right to practice before the national Office or intergovernmental organization which acts as the International Preliminary Examining Authority may be appointed by the applicant as his agent to represent him specifically before that Authority.
Since July 1, 1992	(d) An agent appointed under paragraph (a) may, unless otherwise indicated in the document appointing him, appoint one or more sub-agents to represent the applicant as the applicant's agent:
From July 1, 1992 to December 31, 1993	(i) before the receiving Office, the International Bureau, the International Searching Authority and the International Preliminary Examining Authority, provided that any person so appointed as sub-agent has the right to practice before the national Office with which the international application was filed;

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From January 1, 1994 to December 31, 2008	(i) before the receiving Office, the International Bureau, the International Searching Authority and the International Preliminary Examining Authority, provided that any person so appointed as sub-agent has the right to practice before the national Office with which the international application was filed or to practice in respect of the international application before the International Bureau as receiving Office, as the case may be;
Since January 1, 2009	(i) before the receiving Office, the International Bureau, the International Searching Authority, any Authority specified for supplementary search and the International Preliminary Examining Authority, provided that any person so appointed as sub-agent has the right to practice before the national Office with which the international application was filed or to practice in respect of the international application before the International Bureau as receiving Office, as the case may be;
From July 1, 1992 to December 31, 2008	(ii) specifically before the International Searching Authority or the International Preliminary Examining Authority, provided that any person so appointed as sub-agent has the right to practice before the national Office or intergovernmental organization which acts as the International Searching Authority or International Preliminary Examining Authority, as the case may be.
Since January 1, 2009	(ii) specifically before the International Searching Authority, any Authority specified for supplementary search or the International Preliminary Examining Authority, provided that any person so appointed as sub-agent has the right to practice before the national Office or intergovernmental organization which acts as the International Searching Authority, the Authority specified for supplementary search or the International Preliminary Examining Authority, as the case may be.
From June 19, 1970 to June 30, 1992	90.2 <i>Effects</i>
Since July 1, 1992	90.2 <i>Common Representative</i>
From June 19, 1970 to June 30, 1992	(a) Any act by or in relation to an agent shall have the effect of an act by or in relation to the applicant or applicants having appointed the agent.

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1992 to December 31, 2003	(a) Where there are two or more applicants and the applicants have not appointed an agent representing all of them (a “common agent”) under Rule 90.1(a), one of the applicants who is entitled to file an international application according to Article 9 may be appointed by the other applicants as their common representative.
Since January 1, 2004	(a) Where there are two or more applicants and the applicants have not appointed an agent representing all of them (a “common agent”) under Rule 90.1(a), one of the applicants who is entitled to file an international application according to Article 9 may be appointed by the other applicants as their common representative.
From June 19, 1970 to June 30, 1992	(b) Any act by or in relation to a common representative or his agent shall have the effect of an act by or in relation to all the applicants.
From July 1, 1992 to December 31, 2003	(b) Where there are two or more applicants and all the applicants have not appointed a common agent under Rule 90.1(a) or a common representative under paragraph (a), the applicant first named in the request who is entitled according to Rule 19.1 to file an international application with the receiving Office shall be considered to be the common representative of all the applicants.
Since January 1, 2004	(b) Where there are two or more applicants and all the applicants have not appointed a common agent under Rule 90.1(a) or a common representative under paragraph (a), the applicant first named in the request who is entitled according to Rule 19.1 to file an international application with the receiving Office shall be considered to be the common representative of all the applicants.
From June 19, 1970 to June 30, 1992	(c) If there are several agents appointed by the same applicant or applicants, any act by or in relation to any of the several agents shall have the effect of an act by or in relation to the said applicant or applicants.
Since July 1, 1992	(c) <i>[Deleted]</i>
From June 19, 1970 to June 30, 1992	(d) The effects described in paragraphs (a), (b), and (c), shall apply to the processing of the international application before the receiving Office, the International Bureau, the International Searching Authority, and the International Preliminary Examining Authority.
Since July 1, 1992	(d) <i>[Deleted]</i>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From June 19, 1970 to June 30, 1992	90.3	<i>Appointment</i>
Since July 1, 1992	90.3	<i>Effects of Acts by or in Relation to Agents and Common Representatives</i>
From June 19, 1970 to September 30, 1980		(a) Appointment of any agent or of any common representative within the meaning of Rule 4.8(a), if the said agent or common representative is not designated in the request signed by all applicants, shall be effected in a separate signed power of attorney (i. e., a document appointing an agent or a common representative).
From October 1, 1980 to June 30, 1992		(a) Appointment of any agent, or of any common representative within the meaning of Rule 4.8(a), shall be effected by each applicant, at his choice, either by signing the request in which the agent or common representative is designated or by a separate power of attorney (i.e., a document appointing an agent or common representative).
Since July 1, 1992		(a) Any act by or in relation to an agent shall have the effect of an act by or in relation to the applicant or applicants concerned.
From June 19, 1970 to June 30, 1992		(b) The power of attorney may be submitted to the receiving Office or the International Bureau. Whichever of the two is the recipient of the power of attorney submitted shall immediately notify the other and the interested International Searching Authority and the interested International Preliminary Examining Authority.
Since July 1, 1992		(b) If there are two or more agents representing the same applicant or applicants, any act by or in relation to any of those agents shall have the effect of an act by or in relation to the said applicant or applicants.
From June 19, 1970 to December 31, 1984		(c) If the separate power of attorney is not signed as provided in paragraph (a), or if the required separate power of attorney is missing, or if the indication of the name or address of the appointed person does not comply with Rule 4.4, the power of attorney shall be considered nonexistent unless the defect is corrected.
From January 1, 1985 to June 30, 1992		(c) If the separate power of attorney is not signed, or if the required separate power of attorney is missing, or if the indication of the name or address of the appointed person does not comply with Rule 4.4, the power of attorney shall be considered non-existent unless the defect is corrected.

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From July 1, 1992 to June 30, 2015	(c) Subject to Rule 90bis.5(a), second sentence, any act by or in relation to a common representative or his agent shall have the effect of an act by or in relation to all the applicants.
Since July 1, 2015	(c) Subject to Rule 90bis.5, second sentence, any act by or in relation to a common representative or his agent shall have the effect of an act by or in relation to all the applicants.
From October 1, 1980 to June 30, 1992	(d) A general power of attorney may be deposited with the receiving Office for purposes of the processing of the international application as defined in Rule 90.2(d). Reference may be made in the request to such general power of attorney, provided that a copy thereof is attached to the request by the applicant.
Since July 1, 1992	(d) <i>[Deleted]</i>
From June 19, 1970 to June 30, 1992	90.4 <i>Revocation</i>
Since July 1, 1992	90.4 <i>Manner of Appointment of Agent or Common Representative</i>
From June 19, 1970 to June 30, 1992	(a) Any appointment may be revoked by the persons who have made the appointment or their successors in title.
Since July 1, 1992	(a) The appointment of an agent shall be effected by the applicant signing the request, the demand or a separate power of attorney. Where there are two or more applicants, the appointment of a common agent or common representative shall be effected by each applicant signing, at his choice, the request, the demand or a separate power of attorney.
From June 19, 1970 to June 30, 1992	(b) Rule 90.3 shall apply, <i>mutatis mutandis</i> , to the document containing the revocation.
From July 1, 1992 to December 31, 2008	(b) Subject to Rule 90.5, a separate power of attorney shall be submitted to either the receiving Office or the International Bureau, provided that, where a power of attorney appoints an agent under Rule 90.1(b), (c) or (d)(ii), it shall be submitted to the International Searching Authority or the International Preliminary Examining Authority, as the case may be.

<p>Since January 1, 2009</p>	<p>(b) Subject to Rule 90.5, a separate power of attorney shall be submitted to either the receiving Office or the International Bureau, provided that, where a power of attorney appoints an agent under Rule 90.1(b), (b-<i>bis</i>), (c) or (d)(ii), it shall be submitted to the International Searching Authority, the Authority specified for supplementary search or the International Preliminary Examining Authority, as the case may be.</p>
<p>Since July 1, 1992</p>	<p>(c) If the separate power of attorney is not signed, or if the required separate power of attorney is missing, or if the indication of the name or address of the appointed person does not comply with Rule 4.4, the power of attorney shall be considered non-existent unless the defect is corrected.</p>
<p>From January 1, 2004 December 31, 2008</p>	<p>(d) Subject to paragraph (e), any receiving Office, any International Searching Authority, any International Preliminary Examining Authority and the International Bureau may waive the requirement under paragraph (b) that a separate power of attorney be submitted to it, in which case paragraph (c) shall not apply.</p>
<p>Since January 1, 2009</p>	<p>(d) Subject to paragraph (e), any receiving Office, any International Searching Authority, any Authority competent to carry out supplementary searches, any International Preliminary Examining Authority and the International Bureau may waive the requirement under paragraph (b) that a separate power of attorney be submitted to it, in which case paragraph (c) shall not apply.</p>
<p>Since January 1, 2004</p>	<p>(e) Where the agent or the common representative submits any notice of withdrawal referred to in Rules 90<i>bis</i>.1 to 90<i>bis</i>.4, the requirement under paragraph (b) for a separate power of attorney shall not be waived under paragraph (d).</p>
<p>Since July 1, 1992</p>	<p>90.5 <i>General Power of Attorney</i></p> <p>(a) Appointment of an agent in relation to a particular international application may be effected by referring in the request, the demand or a separate notice to an existing separate power of attorney appointing that agent to represent the applicant in relation to any international application which may be filed by that applicant (i.e., a “general power of attorney”), provided that:</p> <p>(i) the general power of attorney has been deposited in accordance with paragraph (b), and</p> <p>(ii) a copy of it is attached to the request, the demand or the separate notice, as the case may be; that copy need not be signed.</p>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From July 1, 1992 to December 30, 2008	(b) The general power of attorney shall be deposited with the receiving Office, provided that, where it appoints an agent under Rule 90.1(b), (c) or (d)(ii), it shall be deposited with the International Searching Authority or the International Preliminary Examining Authority, as the case may be.
Since January 1, 2009	(b) The general power of attorney shall be deposited with the receiving Office, provided that, where it appoints an agent under Rule 90.1(b), (b- <i>bis</i> ), (c) or (d)(ii), it shall be deposited with the International Searching Authority, the Authority specified for supplementary search or the International Preliminary Examining Authority, as the case may be.
From January 1, 2004 to December 30, 2008	(c) Any receiving Office, any International Searching Authority and any International Preliminary Examining Authority may waive the requirement under paragraph (a)(ii) that a copy of the general power of attorney is attached to the request, the demand or the separate notice, as the case may be.  (d) Notwithstanding paragraph (c), where the agent submits any notice of withdrawal referred to in Rules 90 <i>bis</i> .1 to 90 <i>bis</i> .4 to the receiving Office, the International Searching Authority or the International Preliminary Examining Authority, a copy of the general power of attorney shall be submitted to that Office or Authority.
Since January 1, 2009	(c) Any receiving Office, any International Searching Authority, any Authority competent to carry out supplementary searches and any International Preliminary Examining Authority may waive the requirement under paragraph (a)(ii) that a copy of the general power of attorney is attached to the request, the demand or the separate notice, as the case may be.
From January 1, 2009 to June 30, 2015	(d) Notwithstanding paragraph (c), where the agent submits any notice of withdrawal referred to in Rules 90 <i>bis</i> .1 to 90 <i>bis</i> .4 to the receiving Office, the International Searching Authority, the Authority specified for supplementary search or the International Preliminary Examining Authority, as the case may be, a copy of the general power of attorney shall be submitted to that Office or Authority.
Since July 1, 2015	(d) Notwithstanding paragraph (c), where the agent submits any notice of withdrawal referred to in Rules 90 <i>bis</i> .1 to 90 <i>bis</i> .4 to the receiving Office, the Authority specified for supplementary search, the International Preliminary Examining Authority or the International Bureau, as the case may be, a copy of the general power of attorney shall be submitted to that Office, Authority or Bureau.

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Since July 1,  
1992

90.6 *Revocation and Renunciation*

(a) Any appointment of an agent or common representative may be revoked by the persons who made the appointment or by their successors in title, in which case any appointment of a sub-agent under Rule 90.1(d) by that agent shall also be considered as revoked. Any appointment of a sub-agent under Rule 90.1(d) may also be revoked by the applicant concerned.

(b) The appointment of an agent under Rule 90.1(a) shall, unless otherwise indicated, have the effect of revoking any earlier appointment of an agent made under that Rule.

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(c) The appointment of a common representative shall, unless otherwise indicated, have the effect of revoking any earlier appointment of a common representative.

(d) An agent or a common representative may renounce his appointment by a notification signed by him.

(e) Rule 90.4(b) and (c) shall apply, *mutatis mutandis*, to a document containing a revocation or renunciation under this Rule.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 1992	Rule 90 <i>bis</i> Withdrawals
Since July 1, 1992	<i>90bis.1 Withdrawal of the International Application</i>
From July 1, 1992 to March 31, 2002	(a) The applicant may withdraw the international application at any time prior to the expiration of 20 months from the priority date or, where Article 39(1) applies, prior to the expiration of 30 months from the priority date.
Since April 1, 2002	(a) The applicant may withdraw the international application at any time prior to the expiration of 30 months from the priority date.
Since July 1, 1992	(b) Withdrawal shall be effective on receipt of a notice addressed by the applicant, at his option, to the International Bureau, to the receiving Office or, where Article 39(1) applies, to the International Preliminary Examining Authority.  (c) No international publication of the international application shall be effected if the notice of withdrawal sent by the applicant or transmitted by the receiving Office or the International Preliminary Examining Authority reaches the International Bureau before the technical preparations for international publication have been completed.
Since July 1, 1992	<i>90bis.2 Withdrawal of Designations</i>
From July 1, 1992 to March 31, 2002	(a) The applicant may withdraw the designation of any designated State at any time prior to the expiration of 20 months from the priority date or, where Article 39(1) applies in respect of that State, prior to the expiration of 30 months from the priority date. Withdrawal of the designation of a State which has been elected shall entail withdrawal of the corresponding election under Rule 90 <i>bis.4</i> .
Since April 1, 2002	(a) The applicant may withdraw the designation of any designated State at any time prior to the expiration of 30 months from the priority date. Withdrawal of the designation of a State which has been elected shall entail withdrawal of the corresponding election under Rule 90 <i>bis.4</i> .

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Since July 1, 1992 (b) Where a State has been designated for the purpose of obtaining both a national patent and a regional patent, withdrawal of the designation of that State shall be taken to mean withdrawal of only the designation for the purpose of obtaining a national patent, except where otherwise indicated.

(c) Withdrawal of the designations of all designated States shall be treated as withdrawal of the international application under Rule 90*bis*.1.

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(d) Withdrawal shall be effective on receipt of a notice addressed by the applicant, at his option, to the International Bureau, to the receiving Office or, where Article 39(1) applies, to the International Preliminary Examining Authority.

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(e) No international publication of the designation shall be effected if the notice of withdrawal sent by the applicant or transmitted by the receiving Office or the International Preliminary Examining Authority reaches the International Bureau before the technical preparations for international publication have been completed.

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Since July 1, 1992 90*bis*.3 *Withdrawal of Priority Claims*

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From July 1, 1992 to March 31, 2002 (a) The applicant may withdraw a priority claim, made in the international application under Article 8(1), at any time prior to the expiration of 20 months from the priority date or, where Article 39(1) applies, 30 months from the priority date.

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Since April 1, 2002 (a) The applicant may withdraw a priority claim, made in the international application under Article 8(1), at any time prior to the expiration of 30 months from the priority date.

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Since July 1, 1992 (b) Where the international application contains more than one priority claim, the applicant may exercise the right provided for in paragraph (a) in respect of one or more or all of the priority claims.

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(c) Withdrawal shall be effective on receipt of a notice addressed by the applicant, at his option, to the International Bureau, to the receiving Office or, where Article 39(1) applies, to the International Preliminary Examining Authority.

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(d) Where the withdrawal of a priority claim causes a change in the priority date, any time limit which is computed from the original priority date and which has not already expired shall, subject to paragraph (e), be computed from the priority date resulting from that change.

(e) In the case of the time limit referred to in Article 21(2)(a), the International Bureau may nevertheless proceed with the international publication on the basis of the said time limit as computed from the original priority date if the notice of withdrawal sent by the applicant or transmitted by the receiving Office or the International Preliminary Examining Authority reaches the International Bureau after the completion of the technical preparations for international publication.

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Since  
January 1,  
2009

*90bis.3bis* Withdrawal of Supplementary Search Request

(a) The applicant may withdraw a supplementary search request at any time prior to the date of transmittal to the applicant and to the International Bureau, under Rule 45*bis*.8(a), of the supplementary international search report or the declaration that no such report will be established.

(b) Withdrawal shall be effective on receipt, within the time limit under paragraph (a), of a notice addressed by the applicant, at his option, to the Authority specified for supplementary search or to the International Bureau, provided that, where the notice does not reach the Authority specified for supplementary search in sufficient time to prevent the transmittal of the report or declaration referred to in paragraph (a), the communication of that report or declaration under Article 20(1), as applicable by virtue of Rule 45*bis*.8(b), shall nevertheless be effected.

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Since July 1,  
1992

*90bis.4* *Withdrawal of the Demand, or of Elections*

(a) The applicant may withdraw the demand or any or all elections at any time prior to the expiration of 30 months from the priority date.

(b) Withdrawal shall be effective upon receipt of a notice addressed by the applicant to the International Bureau.

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(c) If the notice of withdrawal is submitted by the applicant to the International Preliminary Examining Authority, that Authority shall mark the date of receipt on the notice and transmit it promptly to the International Bureau. The notice shall be considered to have been submitted to the International Bureau on the date marked.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 1992      *90bis.5 Signature*

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From July 1, 1992 to December 31, 2003      (a) Any notice of withdrawal referred to in Rules *90bis.1* to *90bis.4* shall, subject to paragraph (b), be signed by the applicant. Where one of the applicants is considered to be the common representative under Rule 90.2(b), such notice shall, subject to paragraph (b), require the signature of all the applicants.

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Since January 1, 2004 to December 31, 2012      (a) Any notice of withdrawal referred to in Rules *90bis.1* to *90bis.4* shall, subject to paragraph (b), be signed by the applicant or, if there are two or more applicants, by all of them. An applicant who is considered to be the common representative under Rule 90.2(b) shall, subject to paragraph (b), not be entitled to sign such a notice on behalf of the other applicants.

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From July 1, 1992 to December 30, 2008      (b) Where two or more applicants file an international application which designates a State whose national law requires that national applications be filed by the inventor and where an applicant for that designated State who is an inventor could not be found or reached after diligent effort, a notice of withdrawal referred to in Rules *90bis.1* to *90bis.4* need not be signed by that applicant (“the applicant concerned”) if it is signed by at least one applicant and

(i) a statement is furnished explaining, to the satisfaction of the receiving Office, the International Bureau or the International Preliminary Examining Authority, as the case may be, the lack of signature of the applicant concerned, or

(ii) in the case of a notice of withdrawal referred to in Rule *90bis.1*(b), *90bis.2*(d) or *90bis.3*(c), the applicant concerned did not sign the request but the requirements of Rule 4.15(b) were complied with, or

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since January 1, 2009 to December 31, 2012	<p>(b) Where two or more applicants file an international application which designates a State whose national law requires that national applications be filed by the inventor and where an applicant for that designated State who is an inventor could not be found or reached after diligent effort, a notice of withdrawal referred to in Rules 90<i>bis</i>.1 to 90<i>bis</i>.4 need not be signed by that applicant (“the applicant concerned”) if it is signed by at least one applicant and</p> <p>(i) a statement is furnished explaining, to the satisfaction of the receiving Office, the International Bureau, the Authority carrying out the supplementary international search or the International Preliminary Examining Authority, as the case may be, the lack of signature of the applicant concerned, or</p> <p>(ii) in the case of a notice of withdrawal referred to in Rule 90<i>bis</i>.1(b), 90<i>bis</i>.2(d), 90<i>bis</i>.3(c) or 90<i>bis</i>.3<i>bis</i>(b), the applicant concerned did not sign the request but the requirements of Rule 4.15(b) were complied with, or</p>
From July 1, 1992 to December 31, 2003	<p>(iii) in the case of a notice of withdrawal referred to in Rule 90<i>bis</i>.4(b), the applicant concerned did not sign the demand but the requirements of Rule 53.8(b) were complied with, or did not sign the later election concerned but the requirements of Rule 56.1(c) were complied with.</p>
Since January 1, 2004 to December 31, 2012	<p>(iii) in the case of a notice of withdrawal referred to in Rule 90<i>bis</i>.4(b), the applicant concerned did not sign the demand but the requirements of Rule 53.8(b) were complied with.</p>
Since January 1, 2013	<p>Any notice of withdrawal referred to in Rules 90<i>bis</i>.1 to 90<i>bis</i>.4 shall be signed by the applicant or, if there are two or more applicants, by all of them. An applicant who is considered to be the common representative under Rule 90.2(b) shall not be entitled to sign such a notice on behalf of the other applicants.</p>
Since July 1, 1992	<p><i>90bis.6 Effect of Withdrawal</i></p> <p>(a) Withdrawal under Rule 90<i>bis</i> of the international application, any designation, any priority claim, the demand or any election shall have no effect in any designated or elected Office where the processing or examination of the international application has already started under Article 23(2) or Article 40(2).</p> <p>(b) Where the international application is withdrawn under Rule 90<i>bis</i>.1, the international processing of the international application shall be discontinued.</p>

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

Since January 1, 2009	(b- <i>bis</i> ) Where a supplementary search request is withdrawn under Rule 90 <i>bis</i> .3 <i>bis</i> , the supplementary international search by the Authority concerned shall be discontinued.
Since July 1, 1992	(c) Where the demand or all elections are withdrawn under Rule 90 <i>bis</i> .4, the processing of the international application by the International Preliminary Examining Authority shall be discontinued.
Since July 1, 1992	90 <i>bis</i> .7 <i>Faculty under Article 37(4)(b)</i> (a) Any Contracting State whose national law provides for what is described in the second part of Article 37(4)(b) shall notify the International Bureau in writing.
	(b) The notification referred to in paragraph (a) shall be promptly published by the International Bureau in the Gazette, and shall have effect in respect of international applications filed more than one month after the date of such publication.
From June 19, 1970 to December 31, 1984	Rule 91 Obvious Errors of Transcription
From January 1, 1985 to March 31, 2007	Rule 91 Obvious Errors in Documents
Since April 1, 2007	Rule 91 Rectification of Obvious Mistakes in the International Application and Other Documents
From June 19, 1970 to March 31, 2007	91.1 <i>Rectification</i>
Since April 1, 2007	91.1 <i>Rectification of Obvious Mistakes</i>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to December 31, 1984	(a) Subject to paragraphs (b) to (g), obvious errors of transcription in the international application or other papers submitted by the applicant may be rectified.
From January 1, 1985 to March 31, 2007	(a) Subject to paragraphs (b) to ( <i>g-quater</i> ), obvious errors in the international application or other papers submitted by the applicant may be rectified.
Since April 1, 2007	(a) An obvious mistake in the international application or another document submitted by the applicant may be rectified in accordance with this Rule if the applicant so requests.
From June 19, 1970 to December 31, 1984	(b) Errors which are due to the fact that something other than what was obviously intended was written in the international application or other paper shall be regarded as obvious errors of transcription. The rectification itself shall be obvious in the sense that anyone would immediately realize that nothing else could have been intended than what is offered as rectification.
From January 1, 1985 to March 31, 2007	(b) Errors which are due to the fact that something other than what was obviously intended was written in the international application or other paper shall be regarded as obvious errors. The rectification itself shall be obvious in the sense that anyone would immediately realize that nothing else could have been intended than what is offered as rectification.
Since April 1, 2007	(b) The rectification of a mistake shall be subject to authorization by the “competent authority”, that is to say:  (i) in the case of a mistake in the request part of the international application or in a correction thereof – by the receiving Office;  (ii) in the case of a mistake in the description, claims or drawings or in a correction thereof, unless the International Preliminary Examining Authority is competent under item (iii) – by the International Searching Authority;  (iii) in the case of a mistake in the description, claims or drawings or in a correction thereof, or in an amendment under Article 19 or 34, where a demand for international preliminary examination has been made and has not been withdrawn and the date on which international preliminary examination shall start in accordance with Rule 69.1 has passed – by the International Preliminary Examining Authority;

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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(iv) in the case of a mistake in a document not referred to in items (i) to (iii) submitted to the receiving Office, the International Searching Authority, the International Preliminary Examining Authority or the International Bureau, other than a mistake in the abstract or in an amendment under Article 19 – by that Office, Authority or Bureau, as the case may be.

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From June 19, 1970 to March 31, 2007

(c) Omissions of entire elements or sheets of the international application, even if clearly resulting from inattention, at the stage, for example, of copying or assembling sheets, shall not be rectifiable.

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Since April 1, 2007

(c) The competent authority shall authorize the rectification under this Rule of a mistake if, and only if, it is obvious to the competent authority that, as at the applicable date under paragraph (f), something else was intended than what appears in the document concerned and that nothing else could have been intended than the proposed rectification.

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From June 19, 1970 to December 31, 1984

(d) Rectification may be made on the request of the applicant. The authority having discovered what appears to be an obvious error of transcription may invite the applicant to present a request for rectification as provided in paragraphs (e) to (g).

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From January 1, 1985 to June 30, 1998

(d) Rectification may be made on the request of the applicant. The authority having discovered what appears to be an obvious error may invite the applicant to present a request for rectification as provided in paragraphs (e) to (g-*quater*). Rule 26.4(a) shall apply *mutatis mutandis* to the manner in which rectifications shall be requested.

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From July 1, 1998 to March 31, 2007

(d) Rectification may be made on the request of the applicant. The authority having discovered what appears to be an obvious error may invite the applicant to present a request for rectification as provided in paragraphs (e) to (g-*quater*). Rule 26.4 shall apply *mutatis mutandis* to the manner in which rectifications shall be requested.

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Since April 1, 2007

(d) In the case of a mistake in the description, claims or drawings or in a correction or amendment thereof, the competent authority shall, for the purposes of paragraph (c), only take into account the contents of the description, claims and drawings and, where applicable, the correction or amendment concerned.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From June 19, 1970 to March 31, 2007	(e) No rectification shall be made except with the express authorization: (i) of the receiving Office if the error is in the request, (ii) of the International Searching Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority,
From June 19, 1970 to December 31, 1993	(iii) of the International Preliminary Examining Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority, and
From January 1, 1994 to March 31, 2007	(iii) of the International Preliminary Examining Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority,
From June 19, 1970 to March 31, 2007	(iv) of the International Bureau if the error is in any paper, other than the international application or amendments or corrections to that application, submitted to the International Bureau.
Since April 1, 2007	(e) In the case of a mistake in the request part of the international application or a correction thereof, or in a document referred to in paragraph (b)(iv), the competent authority shall, for the purposes of paragraph (c), only take into account the contents of the international application itself and, where applicable, the correction concerned, or the document referred to in paragraph (b)(iv), together with any other document submitted with the request, correction or document, as the case may be, any priority document in respect of the international application that is available to the authority in accordance with the Administrative Instructions, and any other document contained in the authority's international application file at the applicable date under paragraph (f).
From June 19, 1970 to December 31, 1984	(f) The date of the authorization shall be recorded in the files of the international application.

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From January 1, 1985 to March 31, 2006	(f) Any authority which authorizes or refuses any rectification shall promptly notify the applicant of the authorization or refusal and, in the case of refusal, of the reasons therefor. The authority which authorizes a rectification shall promptly notify the International Bureau accordingly. Where the authorization of the rectification was refused, the International Bureau shall, upon request made by the applicant prior to the time relevant under paragraph (g-bis), (g-ter) or (g-quater) and subject to the payment of a special fee whose amount shall be fixed in the Administrative Instructions, publish the request for rectification together with the international application. A copy of the request for rectification shall be included in the communication under Article 20 where a copy of the pamphlet is not used for that communication or where the international application is not published by virtue of Article 64(3).
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From April 1, 2006 to March 31, 2007	(f) Any authority which authorizes or refuses any rectification shall promptly notify the applicant of the authorization or refusal and, in the case of refusal, of the reasons therefor. The authority which authorizes a rectification shall promptly notify the International Bureau accordingly. Where the authorization of the rectification was refused, the International Bureau shall, upon request made by the applicant prior to the time relevant under paragraph (g-bis), (g-ter) or (g-quater) and subject to the payment of a special fee whose amount shall be fixed in the Administrative Instructions, publish the request for rectification together with the international application. A copy of the request for rectification shall be included in the communication under Article 20 where the international application is not published by virtue of Article 64(3).
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Since April 1, 2007	(f) The applicable date for the purposes of paragraphs (c) and (e) shall be:  (i) in the case of a mistake in a part of the international application as filed – the international filing date;  (ii) in the case of a mistake in a document other than the international application as filed, including a mistake in a correction or an amendment of the international application – the date on which the document was submitted.
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From June 19, 1970 to December 31, 1984	(g) The authorization for rectification referred to in paragraph (e) may be given until the following events occur:  (i) in the case of authorization given by the receiving Office and the International Bureau, the communication of the international application under Article 20;
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(ii) in the case of authorization given by the International Searching Authority, the establishment of the international search report or the making of a declaration under Article 17(2)(a);

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(iii) in the case of authorization given by the International Preliminary Examining Authority, the establishment of the international preliminary examination report.

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From  
January 1,  
1985 to  
March 31,  
2007

(g) The authorization for rectification referred to in paragraph (e) shall, subject to paragraphs (g-*bis*), (g-*ter*) and (g-*quater*), be effective:

(i) where it is given by the receiving Office or by the International Searching Authority, if its notification to the International Bureau reaches that Bureau before the expiration of 17 months from the priority date;

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(ii) where it is given by the International Preliminary Examining Authority, if it is given before the establishment of the international preliminary examination report;

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(iii) where it is given by the International Bureau, if it is given before the expiration of 17 months from the priority date.

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(g-*bis*) If the notification made under paragraph (g)(i) reaches the International Bureau, or if the rectification made under paragraph (g)(iii) is authorized by the International Bureau, after the expiration of 17 months from the priority date but before the technical preparations for international publication have been completed, the authorization shall be effective and the rectification shall be incorporated in the said publication.

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(g-*ter*) Where the applicant has asked the International Bureau to publish his international application before the expiration of 18 months from the priority date, any notification made under paragraph (g)(i) must reach, and any rectification made under paragraph (g)(iii) must be authorized by, the International Bureau, in order for the authorization to be effective, not later than at the time of the completion of the technical preparations for international publication.

(g-*quater*) Where the international application is not published by virtue of Article 64(3), any notification made under paragraph (g)(i) must reach, and any rectification made under paragraph (g)(iii) must be authorized by, the International Bureau, in order for the authorization to be effective, not later than at the time of the communication of the international application under Article 20.

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Since April 1, 2007	(g) A mistake shall not be rectifiable under this Rule if: <ul style="list-style-type: none"><li>(i) the mistake lies in the omission of one or more entire elements of the international application referred to in Article 3(2) or one or more entire sheets of the international application;</li><li>(ii) the mistake is in the abstract;</li><li>(iii) the mistake is in an amendment under Article 19, unless the International Preliminary Examining Authority is competent to authorize the rectification of such mistake under paragraph (b)(iii); or the mistake is in the abstract;</li><li>(iv) the mistake is in a priority claim or in a notice correcting or adding a priority claim under Rule 26<i>bis</i>.1(a), where the rectification of the mistake would cause a change in the priority date;</li></ul>
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provided that this paragraph shall not affect the operation of Rules 20.4, 20.5, 26*bis* and 38.3.

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From June 19, 1970 to December 31, 1984	(h) Any Authority, other than the International Bureau, which authorizes any rectification shall promptly inform the International Bureau of such rectification.
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From January 1, 1985 to March 31, 2007	(h) <i>[Deleted]</i>
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Since April 1, 2007	(h) Where the receiving Office, the International Searching Authority, the International Preliminary Examining Authority or the International Bureau discovers what appears to be a rectifiable obvious mistake in the international application or another document, it may invite the applicant to request rectification under this Rule.
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From October 1, 1980 to December 31, 1984	91.2 <i>Manner of Carrying Out Rectifications</i>  The Administrative Instructions prescribe the manner in which rectifications of obvious errors of transcription shall be made and the manner in which they shall be entered in the file of the international application.
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From 91.2 *[Deleted]*  
January 1,  
1985 to  
March 31,  
2007

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Since April 1,  
2007 91.2 *Requests for Rectification*

A request for rectification under Rule 91.1 shall be submitted to the competent authority within 26 months from the priority date. It shall specify the mistake to be rectified and the proposed rectification, and may, at the option of the applicant, contain a brief explanation. Rule 26.4 shall apply *mutatis mutandis* as to the manner in which the proposed rectification shall be indicated.

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Since April 1,  
2007 91.3 *Authorization and Effect of Rectifications*

(a) The competent authority shall promptly decide whether to authorize or refuse to authorize a rectification under Rule 91.1 and shall promptly notify the applicant and the International Bureau of the authorization or refusal and, in the case of refusal, of the reasons therefor. The International Bureau shall proceed as provided for in the Administrative Instructions, including, as required, notifying the receiving Office, the International Searching Authority, the International Preliminary Examining Authority and the designated and elected Offices of the authorization or refusal.

(b) Where the rectification of an obvious mistake has been authorized under Rule 91.1, the document concerned shall be rectified in accordance with the Administrative Instructions.

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(c) Where the rectification of an obvious mistake has been authorized, it shall be effective:

(i) in the case of a mistake in the international application as filed, from the international filing date;

(ii) in the case of a mistake in a document other than the international application as filed, including a mistake in a correction or an amendment of the international application, from the date on which that document was submitted.

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(d) Where the competent authority refuses to authorize a rectification under Rule 91.1, the International Bureau shall, upon request submitted to it by the applicant within two months from the date of the refusal, and subject to the payment of a special fee whose amount shall be fixed in the Administrative Instructions, publish the request for rectification, the reasons for refusal by the authority and any further brief comments that may be submitted by the applicant, if possible together with the international application. A copy of the request, reasons and comments (if any) shall if possible be included in the communication under Article 20 where the international application is not published by virtue of Article 64(3).

(e) The rectification of an obvious mistake need not be taken into account by any designated Office in which the processing or examination of the international application has already started prior to the date on which that Office is notified under Rule 91.3(a) of the authorization of the rectification by the competent authority.

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(f) A designated Office may disregard a rectification that was authorized under Rule 91.1 only if it finds that it would not have authorized the rectification under Rule 91.1 if it had been the competent authority, provided that no designated Office shall disregard any rectification that was authorized under Rule 91.1 without giving the applicant the opportunity to make observations, within a time limit which shall be reasonable under the circumstances, on the Office's intention to disregard the rectification.

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Since June 19,  
1970

Rule 92  
Correspondence

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Since June 19, 1970 92.1 *Need for Letter and for Signature*

(a) Any paper submitted by the applicant in the course of the international procedure provided for in the Treaty and these Regulations, other than the international application itself, shall, if not itself in the form of a letter, be accompanied by a letter identifying the international application to which it relates. The letter shall be signed by the applicant.

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From June 19,  
1970 to  
September 30,  
1980

(b) If the requirements provided for in paragraph (a) are not complied with, the paper shall be considered not to have been submitted.

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Since  
October 1,  
1980

(b) If the requirements provided for in paragraph (a) are not complied with, the applicant shall be informed as to the non-compliance and invited to remedy the omission within a time limit fixed in the invitation. The time limit so fixed shall be reasonable in the circumstances; even where the time limit so fixed expires later than the time limit applying to the furnishing of the paper (or even if the latter time limit has already expired), it shall not be less than 10 days and not more than one month from the mailing of the invitation. If the omission is remedied within the time limit fixed in the invitation, the omission shall be disregarded; otherwise, the applicant shall be informed that the paper has been disregarded.

(c) Where non-compliance with the requirements provided for in paragraph (a) has been overlooked and the paper taken into account in the international procedure, the non-compliance shall be disregarded.

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Since June 19, 1970 92.2 *Languages*

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From June 19,  
1970 to  
December 31,  
1984

(a) Subject to the provisions of paragraphs (b) and (c), any letter or document submitted by the applicant to the International Searching Authority or the International Preliminary Examining Authority shall be in the same language as the international application to which it relates.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From January 1, 1985 to June 30, 1992	(a) Subject to Rules 55.1 and 66.9 and to paragraph (b) of this Rule, any letter or document submitted by the applicant to the International Searching Authority or the International Preliminary Examining Authority shall be in the same language as the international application to which it relates.
From July 1, 1992 to December 31, 1992	(a) Subject to Rules 55.1 and 66.9 and to paragraph (b) of this Rule, any letter or document submitted by the applicant to the International Searching Authority or the International Preliminary Examining Authority shall be in the same language as the international application to which it relates. Where the international application has been translated under Rule 12.1(c), the language of such translation shall be used.
From January 1, 1993 to June 30, 1998	(a) Subject to Rules 55.1 and 66.9 and to paragraph (b) of this Rule, any letter or document submitted by the applicant to the International Searching Authority or the International Preliminary Examining Authority shall be in the same language as the international application to which it relates. However, where a translation of the international application has been transmitted under Rule 12.1(c) or furnished under Rule 55.2(a) or (c), the language of such translation shall be used.
From July 1, 1998 to June 30, 2011	(a) Subject to Rules 55.1 and 66.9 and to paragraph (b) of this Rule, any letter or document submitted by the applicant to the International Searching Authority or the International Preliminary Examining Authority shall be in the same language as the international application to which it relates. However, where a translation of the international application has been transmitted under Rule 23.1(b) or furnished under Rule 55.2, the language of such translation shall be used.
Since July 1, 2011	(a) Subject to Rules 55.1 and 55.3 and to paragraph (b) of this Rule, any letter or document submitted by the applicant to the International Searching Authority or the International Preliminary Examining Authority shall be in the same language as the international application to which it relates. However, where a translation of the international application has been transmitted under Rule 23.1(b) or furnished under Rule 55.2, the language of such translation shall be used.
Since June 19, 1970	(b) Any letter from the applicant to the International Searching Authority or the International Preliminary Examining Authority may be in a language other than that of the international application, provided the said Authority authorizes the use of such language.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From June 19, 1970 to December 31, 1984	(c) When a translation is required under Rule 55.2, the International Preliminary Examining Authority may require that any letter from the applicant to the said Authority be in the language of that translation.
Since January 1, 1985	(c) <i>[Deleted]</i>
From June 19, 1970 to June 30, 2016	(d) Any letter from the applicant to the International Bureau shall be in English or French.
Since July 1, 2016	(d) Any letter from the applicant to the International Bureau shall be in English, French or any other language of publication as may be permitted by the Administrative Instructions.
Since June 19, 1970	(e) Any letter or notification from the International Bureau to the applicant or to any national Office shall be in English or French.
From June 19, 1970 to June 30, 1992	92.3 <i>Mailings by National Offices and Intergovernmental Organizations</i> Any document or letter emanating from or transmitted by a national Office or an intergovernmental organization and constituting an event from the date of which any time limit under the Treaty or these Regulations commences to run shall be sent by registered air mail, provided that surface mail may be used instead of air mail in cases where surface mail normally arrives at its destination within two days from mailing or where air mail service is not available.
Since July 1, 1992	92.3 <i>Mailings by National Offices and Intergovernmental Organizations</i> Any document or letter emanating from or transmitted by a national Office or an intergovernmental organization and constituting an event from the date of which any time limit under the Treaty or these Regulations commences to run shall be sent by air mail, provided that surface mail may be used instead of air mail in cases where surface mail normally arrives at its destination within two days from mailing or where air mail service is not available.
From October 1, 1980 to June 30, 1992	92.4 <i>Use of Telegraph, Teleprinter, Etc.</i>

History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since July 1, 1992      92.4      *Use of Telegraph, Teleprinter, Facsimile Machine, Etc.*

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From October 1, 1980 to June 30, 1992      (a) Notwithstanding the provisions of Rules 11.14 and 92.1(a), but subject to paragraph (b), below, any document (including any drawing) subsequent to the international application may be sent by telegraph or teleprinter or other like means of communication producing a printed or written document. Any such document so sent shall be considered to have been submitted in a form complying with the requirements of the said Rules on the day on which it was communicated by the means mentioned above, provided that, within 14 days after being so communicated, its contents are furnished in that form; otherwise, the telegraphic, teleprinter or other communication shall be considered not to have been made.

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From July 1, 1992 to June 30, 1998      (a) A document making up the international application, and any later document or correspondence relating thereto, may, notwithstanding the provisions of Rules 11.14 and 92.1(a), but subject to paragraph (h), be transmitted, to the extent feasible, by telegraph, teleprinter, facsimile machine or other like means of communication producing a printed or written document.

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Since July 1, 1998      (a) A document making up the international application, and any later document or correspondence relating thereto, may, notwithstanding the provisions of Rules 11.14 and 92.1(a), but subject to paragraph (h), be transmitted, to the extent feasible, by telegraph, teleprinter, facsimile machine or other like means of communication resulting in the filing of a printed or written document.

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From October 1, 1980 to June 30, 1992      (b) Each national Office or intergovernmental organization shall promptly notify the International Bureau of any means referred to in paragraph (a) by which it is prepared to receive documents referred to in that paragraph. The International Bureau shall publish the information so received in the Gazette as well as information concerning the means referred to in paragraph (a) by which the International Bureau is prepared to receive any such document. Paragraph (a) shall apply with respect to any national Office or intergovernmental organization only to the extent the said information has been so published with respect to it. The International Bureau shall publish, from time to time, in the Gazette, changes in the information previously published.

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Since July 1,  
1992

(b) A signature appearing on a document transmitted by facsimile machine shall be recognized for the purposes of the Treaty and these Regulations as a proper signature.

(c) Where the applicant has attempted to transmit a document by any of the means referred to in paragraph (a) but part or all of the received document is illegible or part of the document is not received, the document shall be treated as not having been received to the extent that the received document is illegible or that the attempted transmission failed. The national Office or intergovernmental organization shall promptly notify the applicant accordingly.

(d) Any national Office or intergovernmental organization may require that the original of any document transmitted by any of the means referred to in paragraph (a) and an accompanying letter identifying that earlier transmission be furnished within 14 days from the date of the transmission, provided that such requirement has been notified to the International Bureau and the International Bureau has published information thereon in the Gazette. The notification shall specify whether such requirement concerns all or only certain kinds of documents.

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(e) Where the applicant fails to furnish the original of a document as required under paragraph (d), the national Office or intergovernmental organization concerned may, depending on the kind of document transmitted and having regard to Rules 11 and 26.3,

(i) waive the requirement under paragraph (d), or

(ii) invite the applicant to furnish, within a time limit which shall be reasonable under the circumstances and shall be fixed in the invitation, the original of the document transmitted,

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provided that, where the document transmitted contains defects, or shows that the original contains defects, in respect of which the national Office or intergovernmental organization may issue an invitation to correct, that Office or organization may issue such an invitation in addition to, or instead of, proceeding under item (i) or (ii).

(f) Where the furnishing of the original of a document is not required under paragraph (d) but the national Office or intergovernmental organization considers it necessary to receive the original of the said document, it may issue an invitation as provided for under paragraph (e)(ii).

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(g) If the applicant fails to comply with an invitation under paragraph (e)(ii) or (f):

(i) where the document concerned is the international application, the latter shall be considered withdrawn and the receiving Office shall so declare;

(ii) where the document concerned is a document subsequent to the international application, the document shall be considered as not having been submitted.

(h) No national Office or intergovernmental organization shall be obliged to receive any document submitted by a means referred to in paragraph (a) unless it has notified the International Bureau that it is prepared to receive such a document by that means and the International Bureau has published information thereon in the Gazette.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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From October 1, 1980 to December 31, 1984	Rule 92bis Changes in Certain Indications in the Request or the Demand
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Since January 1, 1985	Rule 92bis Recording of Changes in Certain Indications in the Request or the Demand
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Since October 1, 1980	92bis.1 <i>Recording of Changes by the International Bureau</i>
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From October 1, 1980 to December 31, 1984	The International Bureau shall, on the request of the applicant or the receiving Office, record changes in the following indications appearing in the request or demand:
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Since January 1, 1985	(a) The International Bureau shall, on the request of the applicant or the receiving Office, record changes in the following indications appearing in the request or demand:
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Since October 1, 1980	(i) person, name, residence, nationality or address of the applicant, (ii) person, name or address of the agent, the common representative or the inventor.
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From January 1, 1985 to December 31, 2003	(b) The International Bureau shall not record the requested change if the request for recording is received by it after the expiration: (i) of the time limit referred to in Article 22(1), where Article 39(1) is not applicable with respect to any Contracting State; (ii) of the time limit referred to in Article 39(1)(a), where Article 39(1) is applicable with respect to at least one Contracting State.
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Since January 1, 2004	(b) The International Bureau shall not record the requested change if the request for recording is received by it after the expiration of 30 months from the priority date.
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From October 1, 1980 to December 31, 1984	<p><i>92bis.2 Notifications</i></p> <p>(a) The International Bureau shall give notifications concerning changes recorded by it:</p> <p>(i) to the receiving Office where the change has been recorded on the request of the applicant,</p> <p>(ii) as long as the international search report or the declaration referred to in Article 17(2) has not yet issued, to the International Searching Authority,</p> <p>(iii) until the expiration of the time limit referred to in Article 22(1), to the designated Offices,</p> <p>(iv) as long as the international preliminary examination report has not yet issued, to the International Preliminary Examining Authority,</p>
	<p>(v) until the expiration of the time limit referred to in Article 39(1)(a), to the elected Offices.</p> <p>(b) A copy of each notification sent under paragraph (a) shall be sent to the applicant by the International Bureau.</p>
Since January 1, 1985	<p><i>92bis.2 [Deleted]</i></p>
Since June 19, 1970	<p>Rule 93 Keeping of Records and Files</p>
Since June 19, 1970	<p>93.1 <i>The Receiving Office</i></p> <p>Each receiving Office shall keep the records relating to each international application or purported international application, including the home copy, for at least 10 years from the international filing date or, where no international filing date is accorded, from the date of receipt.</p>
Since June 19, 1970	<p>93.2 <i>The International Bureau</i></p> <p>(a) The International Bureau shall keep the file, including the record copy, of any international application for at least 30 years from the date of receipt of the record copy.</p> <p>(b) The basic records of the International Bureau shall be kept indefinitely.</p>

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Since June 19, 1970, 93.3 *The International Searching and Preliminary Examining Authorities*

Each International Searching Authority and each International Preliminary Examining Authority shall keep the file of each international application it receives for at least 10 years from the international filing date.

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Since June 19, 1970, 93.4 *Reproductions*

From June 19, 1970 to June 30, 1998 For the purposes of this Rule, records, copies and files shall also mean photographic reproductions of records, copies, and files, whatever may be the form of such reproductions (microfilms or other).

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Since July 1, 1998 For the purposes of this Rule, records, copies and files may be kept as photographic, electronic or other reproductions, provided that the reproductions are such that the obligations to keep records, copies and files under Rules 93.1 to 93.3 are met.

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Since January 1, 2004 *Rule 93bis*  
Manner of Communication of Documents

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Since January 1, 2004 *93bis.1 Communication on Request; Communication via Digital Library*

(a) Where the Treaty, these Regulations or the Administrative Instructions provide for the communication, notification or transmittal (“communication”) of an international application, notification, communication, correspondence or other document (“document”) by the International Bureau to any designated or elected Office, such communication shall be effected only upon request by the Office concerned and at the time specified by that Office. Such request may be made in relation to individually specified documents or a specified class or classes of documents.

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(b) A communication under paragraph (a) shall, where so agreed by the International Bureau and the designated or elected Office concerned, be considered to be effected at the time when the International Bureau makes the document available to that Office in electronic form in a digital library, in accordance with the Administrative Instructions, from which that Office is entitled to retrieve that document.

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

From June 9, 1970 to June 0, 1998	Rule 94 Furnishing of Copies by the International Bureau and the International Preliminary Examining Authority
Since July 1, 1998	Rule 94 Access to Files
From June 19, 1970 to June 30, 1998	94.1 <i>Obligation to Furnish</i> At the request of the applicant or any person authorized by the applicant, the International Bureau and the International Preliminary Examining Authority shall furnish, subject to reimbursement of the cost of the service, copies of any document contained in the file of the applicant's international application or purported international application.
Since July 1, 1998	94.1 <i>Access to the File Held by the International Bureau</i> (a) At the request of the applicant or any person authorized by the applicant, the International Bureau shall furnish, subject to reimbursement of the cost of the service, copies of any document contained in its file.
From July 1, 1998 to December 31, 2003	(b) The International Bureau shall, at the request of any person but not before the international publication of the international application and subject to Article 38, furnish, subject to the reimbursement of the cost of the service, copies of any document contained in its file.
From January 1, 2004 to June 30, 2014	(b) The International Bureau shall, at the request of any person but not before the international publication of the international application and subject to Article 38 and Rule 44 <i>ter</i> .1, furnish, subject to the reimbursement of the cost of the service, copies of any document contained in its file.
From July 1, 2014 to June 30, 2016	b) The International Bureau shall, at the request of any person but not before the international publication of the international application and subject to Article 38, furnish, subject to the reimbursement of the cost of the service, copies of any document contained in its file.

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Since July 1,  
2016

(b) The International Bureau shall, at the request of any person but not before the international publication of the international application and subject to Article 38 and paragraphs (d) to (g), furnish copies of any document contained in its file. The furnishing of copies may be subject to reimbursement of the cost of the service.

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(c) The International Bureau shall, if so requested by an elected Office, furnish copies of the international preliminary examination report under paragraph (b) on behalf of that Office. The International Bureau shall promptly publish details of any such request in the Gazette.

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Since July 1,  
2016

d(d) The International Bureau shall not provide access to any information contained in its file which has been omitted from publication under Rule 48.2(l) and to any document contained in its file relating to a request under that Rule.

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**e** (e) Upon a reasoned request by the applicant, the International Bureau shall not provide access to any information contained in its file and to any document contained in its file relating to such a request, if it finds that:

(i) this information does not obviously serve the purpose of informing the public about the international application;

(ii) public access to such information would clearly prejudice the personal or economic interests of any person; and

(iii) there is no prevailing public interest to have access to that information.

Rule 26.4 shall apply *mutatis mutandis* as to the manner in which the applicant shall present the information which is the subject of a request made under this paragraph.

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(f) Where the International Bureau has omitted information from public access in accordance with paragraphs (d) or (e), and that information is also contained in the file of the international application held by the receiving Office, the International Searching Authority, the Authority specified for supplementary search or the International Preliminary Examining Authority, the International Bureau shall promptly notify that Office and Authority accordingly.

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(g) The International Bureau shall not provide access to any document contained in its file which was prepared solely for internal use by the International Bureau.

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Since July 1,  
2016

91bis *Access to the File Held by the Receiving Office*

(a) At the request of the applicant or any person authorized by the applicant, the receiving Office may provide access to any document contained in its file. The furnishing of copies of documents may be subject to reimbursement of the cost of the service.

(b) The receiving Office may, at the request of any person, but not before the international publication of the international application and subject to paragraph (c), provide access to any document contained in its file. The furnishing of copies of documents may be subject to reimbursement of the cost of the service.

(c) The receiving Office shall not provide access under paragraph (b) to any information in respect of which it has been notified by the International Bureau that the information has been omitted from publication in accordance with Rule 48.2(l) or from public access in accordance with Rule 94.1(d) or (e).

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Since July 1,  
2016

94.1<sup>ter</sup> *Access to the File Held by the International Searching Authority*

(a) At the request of the applicant or any person authorized by the applicant, the International Searching Authority may provide access to any document contained in its file. The furnishing of copies of documents may be subject to reimbursement of the cost of the service.

(b) The International Searching Authority may, at the request of any person, but not before the international publication of the international application and subject to paragraph (c), provide access to any document contained in its file. The furnishing of copies of documents may be subject to reimbursement of the cost of the service.

(c) The International Searching Authority shall not provide access under paragraph (b) to any information in respect of which it has been notified by the International Bureau that the information has been omitted from publication in accordance with Rule 48.2(l) or from public access in accordance with Rule 94.1(d) or (e).

(d) Paragraphs (a) to (c) shall apply *mutatis mutandis* to the Authority specified for supplementary search.

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From July 1,  
1998 to June  
30, 2016

94.2 *Access to the File Held by the International Preliminary Examining Authority*

At the request of the applicant or any person authorized by the applicant, or, once the international preliminary examination report has been established, of any elected Office, the International Preliminary Examining Authority shall furnish, subject to reimbursement of the cost of the service, copies of any document contained in its file.

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Since July 1,  
2016

94.2 *Access to the File Held by the International Preliminary Examining Authority*

(a) At the request of the applicant or any person authorized by the applicant, the International Preliminary Examining Authority shall provide access to any document contained in its file. The furnishing of copies of documents may be subject to reimbursement of the cost of the service.

(b) At the request of any elected Office, but not before the establishment of the international preliminary examination report and subject to paragraph (c), the International Preliminary Examining Authority shall provide access to any document contained in its file. The furnishing of copies of documents may be subject to reimbursement of the cost of the service.

(c) The International Preliminary Examining Authority shall not provide access under paragraph (b) to any information in respect of which it has been notified by the International Bureau that the information has been omitted from publication in accordance with Rule 48.2(l) or from public access in accordance with Rule 94.1(d) or (e).

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Since July 1,  
2016

94.2bis *Access to the File Held by the Designated Office*

If the national law applicable by any designated Office allows access by third parties to the file of a national application, that Office may allow access to any documents relating to the international application, contained in its file, to the same extent as provided by the national law for access to the file of a national application, but not before the earliest of the dates specified in Article 30(2)(a). The furnishing of copies of documents may be subject to reimbursement of the cost of the service.

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From July 1,  
1998 to June  
30, 2016

94.3 *Access to the File Held by the Elected Office*

If the national law applicable by any elected Office allows access by third parties to the file of a national application, that Office may allow access to any documents relating to the international application, including any document relating to the international preliminary examination, contained in its file, to the same extent as provided by the national law for access to the file of a national application, but not before the international publication of the international application. The furnishing of copies of documents may be subject to reimbursement of the cost of the service.

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Since July 1,  
2016

94.3 *Access to the File Held by the Elected Office*

If the national law applicable by any elected Office allows access by third parties to the file of a national application, that Office may allow access to any documents relating to the international application, including any document relating to the international preliminary examination, contained in its file, to the same extent as provided by the national law for access to the file of a national application, but not before the earliest of the dates specified in Article 30(2)(a). The furnishing of copies of documents may be subject to reimbursement of the cost of the service.

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Since June 19,  
1970

Rule 95  
Availability of Translations

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Since June 19,  
1970

95.1 *Furnishing of Copies of Translations*

(a) At the request of the International Bureau, any designated or elected Office shall provide it with a copy of the translation of the international application furnished by the applicant to that Office.

(b) The International Bureau may, upon request and subject to reimbursement of the cost, furnish to any person copies of the translations received under paragraph (a).

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History of the PCT Regulations – June 19, 1970 - July 1, 2016

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Since  
August 1,  
1979

Rule 96  
The Schedule of Fees

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Since  
August 1,  
1979

96.1 *Schedule of Fees Annexed to Regulations*

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From  
August 1,  
1979 to  
June 30, 2010

The amounts of the fees referred to in Rules 15 and 57 shall be expressed in Swiss currency. They shall be specified in the Schedule of Fees which is annexed to these Regulations and forms an integral part thereof.

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Since July 1,  
2010

The amounts of the fees referred to in Rules 15, 45*bis*.2 and 57 shall be expressed in Swiss currency. They shall be specified in the Schedule of Fees which is annexed to these Regulations and forms an integral part thereof.

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PCT FEES

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From April 14,  
1978 to  
October 2,  
1978

PCT FEES

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Basic fee: francs	US\$165 or 300 Swiss
Supplement per sheet over 30 sheets: francs	US\$ 3 or 6 Swiss
Designation fee: francs	US\$ 40 or 80 Swiss
Handling fee: francs	US\$ 50 or 96 Swiss

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From  
October 3,  
1978 to  
July 31, 1979

PCT FEES

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Basic fee:	250	Swiss francs
Supplement per sheet over 30 sheets:	4.50	Swiss francs
Designation fee:	60	Swiss francs
Handling fee:	75	Swiss francs

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From August 1,  
1979 to  
September 30,  
1980

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a)) if the international application contains not more than 30 sheets	325 Swiss francs
if the international application contains more than 30 sheets	325 Swiss francs plus 6 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	78 Swiss francs
3. Handling Fee: (Rule 57.2(a))	100 Swiss francs
4. Supplement to the Handling Fee: (Rule 57.2(b))	100 Swiss francs

From October  
1, 1980 to  
December 31,  
1980

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a)) if the international application contains not more than 30 sheets	325 Swiss francs
if the international application contains more than 30 sheets	325 Swiss francs plus 6 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	78 Swiss francs
3. Handling Fee: (Rule 57.2(a))	100 Swiss francs
4. Supplement to the Handling Fee: (Rule 57.2(b))	100 Swiss francs
Surcharges	
5. Surcharge for late payment: (Rule 16 <i>bis</i> .2(a))	Minimum: 200 Swiss francs Maximum: 500 Swiss francs

From January  
1, 1981 to  
December 31,  
1981

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a)) if the international application contains not more than 30 sheets	432 Swiss francs
if the international application contains more than 30 sheets	432 Swiss francs plus 8 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	104 Swiss francs
3. Handling Fee: (Rule 57.2(a))	133 Swiss francs
4. Supplement to the Handling Fee: (Rule 57.2(b))	133 Swiss francs
Surcharges	
5. Surcharge for late payment: (Rule 16 <i>bis</i> .2(a))	Minimum: 200 Swiss francs Maximum: 500 Swiss francs

From January  
1, 1982 to  
December 31,  
1982

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a)) if the international application contains not more than 30 sheets	527 Swiss francs
if the international application contains more than 30 sheets	527 Swiss francs plus 11 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	127 Swiss francs
3. Handling Fee: (Rule 57.2(a))	162 Swiss francs
4. Supplement to the Handling Fee: (Rule 57.2(b))	162 Swiss francs
Surcharges	
5. Surcharge for late payment: (Rule 16 <i>bis</i> .2(a))	Minimum: 200 Swiss francs Maximum: 500 Swiss francs

From January  
1, 1983 to  
December 31,  
1983

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a)) if the international application contains not more than 30 sheets	566 Swiss francs
if the international application contains more than 30 sheets	566 Swiss francs plus 13 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	136 Swiss francs
3. Handling Fee: (Rule 57.2(a))	174 Swiss francs
4. Supplement to the Handling Fee: (Rule 57.2(b))	174 Swiss francs
Surcharges	
5. Surcharge for late payment: (Rule 16 <i>bis</i> .2(a))	Minimum: 215 Swiss francs Maximum: 540 Swiss francs

From January  
1, 1984 to  
December 31,  
1984

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a)) if the international application contains not more than 30 sheets	623 Swiss francs
if the international application contains more than 30 sheets	623 Swiss francs plus 13 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	150 Swiss francs
3. Handling Fee: (Rule 57.2(a))	191 Swiss francs
4. Supplement to the Handling Fee: (Rule 57.2(b))	191 Swiss francs
Surcharges	
5. Surcharge for late payment: (Rule 16 <i>bis</i> .2(a))	Minimum: 236 Swiss francs Maximum: 594 Swiss francs

From January  
1, 1985 to  
December 31,  
1985

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a)) if the international application contains not more than 30 sheets	654 Swiss francs
if the international application contains more than 30 sheets	654 Swiss francs plus 13 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	158 Swiss francs per designation, for which the fee is due, with a maximum of 1,580 Swiss francs, any such designation in excess of 10 being fee of charge
3. Handling Fee: (Rule 57.2(a))	200 Swiss francs
4. Supplement to the Handling Fee: (Rule 57.2(b))	200 Swiss francs
Surcharges	
5. Surcharge for late payment: (Rule 16 <i>bis</i> .2(a))	Minimum: 248 Swiss francs Maximum: 624 Swiss francs

From January  
1, 1986 to  
December 31,  
1991

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a)) if the international application contains not more than 30 sheets	706 Swiss francs
if the international application contains more than 30 sheets	706 Swiss francs plus 14 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	171 Swiss francs per designation, for which the fee is due, with a maximum of 1,710 Swiss francs, any such designation in excess of 10 being fee of charge
3. Handling Fee: (Rule 57.2(a))	216 Swiss francs
4. Supplement to the Handling Fee: (Rule 57.2(b))	216 Swiss francs
Surcharges	
5. Surcharge for late payment: (Rule 16 <i>bis</i> .2(a))	Minimum: 268 Swiss francs Maximum: 674 Swiss francs

From January  
1, 1992 to June  
30, 1992

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a))	
(i) if the international application contains not more than 30 sheets	762 Swiss francs
(ii) if the international application contains more than 30 sheets	762 Swiss francs plus 15 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	185 Swiss francs per designation, for which the fee is due, with a maximum of 1,850 Swiss francs, any such designation in excess of 10 being fee of charge
3. Handling Fee: (Rule 57.2(a))	233 Swiss francs
4. Supplement to the Handling Fee: (Rule 57.2(b))	233 Swiss francs
Surcharges	
5. Surcharge for late payment: (Rule 16 <i>bis</i> .2(a))	Minimum: 289 Swiss francs Maximum: 728 Swiss francs

From July 1,  
1992 to  
December 31,  
1995

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a))	
(a) if the international application contains not more than 30 sheets	762 Swiss francs
(b) if the international application contains more than 30 sheets	762 Swiss francs plus 15 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	
(a) for designations made under Rule 4.9(a)	185 Swiss francs per designation, provided that any designation made under Rule 4.9(a) in excess of 10 shall not require the payment of a designation fee
(b) for designations made under Rule 4.9(b) and confirmed under Rule 4.9(c)	185 Swiss francs per designation
3. Confirmation Fee: (Rule 15.5(a))	50% of the sum of the designation fees payable under item 2(b)
3. Handling Fee: (Rule 57.2(a))	233 Swiss francs

From January  
1, 1996 to  
December 31,  
1997

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a))	
(a) if the international application contains not more than 30 sheets	762 Swiss francs
(b) if the international application contains more than 30 sheets	762 Swiss francs plus 15 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	
(a) for designations made under Rule 4.9(a)	185 Swiss francs per designation, provided that any designation made under Rule 4.9(a) in excess of 11 shall not require the payment of a designation fee
(b) for designations made under Rule 4.9(b) and confirmed under Rule 4.9(c)	185 Swiss francs per designation
3. Confirmation Fee: (Rule 15.5(a))	50% of the sum of the designation fees payable under item 2(b)
3. Handling Fee: (Rule 57.2(a))	233 Swiss francs

All fees are reduced by 75% for international applications filed by any applicant who is a natural person and who is a national of and resides in a State whose per capita national income is below US\$3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997); if there are several applicants, each must satisfy those criteria.

From January  
1, 1998 to June  
30, 1998

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a))	
(a) if the international application contains not more than 30 sheets	650 Swiss francs
(b) if the international application contains more than 30 sheets	650 Swiss francs plus 15 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	
(a) for designations made under Rule 4.9(a)	150 Swiss francs per designation, provided that any designation made under Rule 4.9(a) in excess of 11 shall not require
(b) for designations made under Rule 4.9(b) and confirmed under Rule 4.9(c)	the payment of a designation fee
	150 Swiss francs per designation
3. Handling Fee: (Rule 57.2(a))	233 Swiss francs

All fees are reduced by 75% for international applications filed by any applicant who is a natural person and who is a national of and resides in a State whose per capita national income is below US\$3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997); if there are several applicants, each must satisfy those criteria.

From July 1,  
1998 to  
December 31,  
1998

## SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a))	
(a) if the international application contains not more than 30 sheets	650 Swiss francs
(b) if the international application contains more than 30 sheets	650 Swiss francs plus 15 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	
(a) for designations made under Rule 4.9(a)	150 Swiss francs per designation, provided that any designation made under Rule 4.9(a) in excess of 11 shall not require
(b) for designations made under Rule 4.9(b) and confirmed under Rule 4.9(c)	the payment of a designation fee
	150 Swiss francs per designation
3. Handling Fee: (Rule 57.2(a))	233 Swiss francs

All fees are reduced by 75% for international applications filed by any applicant who is a natural person and who is a national of and resides in a State whose per capita national income is below US\$3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997); if there are several applicants, each must satisfy those criteria.

From January  
1, 1999 to  
December 31,  
1999

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a))	
(a) if the international application contains not more than 30 sheets	650 Swiss francs
(b) if the international application contains more than 30 sheets	650 Swiss francs plus 15 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	
(a) for designations made under Rule 4.9(a)	140 Swiss francs per designation, provided that any designation made under Rule 4.9(a) in excess of 10 shall not require
(b) for designations made under Rule 4.9(b) and confirmed under Rule 4.9(c)	the payment of a designation fee
	150 Swiss francs per designation
3. Handling Fee: (Rule 57.2(a))	233 Swiss francs

Reductions

4. The total amount of the fees payable under items 1 and 2(a) is reduced by 200 Swiss francs if the international application is, in accordance with and to the extent provided for in the Administrative Instructions, filed on paper together with a copy thereof in electronic form.
  5. All fees payable (where applicable, as reduced under item 4) are reduced by 75% for international applications filed by any applicant who is a natural person and who is a national of and resides in a State whose per capita national income is below US\$3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997); if there are several applicants, each must satisfy those criteria.
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From January  
1, 2000 to  
December 31,  
2000

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a))	
(a) if the international application contains not more than 30 sheets	650 Swiss francs
(b) if the international application contains more than 30 sheets	650 Swiss francs plus 15 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	
(a) for designations made under Rule 4.9(a)	140 Swiss francs per designation, provided that any designation made under Rule 4.9(a) in excess of 8 shall not require
(b) for designations made under Rule 4.9(b) and confirmed under Rule 4.9(c)	the payment of a designation fee
	140 Swiss francs per designation
3. Handling Fee: (Rule 57.2(a))	233 Swiss francs

#### Reductions

4. The total amount of the fees payable under items 1 and 2(a) is reduced by 200 Swiss francs if the international application is, in accordance with and to the extent provided for in the Administrative Instructions, filed on paper together with a copy thereof in electronic form.
  5. All fees payable (where applicable, as reduced under item 4) are reduced by 75% for international applications filed by any applicant who is a natural person and who is a national of and resides in a State whose per capita national income is below US\$3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997); if there are several applicants, each must satisfy those criteria.
-

From  
January 1,  
2001 to  
December 31,  
2001

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a))	
(a) if the international application contains not more than 30 sheets	650 Swiss francs
(b) if the international application contains more than 30 sheets	650 Swiss francs plus 15 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	
(a) for designations made under Rule 4.9(a)	140 Swiss francs per designation, provided that any designation made under Rule 4.9(a) in excess of 6 shall not require
(b) for designations made under Rule 4.9(b) and confirmed under Rule 4.9(c)	the payment of a designation fee
	140 Swiss francs per designation
3. Handling Fee: (Rule 57.2(a))	233 Swiss francs

Reductions

4. The total amount of the fees payable under items 1 and 2(a) is reduced by 200 Swiss francs if the international application is, in accordance with and to the extent provided for in the Administrative Instructions, filed on paper together with a copy thereof in electronic form.
  5. All fees payable (where applicable, as reduced under item 4) are reduced by 75% for international applications filed by any applicant who is a natural person and who is a national of and resides in a State whose per capita national income is below US\$3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997); if there are several applicants, each must satisfy those criteria.
-

From  
January 1,  
2002 to  
October 16,  
2002

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a))	
(a) if the international application contains not more than 30 sheets	650 Swiss francs
(b) if the international application contains more than 30 sheets	650 Swiss francs plus 15 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	
(a) for designations made under Rule 4.9(a)	140 Swiss francs per designation, provided that any designation made under Rule 4.9(a) in excess of 5 shall not require
(b) for designations made under Rule 4.9(b) and confirmed under Rule 4.9(c)	the payment of a designation fee
	140 Swiss francs per designation
3. Handling Fee: (Rule 57.2(a))	233 Swiss francs

Reductions

4. The total amount of the fees payable under items 1 and 2(a) is reduced by 200 Swiss francs if the international application is, in accordance with and to the extent provided for in the Administrative Instructions, filed on paper together with a copy thereof in electronic form.
  5. All fees payable (where applicable, as reduced under item 4) are reduced by 75% for international applications filed by any applicant who is a natural person and who is a national of and resides in a State whose per capita national income is below US\$3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997); if there are several applicants, each must satisfy those criteria.
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From  
October 17,  
2002 to  
December 31,  
2003

SCHEDULE OF FEES

Fees	Amounts
1. Basic Fee: (Rule 15.2(a))	
(a) if the international application contains not more than 30 sheets	650 Swiss francs
(b) if the international application contains more than 30 sheets	650 Swiss francs plus 15 Swiss francs for each sheet in excess of 30 sheets
2. Designation Fee: (Rule 15.2(a))	
(a) for designations made under Rule 4.9(a)	140 Swiss francs per designation, provided that any designation made under Rule 4.9(a) in excess of 5 shall not require
(b) for designations made under Rule 4.9(b) and confirmed under Rule 4.9(c)	the payment of a designation fee
	140 Swiss francs per designation
3. Handling Fee: (Rule 57.2(a))	233 Swiss francs

#### Reductions

4. The total amount of the fees payable under items 1 and 2(a) is reduced by 200 Swiss francs if the international application is, in accordance with and to the extent provided for in the Administrative Instructions, filed:
    - (a) on paper together with a copy thereof in electronic form; or
    - (b) in electronic form.
  5. All fees payable (where applicable, as reduced under item 4) are reduced by 75% for international applications filed by any applicant who is a natural person and who is a national of and resides in a State whose per capita national income is below US\$3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997); if there are several applicants, each must satisfy those criteria.
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From  
January 1,  
2004 to  
October 11,  
2006

SCHEDULE OF FEES

Fees	Amounts
1. International filing fee: (Rule 15.2)	1,400 Swiss francs plus 15 Swiss francs for each sheet of the international application in excess of 30 sheets
2. Handling fee: (Rule 57.2)	200 Swiss francs
Reductions	
3. The international filing fee is reduced by the following amount if the international application is, in accordance with and to the extent provided for in the Administrative Instructions, filed:	
(a) on paper together with a copy thereof in electronic form:	100 Swiss francs
(b) in electronic form where the text of the description, claims and abstract is not in character coded format:	200 Swiss francs
(c) in electronic form where the text of the description, claims and abstract is in character coded format:	300 Swiss francs
4. The international filing fee (where applicable, as reduced under item 3) and the handling fee are reduced by 75% if the international application is filed by:	
(a) an applicant who is a natural person and who is a national of and resides in a State whose per capita national income is below US\$3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997); or	
(b) an applicant, whether a natural person or not, who is a national of and resides in a State that is classed as a least developed country by the United Nations;	
provided that, if there are several applicants, each must satisfy the criteria set out in either sub-item (a) or (b).	

From  
October 12,  
2006 to  
June 30, 2008

SCHEDULE OF FEES

Fees	Amounts
1. International filing fee: (Rule 15.2)	1,400 Swiss francs plus 15 Swiss francs for each sheet of the international application in excess of 30 sheets
2. Handling fee: (Rule 57.2)	200 Swiss francs

Reductions

3. The international filing fee is reduced by the following amount if the international application is, as provided for in the Administrative Instructions, filed:

- |   |                  |
|---|------------------|
| (a) on paper together with a copy in electronic form, in character coded format, of the request and the abstract: | 100 Swiss francs |
| (b) in electronic form, the request not being in character coded format:  | 100 Swiss francs |
| (c) in electronic form, the request being in character coded format:  | 200 Swiss francs |
| (d) in electronic form, the request, description, claims and abstract being in character coded format:            | 300 Swiss francs |

4. The international filing fee (where applicable, as reduced under item 3) and the handling fee are reduced by 75% if the international application is filed by:

- |  |
|--|
| (a) an applicant who is a natural person and who is a national of and resides in a State whose per capita national income is below US\$3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997); or |
| (b) an applicant, whether a natural person or not, who is a national of and resides in a State that is classed as a least developed country by the United Nations;   |

provided that, if there are several applicants, each must satisfy the criteria set out in either sub-item (a) or (b).

From July 1,  
2008 to  
December 31,  
2008

SCHEDULE OF FEES

Fees	Amounts
1. International filing fee: (Rule 15.2)	1,330 Swiss francs plus 15 Swiss francs for each sheet of the international application in excess of 30 sheets
2. Handling fee: (Rule 57.2)	200 Swiss francs

Reductions

3. The international filing fee is reduced by the following amount if the international application is, as provided for in the Administrative Instructions, filed:

- (a) on paper together with a copy in electronic form, in character coded format, of the request and the abstract: 100 Swiss francs
- (b) in electronic form, the request not being in character coded format: 100 Swiss francs
- (c) in electronic form, the request being in character coded format: 200 Swiss francs
- (d) in electronic form, the request, description, claims and abstract being in character coded format: 300 Swiss francs

4. The international filing fee (where applicable, as reduced under item 3) and the handling fee are reduced by 90% if the international application is filed by

- (a) an applicant who is a natural person and who is a national of and resides in a State whose per capita national income is below US\$3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997); or, pending a decision by the PCT Assembly on the eligibility criteria specified in this sub-paragraph, one of the following States: Antigua and Barbuda, Bahrain, Barbados, the Libyan Arab Jamahiriya, Oman, the Seychelles, Singapore, Trinidad and Tobago and the United Arab Emirates; or

- (b) an applicant, whether a natural person or not, who is a national of and resides in a State that is classed as a least developed country by the United Nations;

provided that, if there are several applicants, each must satisfy the criteria set out in either sub-item (a) or (b).

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From  
January 1,  
2009 to June  
30, 2015

## SCHEDULE OF FEES

Fees	Amounts
1. International filing fee: (Rule 15.2)	1,330 Swiss francs plus 15 Swiss francs for each sheet of the international application in excess of 30 sheets
2. Supplementary search handling fee: (Rule 45bis.2)	200 Swiss francs
3. Handling fee: (Rule 57.2)	200 Swiss francs
Reductions	
4. The international filing fee is reduced by the following amount if the international application is, as provided for in the Administrative Instructions, filed:	
(a) on paper together with a copy in electronic form, in character coded format, of the request and the abstract:	100 Swiss francs
(b) in electronic form, the request not being in character coded format:	100 Swiss francs
(c) in electronic form, the request being in character coded format:	200 Swiss francs
(d) in electronic form, the request, description, claims and abstract being in character coded format:	300 Swiss francs
5. The international filing fee under item 1 (where applicable, as reduced under item 4), the supplementary search handling fee under item 2 and the handling fee under item 3 are reduced by 90% if the international application is filed by:	

- (a) an applicant who is a natural person and who is a national of and resides in a State whose per capita national income is below US\$3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997) or, pending a decision by the PCT Assembly on the eligibility criteria specified in this subparagraph, one of the following States: Antigua and Barbuda, Bahrain, Barbados, the Libyan Arab Jamahiriya, Oman, the Seychelles, Singapore, Trinidad and Tobago and the United Arab Emirates; or
- (b) an applicant, whether a natural person or not, who is a national of and resides in a State that is classed as a least developed country by the United Nations;

provided that, if there are several applicants, each must satisfy the criteria set out in either sub-item (a) or (b).

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Since July 1,  
2015

SCHEDULE OF FEES

<b>Fees</b>	<b>Amounts</b>
1. International filing fee: (Rule 15.2)	1,330 Swiss francs plus 15 Swiss francs for each sheet of the international application in excess of 30 sheets
2. Supplementary search handling fee: (Rule 45 <i>bis</i> .2)	200 Swiss francs
3. Handling fee: (Rule 57.2)	200 Swiss francs

**Reductions**

4. The international filing fee is reduced by the following amount if the international application is, as provided for in the Administrative Instructions, filed:
- |  |                  |
|--|------------------|
| (a) in electronic form, the request not being in character coded format:                               | 100 Swiss francs |
| (b) in electronic form, the request being in character coded format:                                   | 200 Swiss francs |
| (c) in electronic form, the request, description, claims and abstract being in character coded format: | 300 Swiss francs |
5. The international filing fee under item 1 (where applicable, as reduced under item 4), the supplementary search handling fee under item 2 and the handling fee under item 3 are reduced by 90% if the international application is filed by:
- |  |  |
|--|--|
| (a) an applicant who is a natural person and who is a national of and resides in a State that is listed as being a State whose per capita gross domestic product is below US\$ 25,000 (according to the most recent 10-year average per capita gross domestic product figures at constant 2005 US\$ values published by the United Nations), and whose nationals and residents who are natural persons have filed less than 10 international applications per year (per million population) or less than 50 international applications per year (in absolute numbers) according to the most recent five-year average yearly filing figures published by the International Bureau; or |  |
| (b) an applicant, whether a natural person or not, who is a national of and resides in a State that is listed as being classified by the United Nations as a least developed country;  |  |

provided that, if there are several applicants, each must satisfy the criteria set out in either sub-item (a) or (b). The lists of States referred to in sub-items (a) and (b)<sup>1</sup> shall be updated by the Director General at least every five years according to directives given by the Assembly. The criteria set out in sub-items (a) and (b) shall be reviewed by the Assembly at least every five years.

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<sup>1</sup> The first lists of States were published in the Gazette of February 12, 2015, page 32 (see [www.wipo.int/pct/en/official\\_notices/index.html](http://www.wipo.int/pct/en/official_notices/index.html)).